

OFFICE OF THE AUDITOR GENERAL OF BELIZE



SPECIAL AUDIT - PASSPORT IMMIGRATION AND NATIONALITY DEPARTMENT

**FOR THE PERIOD
2011 - 2013**



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May 31, 2016

Honourable Dean O. Barrow
Prime Minister
Minister of Finance
Office of the Prime Minister
Sir Edney Cain Building
Belmopan

Dear Prime Minister:

In Accordance with Section 120 (4) of the Belize Constitution 1981, and Section 16(3) of the Finance and Audit (Reform) Act No 12 of 2005, I hereby present a Special Passport Report on the Immigration and Nationality Department for period January 1, 2012 – September 30, 2013.

I shall be grateful if you would cause the report to be laid before the House of Representatives and the Senate in due course.

Respectfully submitted,

DOROTHY A. BRADLEY (MRS)
Auditor General

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Acronyms

PR- Permanent Residence

INS- Immigration and Nationality Service

BECIP – Belize Economic Citizenship Investment Program

BNA – Belize Nationality Application

BMPS – Belmopan Station

BWBS – Belize Western Border Station

BNBS – Belize Northern Border Station

PGIA – Phillip Goldson International Airport

OIC – Officer in Charge

BMRPIS – Belize Machine Readable Passport Issuance System

BPIS – Belize Passport Issuance System

BMRPAIP – Belize Machine Readable Passport Application and Issuance Process

MRPS – Machine Readable Passport System

QA – Quality Assurance

FO – Finance Officer

FO Number – Financial Orders Number

USR_FNAME – User First Name

USR_LNAME – User Last Name

USR Step – User Step

App Step Date – Application Step Date

TEP – Temporary Employment Permits

DINS – Director of Immigration and Nationality Service

PRF – Permanent Residence File

S.I – Statutory Instrument

VSU – Vital Statistic Unit
HQ – Headquarters
CIDAPS – Central Intelligence Data and Analysis Processing System
CRO – Criminal Records Office
JICC – Joint Intelligence Coordinating Center
CIB – Crimes Investigation Branch
ACP – Assistant Commissioner of Police
JP – Justice of the Peace
HIV – Human Immunodeficiency Virus
VDRL – Venereal Disease Research Laboratory
DOA – Date of Arrival
FIU – Financial Intelligence Unit
W/Sergeant – Woman Sergeant
ROC – Republic of China
ID Cards – Identification Cards
IT – Information Technology
CITO – Central Information Technology Office
SSB – Social Security Board
UK – United Kingdom
US – United States
CITO – Central Information Technology Office
CSME – Caribbean Single Market Economy

EXECUTIVE SUMMARY

1. On September 30, 2013 the Office of the Auditor General received a copy of a memorandum from the Immigration and Nationality Department regarding some missing visas.
2. The Office of the Auditor General initially decided to undertake a Compliance Audit of the Department's Visa Section. However, during the preliminary phase of the Visa Audit the Immigration Department was faced with a passport scandal and on that basis the audit scope was broaden to encompass both the Passport and Nationality Sections.
3. The audit covers the period 2011 to 2013 and starts with the Visa examination progressing into the Nationality and Passport Examinations. The individual reports are lengthy due to the different findings and the manner in which they were executed. The presentation is done in sections: Visa - Section I, Nationality - Section II, Passport Section - III and Other findings – IV. **Appendices presented, for each section, reflect a small percentage of total findings because of the volume of actual findings.**

Passport – Section III

4. Examination pertaining to acquisition, issuance to Officers in Charge of Passport Section and the Belize Passport Issuance System (BPSI) were carried out. This also included the application for and issuance of Passports.
5. We found that the Passport Issuance System was breached. The Data Capture Operator did not always take a live facial image of applicants. We found that passport application forms were photocopied and did not have the identical surname as that in the passports. One such example was that of Passport P0246777 issued to Wong Hong Kim.
6. The Passport Report highlights numerous incidents of irregularities. The processing history for officers is included to place emphasis on the breakdown of the Department's internal control for policy and procedures.
7. Our audit examination found 55,579 passports were approved without biometric checks during the period investigated April 2011 to September 2013. Numerous inconsistencies were observed including that of passports with numbers printed inside which differed from the number on the page with biometric data.. An issued passport was from a stolen

series. The series were discovered missing on 29th August, 2005 from the Passport Office, Belmopan and the series were never recovered.

8. The IT Manager failed to inactivate officers from the System, who were no longer assigned to the Passport Section, thereby allowing their profiles to remain active in the system. This was of concern to the Audit Team for which the IT Manager was questioned. The Team had access to the System and it was noted that upon questioning, the IT Manager immediately started deleting the status of the inactive officers.
9. The Immigration Department lacked accountability as many stolen, unaccounted and apparent fraudulent Manual Jumbo and Ordinary Passports were found among the cancelled passports presented to Audit. Audit encountered many cases whereby applicants presented temporary/emergency manual passports to renew during the period 2011 to 2013. We ascertained that those passports were approved by the Director Immigration and Nationality.
10. For the period investigated we found no evidence in the Department's confidential files informing Officers in the Passport Section about the stolen passport series. It was also observed that an alleged human trafficker/People Smugglers were able to obtain Belizean Nationality by Registration.
11. Numerous names of foreigners who may have entered Belize on visas and received their Belizean nationality within days, weeks or months have been linked to our list of missing Passport applications (Passport report Appendix I). These cases of suspected fraud may have a direct link with missing Passport applications and un-presented nationality files.
12. Audit was able to identify some passport recommenders involved in the processing of irregular applications and fraudulent Nationality Certificates (Passport Report Section 58).

It is anticipated that the Report presented will provide the Immigration Department with pertinent information required to effect meaningful reform regarding policy formulation and implementation. It should also lend to the building of necessary controls to better effect policies, changes and procedures.

AUDIT INVESTIGATION
PASSPORT SECTION - SECTION III
IMMIGRATION AND NATIONALITY DEPARTMENT
APRIL 2011 TO SEPTEMBER 2013

Introduction:

The following comments, suggestions and recommendations arise as a result of an Audit investigation of the application, approval and issuance of Belize Passports including the 3M Belize Passport Issuance System (BPIS) and other related documents maintained by the Immigration and Nationality Services for the period April 2011 to 30th September 2013.

Audit Mandate

2. This audit investigation has been conducted in accordance with *Section 14* of the Finance and Audit Act 2005 which states:

“If at any time it appears to the Auditor General that any fraud, or serious loss or serious irregularity has occurred in the receipt, custody or expenditure of public moneys or in the receipt, custody, issue, sale, transfer or delivery of any stamps, securities, stores or other Government property of any kind whatsoever, or in the accounting for the same, he shall immediately bring the matter to the notice of the Minister of Finance”.

Background/Reason for audit

2. 2 The Office of the Auditor General initially decided to conduct an audit investigation after receiving a memorandum from the Immigration and Nationality Department on October 09 2013, which reported a case of missing visas. Based on the memorandum received, the Office decided to undertake a Compliance Audit of the Visa Section. The objective and scope of the audit was further developed to include the Passport and Nationality Sections of the Immigration and Nationality Department.

Audit Objectives

Passports

3. Our objectives concerning the Acquisition of Passports from 3M Company, Belize Passport Issuance System (BPIS) and distribution to Officers in Charge of Passport Section were as follows:
 - 1) To determine the system the Department has establish to acquire, safe guard and distribute Passports from supplier.

- 2) To determine whether Passports were issued in accordance with the policies and procedures of the Immigration Act.
 - 3) To determine whether there was a breach of the Passport Readable machine regarding unauthorized access and imputing of data;
3. 2 In addition our objective regarding the Application for and issuance of Passports to applicants was
- To determine whether all naturalized Belizeans in possession of Belizean Passports had submitted all required documentations such as;
- a) Authentication of Application (J.P, Minister of Religion, Medical Practitioner, Notary Public etc)
 - b) Native birth certificate
 - c) Belize Nationality Certificate

The objectives at paragraph 3.1 will be dealt with under separate cover.

WHAT WE EXAMINED

4. In order to reach our objectives we examined the following:
- (i) The application forms for ordinary, official and temporary Passports which were on record for the period April 2011 to 30th September 2013.
 - (ii) The Belize Machine Readable Passport Issuance System (BMRPIS) also known as the Belize Passport Issuance System (BPIS) to verify applicants' Passport information, Passport numbers, photographs and Passports issue dates.
 - (iii) Passports applications to a list of Passports generated from the BMRPIS
 - (iv) Payments made by individuals to the Smart Stream Reporting System
4. 2 In addition, we did a thorough inspection of the actual processing of Passports from the application to the issuance stage. We also interviewed the officers who executed the different processes. Additionally, we conducted interviews with Justices of the Peace, private individuals and former ministers of government.
- 4.3 In accordance with Finance and Audit Reform Act Section 13 (1) (b) Mr. Francisco Gonzalez, Database Technician, from Central Information Technology (CITO) was assigned to assist the Audit team with the extraction of the system users' activities and processes of some applications from the BMRPI.

What we found/Observed

The Belize Passport Issuance System was breached

The Data Capture Operator (DCO) did not always take a live facial image (photo) of applicants

Criteria

Memo PPI/6/01/06 (2) dated 17th March 2006 from the then Director, Jose Carmen Zetina, to Officers in Charge, Passport Offices/ Stations entitled Procedures for the processing of Passports, which includes the procedure for the taking of a photograph as follows:

Photos must be taken from the shoulder up (collarbone) and both ears must be visible. Children photos must be as close as possible to the required image... (Please refer to manual)

Section 6 subsection 4 of the Passport Regulations SI 16 of 2013 and Section IV of the BMRPAIP requires:

The Director of Immigration and Nationality Services or an immigration officer authorized for this purpose shall take –

- (a) The photograph of an applicant or the photograph of the child, when the application is in respect of a child; and*
- (b) The digital record of the applicant's finger print,*
- (c) for the purpose of identification.*

Page 2 of Schedule 1 Belize Passport Application under the section labeled “What to do with the completed form” instruct applicants as follows:

“The completed form with all necessary documents and fee must be presented personally at the Immigration and Nationality Headquarters”

Section IV subsection 3 of the BMRPAID further stipulates that

....., the applicant's facial image (photo fingerprints and signature are captured, the DCO inputs the data from the form and saves unto the MRPS as data entry completed”

5. We observed many instances on the BPIS of applicants who appeared to have not presented themselves to a Passport Office or Foreign Mission to have their photograph taken. The BPIS photograph appeared to be pictures of picture and not as a picture of an individual sitting before a camera. In those cases they could not have had their signatures

placed in the new Passports and had their fingerprints captured (Biometric identity). We also found the BPIS program to be flawed as it does not do biometric comparisons of fingerprint and facial features of applicants.

5.2 In an interview held with Rodolfo Bol, the Information Technology Manager on 31st October, 2013 we asked him if a picture of a person can be scanned into the 3M system and he responded: “Yes a picture can be scanned into the system”.

5.3 In addition, he said:

“The system cannot detect if it is a live person or a photograph that is placed in front of the camera to be photographed. The department did a test with the photograph of the Acting Director of Immigration, Miss Marin and they found out that the system did not detect that it was a photograph and not a live person that the picture was taken of”.

5.4 We found that the BPIS was breached when, Passports P0246777, P0245775, P0245366, P0245554, P0245553, P0228344, P0220240, P0223261, P0225450 and P0196317 among others were issued. See Appendix A. This apparent breach was accomplished when pictures of pictures were inserted into the BPIS. This is achievable if individuals with different levels of access collude in order to breach the BPIS.

Passport P0246777 issued to Wonhong Kim

The Passport application form was photocopied and did not have the identical surname as that in Passport P0246777

Criteria

Section 3(1) (a) of the Passport Regulations 2013 stipulates:

An applicant shall submit his application for a Passport to be issued –

(a) In his own name, in the form set out as form I in Schedule I

6. We found that the Passport application form for which P0246777 was issued in the name of Wonhong Kim was not the original but a photocopied Passport application form. This photocopied Passport application form was dated 3rd September, 2013, but the payment for said Passport was made on the 9th September, 2013 via receipt 987400.
6. 2 We observed that the surname on the photocopied application form was stated as Kin and not the surname Kim as was in the issued Passport P0246777. The supporting documents which included his native Korean Passport M38368121 issued 23rd December 2008 with expiry date 23rd December 2018, nationality certificate number 28577/13 dated 22nd April 2013 signed by Minister Elvin Penner along with recommender forms A and B had the name Wonhong Kim. The recommender forms A and B were endorsed and signed by

Alfonso Cruz Jr. (2nd September 2013) and Minister Elvin Penner (3rd September 2013), stating that they knew him for 4 years and 3 years as a friend respectively.

6.3 The applicant therefore, or the individual who filled out his application and by extension the Director of Immigration and Nationality failed to ensure that the information on the application form was correct in accordance with section 3 (1) (a) of the Passports regulations 2013.

6.4 From the copy of an unreferenced report labeled 'Report on Belize Passport issued to Wonhong Kim' dated October 04, 2013, Ms. Maria A Marin, signing as Ag Director, indicated at paragraph 3 point 1 "Additionally, it was Mr. Phillips' responsibility, as the first vetting officer, to ensure specifically that:

a.) "The Application form was fully and correctly completed. The Surname/Family name in Section 1 of this application form reads "Kin" instead of "Kim" as stated in all other documents on record for this application....."

6.5 On page 3 point 2 paragraph 1 of the aforementioned report, Ms Marin went on to state that Mr. Erwin Robinson was the other Data Capture operator on duty on the 9th September, 2013 and stated that

"A thorough review of the documents against the person presenting himself for data capture is also done at this stage to confirm the applicant's identity, citizenship and eligibility for a Belize Passport". Ms Marin went on to state that the same inconsistencies noted for Mr. Omar Phillips should have been detected by both officers Phillips and Robinson".

6.6 On page 5, Ms. Marin said of the Officer in Charge (OIC):

"Even the error on the first section of the first page (Surname/Family name on Section 1 – "Kin" instead of "Kim") of the application form has no indication of revision by Ms. Neal. It is to be noted that the application form is the very document from which the system data is verified".

Interview with Former OIC Passport Section Sharon Neal Flowers on 11th June 2014

6.7 In an interview with the former OIC Passport section, Mrs. Sharon Neal Flowers, on the 11th June, 2014 it was brought to her attention that the surname on the photocopied Passport application form, Kin, did not match the surname Kim in Passport P0246777 she had approved for printing for Wonhong Kim. Mrs Neal Flowers responded that she did not use Passport application forms when approving Passports for printing; rather the source documents (such as birth certificate, nationality certificate and biographic page of native Passport) which are attached to the application forms are used.

The required two photographs which were to be furnished with Wonhong Kim's application were not attached to his recommenders' forms 3A and 3B

Criteria

Section 6 (1) (f) of the Passport Regulation 2013 stipulates:

- 6 (1) *"An applicant shall furnish with his application all the relevant particulars to demonstrate his identity, citizenship and eligibility to apply for a Belize Passport, including the following –*
- *(f) Two identical photographs, of the applicant or child in respect of whom the application for a Belize Passport is being made....."*

6.8 We noted that the required two photographs were not attached to recommender forms 3A and 3B for Wonhong Kim which violated the above quoted section 6 (1) (f) of the Passport Regulation 2013.

6.9 On page 3 of her internal investigation report, Acting Director, Ms. Maria Marin, revealed that photographs were attached to the original Passport application for Wonhong Kim, but they appeared much younger than the applicant's Passport photo and BPIS photo. The report also stated that the signature in his South Korean native Passport was the reverse of the signature seen on the Passport application. However, from the Passport copy we observed, no signature of the holder was visible.

There was an abundance of suspicious circumstances which indicated the commission of an offence

Criterion

Page 2 of Schedule 1 form 1 under Belize Passport Application Instructions (for 2011 and 2012) and Section 5, page 1 of Schedule 1, form 1 of the Passport application form under Authentication of application (for 2013) states under warning to the applicant and the recommender:

"It is a prosecutable offence [contrary to Section 3 (e) and (h), Chapter 164 of the Laws of Belize to make any false representation with respect to information requested by the form."

Under section 3 (1) of Chapter 164 of the Laws of Belize, Every person who, in respect of any Passport-

- (e) *by personation or false representation procures or obtains or attempts to procure or obtain the issue of the same to himself or to any person;*
- (h) *without having actual knowledge of the applicant for a Passport, makes any declaration verifying the declaration of such applicant, or vouching the fitness*

of such applicant to receive a Passport or makes any such declaration merely on information obtained from the applicant or from any other person,

commits an offence against this Act.

While section 3 (2) states:

“Every person who aids or abets any person in the commission of any offence against this Act, or harbours any person who he knows or has reasonable ground for believing to have committed an offence against this Act, also commits an offence against this Act”.

The penalty for the offence is stated at section 4 and stipulates:

“Every person who commits an offence against this Act shall be liable on summary conviction to imprisonment for any term not exceeding one year, or to a fine of not exceeding five hundred dollars, or to both such fine and term of imprisonment”.

6.10 We noted from the two recommenders forms attached to Wonhong Kim (Kin) photocopied application form that Alfonso Cruz Jr. Office Manager, declared in writing, endorsed and signed that he knew Wonhong Kim for 4 years on form 3A through relationship which was specified as friend, while Elvin Penner, Minister of State, also declared in writing, endorsed and signed that he knew said Wonhong Kim for 3 years through relationship specified as friend. There is a clear indication of criminal infraction that requires a thorough forensic investigation by the relevant authorities. Specifically, a comprehensive criminal investigation has to be launched into the representation made by the Applicant, Mr. Wonhong Kim and the integrity of the endorsements of his two recommenders, Mr. Elvin Penner and Mr. Alfonso Cruz Jr.

6.11 Of note, is that Alfonso Cruz in his recommendation of another individual stated that he was “a Minister’s Aide” on the applicant’s application form. The applicant’s name was Amanda Magana. Her date of birth was stated as 22nd February 1987 with her place of birth as San Andres Peten, Guatemala.

Her place of residence was corner 3rd and Maya Street, San Ignacio. The recommender form 3A was signed by Alfonso Cruz Jr. and dated 8th May, 2013. She will be further discussed in the Nationality report.

The OIC Passport Section did not sign the Passport application form for Wonhong Kim

Criteria

Stages in the Belize Machine Readable Passport Application and Issuance Process (BMRPAIP) Section V indicate that “the Officer in Charge (O/C) is responsible for approval of applications on the Machine Readable System once it reaches this desk”.

Subsection 1 states:

“The O/C must ascertain that the application has been vetted by the counter/process supervisor by ensuring that the form is duly signed on the space provided”.

Section VI of the BMRPAIP indicates that the Print Operator (PO) prints Passports after having confirmed applicant’s data

Subsection 1 states:

“The PO must ensure that all previous officers have signed off on the allocated space on page 2 of the application and must NOT proceed with the printing process if this has not been complied with.”

Section VII of the BMRPAIP indicates that the Quality Assurance Operator (QAO) is responsible for final assessment of the Passport in the MRPPS. This involves the following:

Subsection 1 states:

“The QAO must ensure that all previous officers have signed off on the allocated space on page 2 of the application and must NOT proceed with the quality assurance process if this has not been satisfied”.

6.12 We noted that the OIC neglected to sign the form for Wonhong Kim and as a result she violated section v subsection 1 of the BMRPAIP. In addition, the Print Operator and the QAO also violated sections VI and VII subsection 1 of the BMRPAIP when they proceeded with the printing and Quality Assurance process of Passport P0246777 issued to Wonhong Kim.

6.13 On page 5 under point 4 of her internal investigation report, Ms. Marin stated:

“Revision of the application form (Schedule 1 of the Passport regulations, 2013) showed that Ms. Neal, as the approving officer, did not sign on the allotted space (O/C Approval) after having completed the system approval step. This is in direct breach of the required and amended application form, (as per Passport Regulations, 2013) specially revised to strengthen the process.”

Wonhong Kim suspicious Korean Passport

6.14 On the copy of the biographic page for Wonhong Kim’s Republic of Korea Passport M38368121, (issued 23rd December, 2008 and with expiry date of 23rd December, 2018) most of the printing was not legible, while the Passport number was boldly visible.

His photograph was taken at an angle, which was unusual for Passport pictures. The faded photograph seen in the Passport appeared strikingly similar to the photo (of a

photo) seen on the BPIS. No signature of the holder and no security features were seen on the copy of the Passport page.

Photograph and other biometric irregularities for Wonhong Kim (Kin)

- 6.15 We examined the BPIS and it was apparent that a picture of the applicant's photograph was taken by the Data Capture Operator (Data Entry Operator) and not a live facial image of the applicant Wonhong Kim (Kin). The picture on the BPIS is the replica of the applicant's Passport picture in his Republic of Korea Passport M38368121 issued 23rd December 2008) which was attached to the application. No fingerprints were captured for him; the system displayed "unable to finger print" while the signature of Wonhong Kim (Kin) in the system did not match that on his application form.
- 6.16 It is our belief that Wonhong Kim had not submitted the application form himself, as at the time of his application for a Belizean Passport he was in a Taiwanese jail. Our belief was substantiated by a letter dated 21st January, 2015 from the National Immigration Agency, Ministry of the Interior Taiwan. The letter states in part:
- “.....regarding the detention information for Mr. Wonhong Kim, according to the NIA's database, he was detained in the Yilan Detention Center through 1st August to 26th September 2013.....”
- 6.17 That letter proved that the application form dated 3rd September 2013 was not presented to the Passport section by Wonhong Kim since he was detained at the Yilan Detention Center in Taiwan. This means that it was impossible for the data entry clerk, Passport section, to have captured Wonhong Kim biometrics and photograph.
- 6.18 The application form appeared to have been written by the same individual who filled in the required declaration of recommender forms 3A and 3B. An investigation is required by the Police, to conduct a handwriting analysis to determine wheather the same person filled out both forms, since to falsify another person's signature and identity is forgery, which is a prosecutable offense.
- 6.19 We noted that Omar Phillips signed in the Official section on page 2 of the application form as Counter Clerk although he was not assigned the role of Counter Clerk, but Data Entry Clerk on 9th September, 2013(as seen in the unreferenced report dated October 04, 2013). The Counter Supervisor for same date was Carlos Amaya, while Mark Tench was the Print Operator and he delivered Passport P0246777 to Jose Cante. It was noted that Erwin Robinson performed two BPIS user roles with Wonhong Kim's (Kin) application as shown at **Table A** below.

Table A

The following is the names of the officers who performed roles as aforementioned

Wonhong Kim	9-Sept-2013	Erwin Robinson	Officer signed as Data Entry Operator and Quality Assurance
		Mark Tench	Officer signed as Printing Operator and the Officer who delivered Passport to authorized recipient
		Omar Phillips	Officer signed as Counter Clerk

6.20 Ms. Marin in her aforementioned unreferenced report at page paragraph 3 point 1 stated:

“.... A review of this application form showed that Mr. Omar Phillips accepted the application on September 9th, 2013. Mr. Phillips was assigned as one of the two Data Capture Operators on that date; he was not assigned Counter Clerk and as such should not have accepted the application. He should have instead referred the applicant to one of the Passport staff assigned as Counter Clerk on that date.....”

6.21 On page 6 of her aforementioned report, Ms. Marin stated:

“Additionally, the quality assurance step performed usually assigned to another staff member of the Belmopan Passport section, was done by Mr. Erwin Robinson, the same clerk who captured the data at the data entry step of the process. The officer, Ms. Tiffany Taylor who was assigned to the quality assurance desk at the time was in fact in office on the 9th September, 2013, but despite this Mr. Robinson was allowed to carry out this function. Inconsistency in the execution of duties by the assigned and responsible officer weakens the process and such open access by any one officer compromises the process.”

6. 22 Further examination of the BPIS processing of the Passport for Wonhong Kim (Kin), shown at **Table B** below, confirmed the users and approvers of Wonhong Kim’s (Kin) Passport P0246777 and also confirmed that two BPIS user roles were assigned to Erwin Robinson.

Table B

The following is the BPIS history of procedures for Wonhong Kim's Passport P0246777

USR_FNAME	USR_LNAME	APP_STEP	UPDATED_DT
Erwin	Robinson	Created	2013-09-09 10:51:40.750
Erwin	Robinson	Data Entry Completed	2013-09-09 12:53:46.950
Sharon	Flowers	Approval	2013-09-09 13:01:01.940
Mark	Tench	Print	2013-09-09 13:04:44.657
Mark	Tench	Print Confirm	2013-09-09 13:06:34.093
Erwin	Robinson	QA Pass	2013-09-09 13:33:39.097

6. 23 Since the steps to the BMRPAIS (section I to VIII) define the various BPIS roles as distinct and separate roles, Audit could not ascertain who authorized and gave access to Erwin Robinson to perform the roles of Data Entry Operator and Quality Control Operator at the same time, which violated the Machine Readable Passport Policy.

6. 24 Moreover, the unreferenced report on Belize Passport issued to Wonhong Kim and an Internal Confidential Memo referenced GEN/2/01/14 (50) from the Director, Maria Marin directed to the CEO in the Ministry of Labour and Local Government dated 18th February 2014, stated that Tiffany Taylor was assigned as the Quality Control Operator on 9th September 2013 and not Erwin Robinson.

6. 25 In an interview with Mr. Erwin Robinson on 25th February, 2014, we asked him how he knew what tasks he would perform for a day and his response was:

“When I log on to the system instantly I can see the list of duties I can do for the day”.

6. 26 We noted that officers, some of whom no longer worked with the Immigration and Nationality Department, others still working there, but their tasks did not involved access to the system, still had active user roles on the system before deleted by, the Information Technology Manager, Mr. Rodolfo Bol on 22nd November, 2013.

This is further explained at paragraph 22 below labelled ***“The IT Manager, Mr Bol failed to delete the status of the inactive officers from the BPIS although they no longer worked at the main office in Belmopan”.***

6. 27 We noted that the status of the Passport P0246777 in the name of Wonhong Kim was still at “QA passed”. This was the case for numerous Passports for which applicants or persons authorized had signed for on the application forms as having received the Passports, yet the system still had them at QA passed or QA override status, when those PPassports should have been at the “Delivered” status.

6. 28 We also noted an undated authority letter attached to the application form, allegedly signed by Wonhong Kim, which authorized Jose Cante to pick up his Passport. We compared the signature on the authority letter to the signatures on the Passport application form, the BPIS and his petition letter and all three did not match. The signature of Jose Cante appeared on the form as recipient of Passport number P0246777 but no date [received] was written on the form. Attached was also a copy of Jose Alberto Cante's Passport number P0108300 issued June 15, 2009. The issue date of Wonhong Kim's Passport P0246777 is recorded in the BPIS as September 9, 2013
6. 29 It was noted that three officers involved in the processing of this Passport were suspended however the print officer, Mark Tench, who also confirmed the printing of the Passport and whose role it was to verify the data on the system (BMRPAIS 1-3), was not suspended. We could not ascertain why Officer Mark Tench was not suspended along with the other three officers who performed procedural roles in the approval to issuing stages of Wonhong Kim's (Kin) application and Passport.

Unauthorized payment by Wonhong Kim (Kin) of expedited Passport fees

6. 30 We observed from other Passport applications examined, that the Director of Immigration and Nationality would approve the expediting of Passports on application forms with her signature, date and the words "okay to expedite" but in Wonhong Kim's (Kin) case, there was no indication on the application that the Director (signature) approved the expedite service. We saw the signature of Carlos Amaya as the officer authorizing the expediting of Wonhong Kim's (Kin) Passport application. We could not interview this officer as he is deceased.
6. 31 According to the Ms. Marin in her aforementioned unreferenced report:
- "The discrepancy here is that the approval to expedite the Passport application in question should have been done by Sharon Neal (Flowers) who was holding over as Acting Director (Ms. Maria Marin was on approved vacation leave from September 6 to 11, 2013). Instead the approval (to expedite the application) was done by Mr. Carlos Amaya, the Passport Counter Supervisor. When asked about it Ms. Neal did inform that she had delegated this responsibility to the then Counter Supervisor, Carlos Amaya; hence, the reason for his signature of approval of the expedited service (24 hours) and evidenced by Mr. Amaya's initial on the front page (page 1) of the Passport Application form".*
- 6.32 Two Hundred and Fifty Dollars (\$250.00) was paid via receipt 987400 on 9th September 2013, which was for the 24 hours service.

Interview date was arranged with Honourable Elvin Penner on 17th March 2013

6.33 On the 17th March, 2013, an interview was arranged between Audit and Honourable Elvin Penner via telephone in order to question him about the Passport and nationality certificate issued to Wonhong Kim (Kin).

He agreed to said interview, but called back and informed that he would not be able to attend the interview again as he wanted to discuss the matter with his lawyer first. He explained that his lawyer was not in the country, but as soon as he returned, he would be glad to meet with Audit. Up to the time of writing this report we were not contacted by the Minister.

Aborted interview on 23rd June 2013 – Alfonso Cruz Jr – Justice of the Peace

6.34 We visited the residence of Alfonso Cruz on 23rd June, 2013 and he agreed to talk with us, however, after a few questions he indicated that he had nothing to say.

Passport P0246777 issued to Wonhong Kim picked up by Jose Cante

6.35 We did an interview on 27th August, 2014 with Jose Cante, former driver of Minister Elvin Penner whose name appeared on the Passport application form as recipient of Passport P0246777 issued to Wonhong Kim. Jose Cante's name was also on the authorization letter allegedly sent by Wonhong Kim. Wonhong Kim's address was stated as Belize C.A, and the letter was addressed to Mrs. Sharon Neal Flowers, Immigration Office Belmopan.

Interview with Jose Cante on 27th August 2014

6.36 Mr. Cante said that on the 9th September, 2013 while on his way to Minister Penner's Office located on 6th Street in San Ignacio, he was met by a Korean man on Guadalupe Street. The man, whom he had never met before, told him to go to the Immigration Office saying, "*Your boss said if you can pick up a Passport for me.*" We asked if he knew or saw the man before and also whether he asked him his name to which he responded no. We then asked him if he had asked the man any questions or confirm with Mr. Penner if he had sent the man to him, he said he did not usually question people who asked him to do errands for Minister Penner. He said that he went straight to Belmopan and was not given any written authority to take to the Office.

6.37 In Belmopan, he was met outside of the Immigration Office while still in his vehicle by a Creole man who asked him to sign on the Passport application form. He said he printed his name only and the signature on the form as recipient was not his. He was given an envelope but did not open it to see the Passport. (The Passport form showed that the Passport was delivered by Mark Tench who also signed on the Passport application form as Print Operator).

6.38 Mr. Cante said he did not realize that the person who requested the favor was following him all the way from San Ignacio. Somewhere near the end of Forest Drive as he was heading back to San Ignacio, the man signaled him to stop by blowing the horn of his vehicle. He came out of the vehicle and handed over the brown envelope. He did not receive any money for this errand.

6.39 We asked him how the Passport Section was able to get a copy of his Passport and he said that some time later he was visited by Alfonso Cruz JP, Minister Penner's Aide (he also recommended Wonhong Kim on Passport application form 3A). Mr. Cruz asked him for his Passport and said it was for him to get assistance from the government. On another occasion he was told by Alfonso Cruz that since he had signed the Passport form (Wonhong Kim's) he needed a copy of his Passport. He said when he was aware of what happened he asked Mr. Penner about it and was told, "Don't worry; it is nothing."

Eric Chang had attempted to visit Passport P0246777 holder Wonhong Kim at a detention center in Taiwan

6.40 Audit was provided with a copy of an email subject matter "Belizean detained in Taipei dated 16th September, 2013 which was sent by Belize Ambassador to Taiwan. This email revealed that Eric Chang had attempted to visit South Korean National (Wonhong Kim) at a detention center in Taiwan.

6.41 Paragraph 2 *the* email stated a follows:

"On Friday night, I got an urgent call from Eric Chang, Deputy Mayor of Belize, who is in Taiwan. He was here for an APEC summit, invited by Taiwan, and stayed on to be with his family in Taiwan. Eric called me in a panic asking me if I could urgently issue a visa to two S. Korean nationals who NEED to go to Belize the next day. I asked Eric what was the emergency, as I needed to know what was the emergency before I could issue a visa, as well as I needed all documentation filled out and approved. Eric could not give me the reason for the emergency and told me that he may have had to call a "minister" in Belize to issue visas upon arrival. I told him, if he feels he can do that, then perhaps they would issue a visa upon arrival, but before I issue an urgent visa to anyone, I need a reason and all document completed. He told me ok, thank you."

6.42 Paragraph 3 *the* email stated as follows:

The following morning (Saturday) I received another phone call from Eric, again, informing me that he is at a detention center in Taiwan, visiting a S. Korean national (friend of the friends who wanted the visa) and that perhaps Immigration will call me to

ask me if Eric is indeed the Deputy Mayor of Belize. He never told me that the person in detention was a Belizean Citizen (by naturalization).

I told Eric if Taiwan Immigration contact me, and asks me if he is the Deputy Mayor, I will have to tell them yes, as this is a fact, but that I cannot offer any other information. He said ok. Taiwan Immigration did not call me that day (Saturday or Sunday).

6.43 In paragraph 5 Ms. Nisbet stated:

Today, Monday, (this afternoon) Eric called to tell me that his friends are still interested in getting their visa for Belize, and that perhaps he will come to the Embassy today to bring the document. I then asked him if he ever got to visit the Belizean in detention and he told me no, that it is a long story and he has to explain to me when he sees me. I told him ok.

6.44 Ms Nisbet went on to state at paragraph 8 as follows:

Just as I was preparing to write this email, I received a phone call from Taiwan Immigration (approximately 5:00pm). Their reason for calling was to inform me that they have been aware that one Eric Chang, Deputy Mayor of Belize City, wants to visit this Belizean National in detention, and they just wanted the Embassy to know that they don't believe it is advisable for Eric to visit this person in detention. The Immigration agent told me that Mr. Kim is being detained as a S. Korean and that he has done "a bad thing" in his country which is why he is being detained by Taiwan Immigration. I thanked her for her phone call, and she said she will call me if she needs to speak to me again, but that it is not a Belize issue, but a S. Korean issue.

6.45 According to the email, Mr. Alejandro Young, Charg'd' Affaires of Honduras brought the original Passport P0246777 issued to Wonhong Kim and the original nationality certificate number 28577 along with a copy of a petition to the Belize Embassy in Taiwan to Ms. Nisbet.

He asked that a letter be written to validate the Passport and nationality. This was so that Mr. Kim could be issued a Taiwan visa in the Belize Passport in order for him to be released from detention.

6.46 Audit was also provided with purported copies of the biographic page of the Passport P0246777 and the nationality certificate number 28577 along with a copy of a petition allegedly signed by Wonhong Kim dated 14th September, 2013.

6.47 In it he stated that he had been in prison for over a month. The petition was also for immediate release as a Belizean citizen from the National Immigration Agency of the Republic of China to be placed under the authority of the Belize Embassy in Taiwan.

- 6.48 We could not ascertain how Passport P0246777 issued in the name of Wonhong Kim got to Taiwan and consequently to Mr. Alejandro Young, Chargé d' Affaires of Honduras after it was picked up from the Belize Passport Office by Jose Cante.
- 6.49 Upon verification of the Register for Citizen by Registration to verify said certificate number 28577/13; the last page in the Register had the series 28482 to 28515 which were blank and implied that the certificate numbers had not yet been utilized.
- 6.50 A review of the Nationality Number Book revealed that certificate number 28577/13 in the name of Wonhong Kim was in this book however it was dated 2nd September, 2013 instead of 22nd April, 2013 as stated on his nationality certificate signed by Minister Penner. This will be further reported on under nationality report.

Irregularities with Issuance of Passport number P0245775 issued to applicant Yakup Sut

7. We found an application form dated 22nd August 2013 in the name of Yakup Sut, whose date of birth was stated as 15th June 1968, while his place of birth was Ardahan Turkey. A copy of nationality certificate number 28570/13 dated 3 June 2013 and signed by Minister Elvin Penner, was seen attached to his Passport application form. We observed that he paid Two Hundred and Fifty Dollars (\$250.00) via receipt number 977109 dated 23rd August 2013 for an expedited 24 hour service. Page 2 of the application form showed that Passport P0245775 was issued in his name which was received on 26th of August 2013 however it was not picked up by applicant Yakup Sut as was seen under the section "FOR OFFICIAL USE ONLY".
- 7.2 We observed that there was no signature or initial of the counter supervisor and also the printed name of the officer who delivered the Passport to the recipient. We also tried to make out the signature and printed name of the receiver of the Passport; however it was futile as it was not legible. It was noted that no letter of authorization and or a copy of the recipient social security card or Passport number were attached to indicate that the person picking up the Passport had been given the authority to do so. No fingerprint was captured for him; the system displayed "unable to finger print."
- 7.3 Scrutiny of the recommenders form 3A and 3 B (attached to the application form) revealed that Martin Cal, businessman of number 3 University Boulevard, Belmopan City signed that he was acquainted with Yakup Sut for "*one year through known Mr. Sut for over 1 year*" on form 3A dated 22nd August 2013 while Elvin Penner, Minister of State, of number 14 – 10th Street San Ignacio signed form 3B also dated 23rd August 2013 as being acquainted with the applicant Mr. Yakup Sut for "*3 years through business person*".
- 7.4 As with Wonhong Kim, the recommenders of applicant Yakup Sut, Martin Cal (businessman) who declared in writing that he knew the applicant for 1 year on form 3A and Minister of State Hon. Elvin Penner, who declared that he knew the applicant for 3

years on form 3B, there is an abundance of suspicious circumstances surrounding the process of the Passports to suggest criminal culpability.

7.5 Again, the Commissioner of Police is legislated with the authority to charge any individual in Belize who commits a prosecutable offense.

Yakup Sut's suspicious Turkish Passport

7.6 The copy of the biographic page of his “**Republic of Turkey**” Passport U00572356 (issued and expiration date unknown) that was attached to the application for Yakup Sut also seemed irregular. The print was barely visible and the photograph in the Passport appeared to be the same photograph submitted with his Passport application. Indeed the photo also appeared to be the same one, copied on to his nationality certificate number 28570/13 dated 3rd June 2013 and the one found on the BPIS. It would appear that the same photograph was used for all the official purposes appertaining to his application for a Belizean Passport. The barely visible signature on the copied Passport page did not resemble the signature on his Passport application.

7.7. As explained above at paragraph **6.49** the Register for Citizen by Registration had only series 28482 to 28515 which were blank. However, a review of the Nationality Number Book revealed that certificate number 28570/13 in the name of Yakup Sut was recorded in this book, but was dated 16th August 2013 instead of 3rd June 2013 as stated on his nationality certificate signed by Minister Penner. This will be further reported on under nationality report.

7.8 In discussion with a former Officer in Charge (OIC) Therese Chavarria of Passport Section and the current OIC Georgia Bowen, they revealed that anyone can physically deliver a Passport/s to a recipient/s but that it was not necessarily the same person who delivered it out of the BPIS. The delivery on the BPIS could happen on the same day or much later by any officer who was authorized to do so. Therefore the delivery date on the BPIS will not always be the same date the Passport was physically delivered to the recipient.

7.9 During the review of his Passport application, we noted that the Counter Clerk who accepted the application was Erwin Robinson while no one signed on the line as Counter Supervisor. We also noted that the officer who delivered the Passport in the system was Carlos Amaya; however, the signature on Sut's application form as the person who delivered the Passport to the recipient was not that of Carlos Amaya. The recipient of the Passport also appeared to be the same officer who issued it. 7.10 We did verification of Yakup Sut's application form to the BPIS and found that the image captured of him was a picture taken of a picture. We noted that the same picture that was attached to his nationality certificate was the same one used on his recommender forms as well as in the BPIS. During the verification we also investigated to see who were the users and

approvers of the said Passport and found that Erwin Robinson had also performed two BPIS user roles in the processing of Yakut Sut's Passport. **Table C** below shows the users who did the processing of Yakup Sut's Passport number P0245775

Table C

The following is the BPIS History of Procedures for Yakup Sut's Passport P0245775

USR_FNAME	USR_LNAME	USR Step	App Step Date
Erwin	Robinson	Created	26/08/2013 08:35
Erwin	Robinson	Data Entry Completed	26/08/2013 11:09
Sharon	Flowers	Approval	26/08/2013 15:22
Mark	Tench	Print	26/08/2013 16:02
Mark	Tench	Print Confirm	26/08/2013 16:03
Erwin	Robinson	QA Pass	27/08/2013 09:13
Carlos	Amaya	Delivery	01/10/2013 10:23

7.11 As with Wonhong Kim (Kin), we could not ascertain who authorized and gave access to Erwin Robinson to perform the roles of Data Entry Operator and Quality Control Operator at the same time, which violated the Machine Readable Passport Policy.

Passports P0220239, P0220240 and P0223261 issued to Nina Sha and Zhaoyi Sha

8. Nina Sha and Zhaoyi Sha's Passport application forms were submitted to the Passport Office in Belmopan, Belize dated 9th November, 2012 and 22nd November, 2012 and accepted by Erwin Robinson on said dates. Both of their application forms were not filled in at Section 4 titled "Declaration" and the two applicants did not sign said forms.
8. 2 The application forms also stated that Nina Sha and Zhaoyi Sha were born in China and that their dates of birth were 24th January 1976 and 19th February 2002 respectively. Their application forms stated that their home address was 16 Sarstoon Street, Belmopan. In the section for their home address while in Belize (this they had already answered as can be seen) was Vista Del Mar, Ladyville. The "Has name been changed" section had address Rongtai 21st Street, Zhangshan Dist. Dailian Liaoning Province.
- 8.3 We saw that Nina Sha was recommended by Demecio Cal JP who stated that he knew her for 2 years while Emory Mason Young JP recommended Zhaoyi Sha, who he stated that he had known for 5 years. The officer who accepted the application form for Nina Sha was Erwin Robinson. Nina Sha's Passport was delivered by Eleud Romero to someone who signed Sha Nina on the 14th November, 2012. The officer who accepted the application form for Zhaoyi Sha and who delivered it to the recipient was also Erwin Robinson. The recipient was someone who signed Sha Nina/ Nina Sha but no date was recorded to

indicate when the Passport was received by the individual. **Table D** and **E** below show the BPIS history for Nina and Zhaoyi Sha's Passport P0220240 and P0223261.

Table D

The following is the BPIS application processing history for Nina Sha's Passport P0220240

User Date	User Step	User Name	Location
14/11/2012	Delivery	Romi Rosas	Belmopan
09/11/2012	QA Override	Omar Phillips	Belmopan
09/11/2012	Print Confirm	Eleud Romero	Belmopan
09/11/2012	Print	Eleud Romero	Belmopan
09/11/2012	Approval with no Biometric Check	Sharon Flowers	Belmopan
09/11/2012	Data Modified	Romi Rosas	Belmopan
09/11/2012	Data Entry Completed	Romi Rosas	Belmopan
09/11/2012	Created	Romi Rosas	Belmopan

Table E

The following is the BPIS application processing history for Zhaoyi Sha's Passport #P0223261

User Date	User Step	User Name	Location
29/11/2012	QA Override	Omar Phillips	Belmopan
29/11/2012	Print Confirm	Eleud Romero	Belmopan
29/11/2012	Print	Eleud Romero	Belmopan
29/11/2012	Approval with no Biometric Check	Sharon Flowers	Belmopan
23/11/2012	Data Entry Completed	Romi Rosas	Belmopan
22/11/2012	Data Modified	Romi Rosas	Belmopan
22/11/2012	Data Modified	Romi Rosas	Belmopan
22/11/2012	Created	Romi Rosas	Belmopan

8.4 The Passport issue list showed that Nina Sha's Passport was printed on the same date of the application although the application showed that only \$50.00 was paid via receipt 806296 dated 9th November, 2012 (\$100.00 was the fee for an emergency Passport to be issued within 3-5 days). Passport P0220239 was recorded as issued to Nina Sha on the Passport application but the Passport issue list showed that P0220239 was issued to Geselle Shanelle Herrera and P0220240 to Nina Sha on 9th November, 2012. We noted

that \$30.00 was paid for Zhaoyi Sha's Passport P0223261 via receipt 812604 dated 22nd November, 2012 instead of \$60.00 the amount charged for an emergency Passport.

8.5 Attached to both of their application forms was a copy of fraudulent replacement nationality certificate number 16677/02 dated 7th June, 2002 in the name of Nina Sha. The name of Honourable Maxwell Samuels was printed thereon to indicate that he had signed the original. One child Zhaoyi Sha, a male was listed as included on said certificate. We saw at the back the certificate what appeared to be the signature of Gareth Murillo suggesting that it had been replaced by him on 6th January 2009.

8.6 In the Register of Citizens by Registration, the name of the genuine holder of certificate number 16677/02 Jamil Chinapen, was covered by white-out and the name Nina Sha was written over the white-out (no children were included). The date of his certificate was 20th June, 2002 and his file number was recorded as 16869. Below his entry was his same file number and date for certificate number 16678 with the name of Margaret Chinapen and 2 children included. An inspection of the BPIS showed that certificate number 16677/02 had been issued to Jamil Chinapen and his current issued Passport number was P0132667 issued in May 2010. We requested file number 16869 but it was never presented to us.

8.7 We also saw attached to Nina Sha's application form a faded copy of her Chinese Passport G20788210 biographic page, issued 19th January, 2007 with expiry date of 18th January, 2017. There was a smear which appeared to have been the result of her picture had gotten wet. (Commonly seen on many Chinese Passports examined). The smear was seen over the barely visible face and head of Nina Sha and the Passport had no signature or obvious security features. It was certified as having been compared with the original Passport by Erwin Robinson. In respect of Zhaoyi Sha, a copy of the biographic page of the Belizean Passport P0220240 issued to Nina Sha on 9th November, 2012 was attached to his application form. The copy of her biographic page was certified as having been compared with the original Passport by Erwin Robinson.

8.8 We could not ascertain why:

- i. A copy of Zhaoyi Sha's Chinese Passport was not attached to his application form (it is our belief that his mother, Nina Sha should have had one in her possession).
- ii. Erwin Robinson accepted the mother's Passport instead of requesting the child's native Passport which is a requirement on page 2 of the Passport application form.
- iii. The OIC Sharon Neal Flowers, the Print Operator, Eleud Romero and Quality Assurance officer Omar Phillips continued with the processing of the application form to the stage of Passport P0223261 issued to Zhaoyi Sha, when it was their duty not to proceed to any other stage in accordance with sections I to VII of the BMRPIS. This should have been done if any form is incomplete or lacking any of the requirements as specified on page 2 of the application form.

8.9 We also noted that two original Social Security letters dated 6th November, 2012 and 26th November, 2012 respectively were also attached to their Passport application forms.

Those letters were to “confirm” that Nina Sha and Zhaoyi Sha were registered insured persons and that their Social Security numbers were 000511388 and 000511435 but that their cards would not be ready until 13th November, 2012 and 3rd December, 2012 respectively. Said letters were signed by E. Wright.

8.10 The Social Security Board indicated that no one by the name of Wright has ever been employed by the Board on 30th May 2014. We requested a written confirmation and received the Board’s response via HRGEN/2015 (1) dated January 2, 2015. The Board confirmed that there has never been any employee/employer status between one Mr. Enfield Wright and the Social Security Board.

8.11 A search of the BPIS showed that Nina Sha and Zhaoyi Sha did not present themselves to any Passport Office to capture their biometrics as their photos appeared as a picture of a picture and their fingerprints were not captured.

8.12 We received information about applicant Nina Sha, who had gone into the Consulate of Belize in Singapore along with Zhaoyi Sha requesting verification of their Passports. They had been told by their “agent” that there were still available Passports from the previous Economic Investment Citizenship Program and that the Passports had been issued from the remaining balance. They had not brought their citizenship document (nationality certificate) with them. During an interview held by the Consulate in Singapore on the 26th March 2013 they revealed that they had never visited Belize or any Passport office to capture biometrics and that they had applied for Belizean Economic citizenship through someone in Dalian, China in 2012. This confirmed our belief that pictures of pictures were taken of Nina and Zhaoyi Sha.

8.13 We contacted the Consulate of Belize in Singapore, Mr. Allen Walters via email dated 28th October, 2014. He verified by email dated 29th October, 2014 that Nina and Zhaoyi Sha had gone into the Singapore office and that Nina Sha had revealed that they had never visited Belize or any Passport office to capture biometrics.

Nina Sha used the social security number of Jinquan Chen

8.14 The Social Security number used by Nina Sha is the Social number for Jinquan Chen. Jinquan Chen has a Belize Passport number P014112 issued 4-August-2010 through Nationality Certificate number 20224/06 dated 6th November, 2006.

- 8.15 The certificate number 20224/06 is for Jianjiang Chen in the Register of Citizens by Registration who was listed as the father of Jinquan Chen on the BPIS Passport application; however, no children were included on the certificate as shown in the Register of Citizens by Registration.

Passport P0225450 issued to Lai Kai Wen

9. An application was submitted to the Passport Office Belmopan, Belize on 27th December, 2012 in the name Lai Kai Wen, whose date of birth was stated as 24th March, 1965 and her place of birth being China. Her application appeared as though it was written in felt-tip (temporary) ink, which was already fading. We noted that said application form was incomplete as Section 3 (for Citizens by Registration) and Section 4 (Declaration) were not filled in; neither was there a signature of the applicant nor date of application. The applicant was recommended by Wilson Lee JP of Belize City who stated that he had known the applicant for 10 years.
- 9.2 Attached to the application form were copies of the biographic pages of her Belizean Passports A005294 issued 30th July, 2007 and Passport 0290806 issued 24th March, 2005. Both of those Passports were issued in Hong Kong.

There was a note in Passport 0290806 by the Trade Office of Belize which stated that the bearer was also known as Liang Liji. However, no deed poll was attached to the application. We noticed that the two Passport copies were certified by Erwin Robinson.

- 9.3 It is to be noted that Passport A005294 was from a batch series A005201-A005300 which was unaccounted for in the Jumbo Register while 0290806 was from batch series 0290601-0291100. Our investigation revealed that serial Passports from this batch were diverted and fraudulently issued. See Appendices D.3 and D.4. We found two of those Passports among cancelled Passports which had been found by Police in a box on 19th September 2005 at the Immigration Department.
- 9.4 In an interview on 5th June 2014 with Director International Affairs in the Ministry of Foreign Affairs, we asked whether the Trade Office of Belize in Hong Kong was a Government of Belize authorized office and whether the Director was Telly T. Chi. She responded “Yes the Trade Office of Belize in Hong Kong was a legitimate office headed by Miss Telly Chi.”
- 9.5 We noted Fifty Dollars (\$50.00) Passport fee was collected via receipt 831704 dated 27th December, 2012 instead of One Hundred Dollars (\$100.00) for an expedited Passport (3-5 days delivery). A search of the Passport issue list revealed that Lai Kai Wen was issued her Belizean Passport P0225450 on 28th December, 2012; therefore she did not pay the correct fee for her Passport.

9.6 We observed that Erwin Robinson signed the Passport application form as Counter Clerk, Data Entry Clerk and the Quality Assurance officer, which was a violation of the Belize Machine Readable Passport Issuance Policy. Someone wrote the name Wen Lai Kai as recipient of Passport P0225450 but no date of receipt was recorded. No one signed as the person who issued said Passport to the recipient. **Table F** shows the BPIS history of Lai Kai Wen's P0225450.

Table F

The BPIS application processing history for Lai Kai Wen's Passport P0225450

User Date	User Step	User Name	Location
28/12/2012	QA Override	Erwin Robinson	Belmopan
28/12/2012	Print Confirm	Eleud Romero	Belmopan
28/12/2012	Print	Eleud Romero	Belmopan
28/12/2012	Approval with no Biometric Check	Sharon Flowers	Belmopan
28/12/2012	Data Entry Completed	Romi Rosas	Belmopan
27/12/2012	Data Modified	Erwin Robinson	Belmopan
27/12/2012	Data Modified	Erwin Robinson	Belmopan
27/12/2012	Created	Erwin Robinson	Belmopan

9.7 No nationality certificate number was written on the application form by the applicant or the Counter Clerk. A search of the BPIS showed that the applicant used nationality certificate number 5595/95 dated 7th August, 1995. We examined the Register of Citizens by Registration and it showed that certificate number 5595 was issued to Liang Li Ji on the 7th August, 1995 with one child included and also to Fan Yu Cheng on 27th September, 1996 with one child included (both certificates were issued under Section 10 of the Nationality Act).

9.8 The BPIS photo of Lai Kai Wen appeared as a picture of a picture with no fingerprints of the applicant captured.

9.9 We found the cellular number of Ailing Li who had the same address as Mr. Wilson Lee and called the number but our calls went unanswered. On two occasions (12th and 14th August 2014) we visited their premises at 105New Road, but this also proved futile as no one answered our knock at the door. A neighbor informed us that they were in Hawaii.

Passport P0163729 issued to Jianke Tan

10. Jianke Tan who was born in China on 7th January, 1972 with home address as 29 Baymen Avenue, Belize City submitted an incomplete application form which was accepted in the

Passport Office by Elick Chan on 26th April, 2011. Sections 3 and 4 of his application form as well as the date of the application were not completed. Under the For Official Use section, the data entry, print operator, quality assurance, Passport delivered by and date of issue lines were not completed by officers of the Passport section.

10.1 The applicant was recommended by Wilson Lee, JP, of 105 New Road, Belize City, who stated that he had known the applicant for six years. Attached to the application form was a copy of his biographic page of an irregular Chinese (like Nina Sha's Passport) Passport G26541480 issued on the 11th March, 2008 and expiration date of 10th March, 2018. There were no apparent security features seen on the Passport copy and it had no signature of the bearer. His Passport photo appeared with a stain over the face and head as seen on other similar Chinese Passports attached to applications we examined.

10.2 A search of the BPIS showed what appeared to be a picture of a picture of Jianke Tan with no fingerprints captured. **Table G** shows the BPIS history of Jianke Tan's Passport P0163729.

Table G

The BPIS application processing history for Jianke Tan's Passport P0163729

User Date	User Step	User Name	Location
11/07/2011	QA Override	Sharon Flowers	Belmopan
27/04/2011	Print Confirm	Elick Chan	Belmopan
27/04/2011	Print	Elick Chan	Belmopan
27/04/2011	Approval	Sharon Flowers	Belmopan
27/04/2011	Un-Issue	Sharon Flowers	Belmopan
20/04/2011	Misprint	Elick Chan	Belmopan
20/04/2011	Print	Elick Chan	Belmopan
20/04/2011	Approval	Sharon Flowers	Belmopan
20/04/2011	Data entry	Erwin Robinson	Belmopan
20/04/2011	Created	Erwin Robinson	Belmopan

10.3 Also attached to his application form was a copy of a replacement nationality certificate number 16805/02 dated 28th June, 2002 with the name Hon Maxwell Samuels printed on the front as the Minister who had signed and a signature that appeared to be that of Gareth Murillo as the Director who replaced the supposed original certificate on 8th October, 2009.

10.4 A search of the Register of Citizens by Registration showed that there was no entry for this certificate number. The applicant's file was requested by his name on 19th June, 2014, since no file number was recorded in the Register but no file was presented.

10.5 He paid Fifty Dollars (\$50.00) via receipt 500276 dated 26th April, 2011 instead One Hundred Dollars (\$100.00) the cost for an emergency Passport.

10.6 As was the case with Huyuan Dai as reported below at paragraph **11**, the Passport issue list revealed 2 printings of Passport P0163729: one on the 20th April, 2011 and one on the 27th April, 2011. We could confirm that Passport P0163729 was issued to Jianke Tan by the Passport office. The Passport was collected without a written authority by a recipient who wrote what appeared as the word “Trs” on the recipient line.

Interview with Former OIC Passport Section Sharon Neal Flowers on 11th December 2014

10.7 In an interview held with the former OIC Passport section, Sharon Neal Flowers, she was asked why she QA override Jianke Tan’s Passport P0163729 so long after it was printed. She responded: “The photo of the person and the application would help.” Those were shown to her and she said: I don’t know. I don’t know why it would take so long for me to QA. The Passport before this one would have been spoilt.” She was further asked if unissued would mean a spoilt Passport and if that meant that P0163728 should have been spoilt and she answered “yes.” We said that would be the case if Passports were issued in sequential order to which she responded “yes”, we issued and printed them in numerical order.”

10.8 We noted that Passport P0163728 was issued to Huyuan Dai which meant that it was not spoilt.

Passport P0163728 issued to Huyuan Dai

11. Huyuan Dai whose date of birth was stated as 31st August, 1963 with place of birth Chongqing China and his home address as 13 Kelly Street, Belize City submitted an incomplete Passport application form on 26th April, 2011. Said Passport application form was accepted by Elick Chan although it was not filled in at Section 3 (to be completed by Citizens by Registration) and Section 4 (Declaration) on page 1 and also the signature of the applicant and date of the application were missing.
11. 2 The data entry, quality assurance, Passport delivered by and date of issue lines were not completed on page 2 of the application form in the “Official Use” section (only the recipient who wrote the word “Dai” on the space provided). The applicant was recommended by JP Wilson Lee of 105 New Road, Belize City, who stated that he had known the applicant for 5 years. We saw Fifty Dollars (\$50.00) instead of One Hundred

Dollars (\$100.00) the fee for an emergency Passport was paid for his Passport on 26th April 2011 via receipt 500275.

11.3 The Passport issue list revealed two printing of Passport P0163728 which was issued to Huyuan Dai: one on the 20th April, 2011 (the actual Passport application was received by Elick Chan on 26th April, 2011) and one on the 27th April, 2011.

11.4 Attached to his application was a copy of the biographic page of his irregular (the same as Nina Sha's Passport) Chinese Passport G23671680 issued 23rd July, 2007 with expiration date of 22nd July, 2017. There were no apparent security features seen on the Passport copy and it had no signature of the bearer.

11.5 An examination of his application on the BPIS showed what appeared to be a picture of a picture of an Asian person and no fingerprints were captured. The picture in the Passport appeared as an older person than the BPIS photo and we were unable to verify if it was the same person.

Table H shows the BPIS history of Huyuan Dai's Passport P0163728.

Table H

The following is the BPIS application processing history for Huyuan Dai's Passport P0163728

User Date	User Step	User Name	Location
14/09/2012	Delivery	Erwin Robinson	Belmopan
11/07/2011	QA Override	Sharon Flowers	Belmopan
27/04/2011	Print Confirm	Elick Chan	Belmopan
27/04/2011	Print	Elick Chan	Belmopan
27/04/2011	Approval with no Biometric Check	Sharon Flowers	Belmopan
27/04/2011	Un-Issue	Sharon Flowers	Belmopan
20/04/2011	Misprint	Elick Chan	Belmopan
20/04/2011	Print	Elick Chan	Belmopan
20/04/2011	Approval with no Biometric Check	Sharon Flowers	Belmopan
20/04/2011	Un-Issue	Sharon Flowers	Belmopan
20/04/2011	Approval with no Biometric Check	Sharon Flowers	Belmopan
20/04/2011	Data entry	Erwin Robinson	Belmopan
20/04/2011	Created	Erwin Robinson	Belmopan

- 11.6 In the 11th December 2014 interview, Ms Sharon Neal Flowers was asked about the time gap between the printing of Passport P0163728 and her QA override of said Passport. She was shown the BPIS photo and Passport application form of Huyuan Dai. Her response was: “I can’t say why it took so long.” We asked her since the processing stated unissued twice did it mean that two Passports P0163726 and P0163727 should have been among the cancelled Passports to which she said “yes.” We then asked what would happen to those Passports and she responded “the Finance Officer should have them.” She was asked if those Passports could be reprinted and reissued to which her response was “no”.
- 11.7 Passports P0163726 and P0163727 were not found among the cancelled Passports presented to Audit. The Passport issue list showed that P0163726 was issued to Elizet Dubon Guardado on the 14th April 2011, while P0163727 was issued to Kaedan Nathaniel Bernard on 14th April 2011.
- 11.8 Also attached to Huyuan Dai’s application form was a copy of a replacement nationality certificate number 13751/01 dated 6th April, 2001. The name Honourable Maxwell Samuels was printed on the front as the Minister who had signed the certificate and a signature that appeared as that of Gareth Murillo as the Director on the back, who replaced the assumed original certificate on 6th October, 2009. However a search of the Register of Citizens by Registration showed that there was no entry for this certificate number. On 19th June, 2014 we requested his file using his name since we did not find his name and a file number in Register of Citizens by Registration, but no file was presented.

Passport P0180161 issued to Shih Tsai Huang (Huang Shih Tsai)

12. Shih Tsai Huang born in Guangdong, China on 14th June, 1951 with home address as 41 Baymen Avenue, Belize City submitted an incomplete application dated 14th September, 2011, which was accepted in the Passport Office by Elick Chan. Section 4 “Declaration” of the application form was not completed and the applicant did not sign said application.
12. 2 We noted that the data entry, QA, Passport delivered by as well as the date of issue were not completed by the officers of the Passport section in the Official Use section. The applicant was recommended by Wilson Lee, JP, of 105 New Road, Belize City, who stated that he had known the applicant for 10 years.
12. 3 Attached to his application was a copy of an irregular original nationality certificate in the name Huang Shih Tsai, which had no certificate number or date but had a signature that appeared to be that of former Minister, Honourable Said Musa. It had an unrecognizable seal and an illegible wet rubber stamp.

The nationality certificate number 2493/92 with date of issue as 2nd March, 1992 was recorded on the Passport application under Section 3.

12.4 The name Huang Shih Tsai was seen recorded in the Register of Citizens by Registration under Section 11A, certificate number 2493 but it was dated 22nd October, 1992 (no children included) and no file number was recorded in the Register. His file using his name only was requested on 19th June, 2014 but it was not presented to Audit. This will be further reported on in the Nationality report. Also attached to his application form was a copy of his Belizean Passport P0114818 issued at Passport Office, Belize City on 14th September, 2009 with expiry date of 14th September, 2019.

12.5 A search of the BPIS showed that Huang Shih Tsai was issued Passport P0180161.

Table I below shows the BPIS history of Shih Tsai Huang's Passport P0180161.

Table I

The following is the BPIS application processing history for Shih Tsai Huang's Passport P0180161.

User Date	User Step	User Name	Location
22/06/2012	Delivery	Elick Chan	Belmopan
15/09/2011	QA Pass	Dionae Jones	Belmopan
15/09/2011	Print Confirm	Elick Chan	Belmopan
15/09/2011	Print	Elick Chan	Belmopan
15/09/2011	Approval	Sharon Flowers	Belmopan
15/09/2011	Un-Issue	Sharon Flowers	Belmopan
15/09/2011	Approval	Sharon Flowers	Belmopan
15/09/2011	Data entry	Elick Chan	Belmopan
15/09/2011	Created	Elick Chan	Belmopan

12.6 When Ms Neal Flowers was questioned about the unissued Passport for Shi Tsai Huang on the 15th September 2011, she said: *"The Passport before this one would have been spoiled. That is why it has unissued. This would be two Passports I would un-issue when there is an error."*

She was then asked, whether P0180160 was spoilt and she responded:
"Yes."

12.7 However, we observed that P0180160 was not found among the cancelled Passports, but seen as issued to Chuang Hui Yang on the 15th September 2011.

12.8 On the manual application submitted 14th September, 2011, only one receipt, number 579588 for \$50.00 was recorded. The person who signed an illegible name as recipient of the Passport did not write the date Passport P0180161 was received.

However, on the Passport issue list this Passport was issued on the 15th September, 2011, which meant that said Passport was printed and likely delivered in one day and the applicant should have paid \$100.00 for his Passport.

Passport P0180160 issued to Chuang Hui Yang

13. Chuang Hui Yang submitted an incomplete application, which was accepted by the Passport Office on 14th September, 2011 by Elick Chan. It stated that he was born in China on 26th March, 1981 and had his home address as 84 Barrack Road, Belize City. Most of Section 4 Declaration was not completed and there was no signature of the applicant. We also noted that the data entry, supervisor, print operator, quality assurance, Passport delivered by and date of issue lines were not completed in the “Official Use” section of his application. The applicant was recommended by Ailing Li, JP, of 105 New Road, Belize City, who stated that she had known the applicant for five years.

13.2 Attached to his application was a copy of replacement nationality certificate number 2028/91 dated 28th November, 1991 in the name Yang Lin Mei along with four names of children included thereon. It had the name of Honourable Said Musa printed on the front as the minister who allegedly signed the original certificate but there was no replacement note and date by the Director who replaced the certificate as is seen at the back of all replacement certificates. The names and dates of birth were barely visible but they appeared as: Yang, Chuanghui (D.O.B 26th March, 1981); Yang, Chuangui (D.O.B 15th July, 1982), Yang, Liying (D.O.B 29th July, 1983) and Yang, Chuanghe (D.O.B 23rd August, 1984).

13.3 We examined the Register of Citizens by Registration and found the name Yang Lin Mei for entry 2028/91 with four children included under Section 11A but no file number was recorded. The applicant’s file was requested using his name (Chuang Hui Yang) and also the name of Yang Lin Mei (name on Nationality certificate) on 19th June, 2014 but no file was presented to Audit.

13.4 Also attached to his application was a barely visible copy of a biographic page of a Belizean Passport, which appeared as P0099990 with issue date of 5th January, 2009 and expiration date 5th January, 2014. The Director’s signature in the Passport appeared as an unusual signature of Gareth Murillo. The applicant’s signature in his Belize Passport P0099990 did not match that of the applicant on the BPIS or that of the recipient on the Passport application.

13.5 The BPIS photo of the applicant appeared as a picture of a picture and no fingerprints were captured. **Table J** shows the BPIS history of Chuang Hui Yang's Passport P0180160.

Table J

The following is the BPIS application processing history for Chuang Hui Yang's Passport P0180160

User Date	User Step	User Name	Location
22/06/2012	Delivery	Elick Chan	Belmopan
15/09/2011	QA Pass	Dionae Jones	Belmopan
15/09/2011	Print Confirm	Elick Chan	Belmopan
15/09/2011	Print	Elick Chan	Belmopan
15/09/2011	Approval	Sharon Flowers	Belmopan
15/09/2011	Un-Issue	Sharon Flowers	Belmopan
15/09/2011	Approval	Sharon Flowers	Belmopan
15/09/2011	Data entry	Elick Chan	Belmopan
15/09/2011	Created	Elick Chan	Belmopan

13.6 An officer from the Passport section issued Passport P0180160 without signing the form and an individual received said Passport without a written authority. The recipient signature was illegible and there was no date recorded of when the Passport was received.

The Passport issue list showed the issue date as 15th September, 2011 with only Fifty Dollars \$50.00 paid via receipt 579586 dated 14th September, 2011 instead of One Hundred Dollars (\$100.00) which was the fee required for a Passport issued within three to five days.

13.7 We also found that Passport P0180159 which should have been spoilt was issued to Yong Guang Lin on the 15th September 2011. His application and the irregularities found will be addressed later in this report.

Passports P0166912 and P0167857 issued to Jie Lun Chen formerly Qiau Chao Chen

14. Jie Lun Chen, who was born in China on 9th September, 1971, with home address as 80 Freetown Road, Belize City submitted an incomplete Passport application form, which appeared as it was written in temporary ink. The application form was accepted by Elick Chan in the Passport Office on 27th May, 2011. The applicant's former name was Qiau Chao Chen but no copy of deed poll was attached to prove a legal name change. Section 4 titled "Declaration" was not filled in by the applicant nor did the immigration officers complete the official section for documents submitted. The data entry, Supervisor, QA,

Passport delivered by and date of issue lines were not completed in the Official Use section.

- 14.2 The applicant was recommended by JP Ailing Li of 105 New Road, Belize City, who stated that she had known the applicant for five years. Attached to his application was a copy of Belizean Passport P0097778 issued 17th November, 2008 and expiry date 18th November, 2013 in the name Qiau Chao Chen.
- 14.3 We noted nationality certificate number 16178/02 dated 17th April, 2002 was recorded on the Passport application as issued to him. A review of the Register of Citizens by Registration showed the name Qiau Chao Chen for this nationality certificate number (no children included) under Section 10 with file number 16984. This file number was shared along with 3 other adults who had different certificates namely: Guifang Chen (with 1 child added), Jian Guo Xie and Jian Hua Tan. File 16984 was requested and received on 19th June, 2014 and it included the names Jianguo Xie, Jianhua Tan, Huiyu Tan (who is apparently known by the name Guifang Chen) with children included on their certificates.
- 14.4 Nationality certificate 16178/02 seen in the applicant's file in the name Qiau Chao Chen was amended by Director, Maria Marin on 10th June, 2011 to Jie Lun Chen as per deed poll 136/2011 dated 13th May, 2011. However, a copy of said deed poll was not seen in the file. A verification of his name change at the Vital Statistics Unit was done for Qiau Chao Chen and it revealed that his name had been changed to Jie Lun Chen on the 13th May, 2011. Since he did not appear at any Passport office to capture his biometrics, he may not have gone into the Vital Statistics Unit or appeared in front of a JP.
- 14.5 An individual, A Lennan, signed as the Justice of the Peace in whose presence the Deed was signed by Qiau Chao Chen. There was no stamp of the JP seen on the Deed Poll document. The name A Lennan was not seen on the list of Justices of the Peace received from the Attorney General's Ministry.
- 14.6 We noticed that Passport P0166912 was written on Jie Lun Chen's Passport application form as issued but a search of the BPIS showed that this Passport was spoiled on 2nd June, 2011 although the application showed that it was received by someone who signed "Chen". No date of receipt was recorded as to when Passport P0166912 was received. In the Passport issue list, P0166912 was seen as issued on 30th May, 2011. **Table K** shows the BPIS history of Jie Lun Chen's Passport P0167857.

Table K

The following is the BPIS application processing history for Jie Lun Chen's Passport P0167857

User Date	User Step	User Name	Location
02/06/2011	QA Pass	Sharon Flowers	Belmopan
02/06/2011	Print Confirm	Elick Chan	Belmopan
02/06/2011	Print	Elick Chan	Belmopan
02/06/2011	Approval with no Biometric Check	Sharon Flowers	Belmopan
02/06/2011	Data Entry Completed	Erwin Robinson	Belmopan
02/06/2011	Created	Erwin Robinson	Belmopan

14.7 A search of the BPIS using the name Jie Lun Chen showed a “denied” application but P0166912 was not seen recorded in the “Passport number record” field on the BPIS. When this application was opened it revealed what appeared to be a picture of a picture. **The Stock Item Report for said Passport P0166912 showed that it was spoilt on 2nd June, 2011** by Sharon Flowers. We found said Passport among the cancelled Passports that were presented to us.

14.8 We saw Passport P0167857 (not recorded on the Passport application) recorded in the Passport numbers history as issued to Jie Lun Chen. This BPIS Passport application showed what also appeared to be the same picture of a picture as in the previous BPIS application. It was however a bit brighter. The Passport issue list showed that P0167857 was issued on 30th May, 2011. No fingerprints were seen as captured on the BPIS for both Passports. The signature on his Passport application form, did not match the signature of the recipient nor did it match the signatures seen on the BPIS and those on his Deed Poll.

14.9 Only \$50.00 was paid via receipt 518000 on the 27th May, 2011 when \$100.00 should have been paid for an emergency Passport issued within 5 days.

Passports P0177269 and P0179014 issued to Antonio Oburu Ondo

15. An incomplete Passport application dated 19th August, 2011 was submitted in the name Antonio Oburu Ondo, which stated that he was born in Andom-Onvang, Equatorial Guinea on 20th May, 1974 with home address as 55 Queen Street, Belize City. Section 4 “Declaration” on his application form was not filled in and he did not sign said application form. The Counter Clerk who accepted the application form did not sign and date it to indicate who had received it and date of such receipt. The Cashier stated the receipt date as

19th August 2011, which was normally the date the application was accepted at the Passport Office.

15.2 No document numbers were recorded in the official section of the application nor were the data entry, Passport delivered by and date of issue lines completed.

15.3 The applicant was recommended by JP Wilson Lee of 105 New Road, Belize City, who stated that he had known the applicant for two 2 years. Neither copy of his native Passport was seen attached nor a copy of his Belizean Identification.

Since Section 4 of his application form was not completed, we could not confirm if his application was for the renewal or a first issue Passport.

15.4 Attached to his application was a copy of a replacement nationality certificate number 18989/05 dated 12th December, 2005. The name Honourable Ralph Fonseca was printed on the front as the minister who had signed the certificate while what appeared to be the signature of Gareth Murillo with the replacement date of 1st December, 2009 was seen at the back.

No entry for certificate number 18989 was seen in the Register of Citizens by Registration. We requested Antonio Oburu Ondo's file using his name on 19th June, 2014 but it was not presented to Audit.

15.5 Passport P0177269 was seen written and marked out on the application and Passport number P0179014 was also seen recorded thereon. An examination of the Passport issue list showed that P0177269 was issued on 22nd August, 2011 and P0179014 was issued 2nd September, 2011. **Table L** shows the BPIS history of Antonio Oburu Ondo's Passport P0179014.

Table L

The following is the BPIS application processing history for Antonio Oburu Ondo's Passport P0179014

User Date	User Step	User Name	Location
02/09/2011	QA Pass	Sharon Flowers	Belmopan
02/09/2011	Print Confirm	Elick Chan	Belmopan
02/09/2011	Print	Elick Chan	Belmopan
02/09/2011	Approval	Sharon Flowers	Belmopan
02/09/2011	Data entry	Elick Chan	Belmopan
02/09/2011	Created	Elick Chan	Belmopan

15.6 We searched the BPIS for Passport P0179014 and it showed what appeared as a picture of a picture and no fingerprints were captured. We found Passport P0177269 among the

cancelled Passports and saw the same picture of a picture. An illegible signature was seen as the recipient of a Passport on his application but no date of receipt was recorded.

Passports P0194655 and P0194820 issued to Li Li Zhang Wang

16. Li Li Zhang Wang submitted an incomplete Passport application in felt-tip (temporary) ink, which stated that she was born in Fujian Province, China on 12th August, 1963 with home address in Belize as 29B Baymen Avenue, Belize City. It was accepted in the Passport Office by Elick Chan on 13th March, 2012. Section 4 of the application form titled “Declaration” was incomplete and she did not sign said application form. The data entry, quality assurance, Passport delivered by and date of issue lines were not completed in the “For Official Use” section of the application form. The applicant was recommended by Ailing Li, JP of 105 New Road, Belize City, who stated that she had known the applicant for six years.

- 16.2 Attached to her application form was a copy of a Belizean Jumbo manual Passport A002502 in the name Li Li Wang Zhang, issued in Hong Kong on 13th May, 1997 with expiration date of 12-May, 2007, which was also written in temporary ink that was fading on the Passport. Two wet rubber stamps of the Consulate of Belize Hong Kong were seen imprinted with the same signature and one with a note stating, “Bearer of this Passport is also known as: ‘Lily Ong’” and one stamp from the Trade Office of Belize with the stamp and signature of Director, Telly T. Chi.

A note was written by her, which stated, “This Passport is extended on February 5, 2007 and will be expired on February 4, 2012”. An inspection of the Jumbo Passport Register showed that the stock series A002501-A002525 was issued on 6th May, 1997 to the “PS MFA Hon Consul of Bze in Hong Kong”. We could not determine if Jumbo Passport A002502 was genuinely issued.

- 16.3 We saw recorded on her Passport application nationality certificate number 2640/93 dated ---/93 (no day or month was recorded). Attached to the application was also a copy of nationality certificate number 2640/93 in the name Zhang Wang Li Li with one child listed: Weihao Zhang, but the nationality certificate was undated.

The section of the copy of the nationality certificate with the date and the complete signature of the Minister who signed the certificate were not seen but only an “M” was visible. The name Zhang Wang Li Li (with one child) was seen in the Register of Citizens by Registration for certificate number 2640 dated 5th February, 1993 under section 11A but no file number was recorded. The file of the applicant, using her name, was requested on 20th March, 2014 but it was not presented to Audit.

- 16.4 Passport P0194655 was seen written and marked out on her application form and also recorded thereon was Passport P0194820. A search of the Passport issue list showed that

P0194820 was seen as issued to Li Li Zhang Wang on 15th March, 2012, while P0194655 was also seen issued on 14th March, 2012 to her. We saw P0194655 was repeated on the list as issued to Wenfield Edmond Bull on 14th March, 2012. A search of the BPIS showed that P0194655 was issued to Wenfield Edmond Bull. No Passport application was presented to Audit for the individual Wenfield Edmond Bull. **Table M** shows the BPIS history of Li Li Zhang Wang’s Passport P0194820.

Table M

The BPIS application processing history for Li Li Zhang Wang’s Passport P0194820

User Date	User Step	User Name	Location
15/03/2012	QA Pass	Dionae Jones	Belmopan
15/03/2012	Print Confirm	Elick Chan	Belmopan
15/03/2012	Print	Elick Chan	Belmopan
14/03/2012	Misprint	Elick Chan	Belmopan
14/03/2012	Print	Elick Chan	Belmopan
14/03/2012	Approval with no Biometric Check	Sharon Flowers	Belmopan
14/03/2012	Data Entry Completed	Elick Chan	Belmopan
13/03/2012	Created	Erwin Robinson	Belmopan

16.5 In an interview with IT Manager, Rodolfo Bol, on 9th December 2013 he was asked whether misprinted Passports could be reissued to someone else. He responded “When a different Passport number is printed in a Passport, the number cannot be used again and both Passports would have to be spoiled because the system records a number. If that number is used once and the actual Passports have a different number, the number cannot be used again.”

16.6 An examination of the BPIS photo of the applicant Li Li Zhang Wang revealed it to be a picture of a picture and no fingerprints were captured. An illegible signature was seen as the recipient of one (possibly two) of those Passports on the application form. The signature of the applicant on the BPIS did not match the recipient’s signature. We saw recorded on her application form receipt 677109 dated 13th March 2012 for \$50.00 but the BPIS had receipt 677110 dated 13th March, 2012 for \$50.00. The applicant should have paid \$100.00 for each of these Passports which were both “issued” within 5 working days.

16.7 There were other individuals whose pictures on the BPIS appeared as pictures of pictures and whose fingerprints were not captured, a list of this is recorded in **Appendix A**.

16.8 Several of those individuals whose BPIS photo appeared as if they had not gone into a Passport office to get their biometrics captured, appeared to have visited a Passport office a few months later. On the renewal of their Passports, some claimed that their previous Passport had been stolen or got wet; their BPIS photo then appeared as a “live” photo. We also observed startling age discrepancies in the appearance of their photos on the BPIS when both BPIS photos were compared.

The age discrepancies were also noted with their native Passport photos and the BPIS photos considering the issue date of their native Passports and the BPIS application dates. Those examples are also shown at **Appendix A The Acting Director of Immigration and Nationality Ms Maria Marin and Minister Godwin Hulse were aware of the biometric flaw in the BPIS**

17. Correspondence from the Consulate of Belize in Singapore indicated that two Passport holders (Nina Sha P0220240 issued 9th November, 2012 and Zhaoyi Sha P0223261 issued 29th November, 2012) had gone into the Consul to verify the authenticity of their Passports. They had been informed by a man by the name of Tony Liu in China that there were still Passports available from the previous Economic Investment Citizenship Program and that those Passports were issued based on the remaining balance.

17. 2 In an email dated 13th April, 2013 from the Ministry of Foreign Affairs to insbze@btl.net, maria.marin@immigration.gov.bz, copied to belizemfa@btl.net and bcc (blind copied) to godwin.hulse@gmail.com. They were told that the holders of the Passports (copies were attached in the email) had been seeking verification of the authenticity of their Passports, which were issued in Belize but the applicants never traveled to Belize. Those individuals were informed that the Passport holders had applied for economic citizenship through someone in Dalian, China but had never visited any Office to capture their photographs. We did not find any evidence to indicate that there was any written response from Maria Marin or Minister Godwin Hulse or that efforts were made to request the return of Passports P0220240 and P0223261 in order to revoke said Passports. In addition, we did not find evidence that there was any investigation into the matter in an effort to cancel the entry made in the Register of Citizens by Registration in respect of Nina Sha.

Recommendations:

The Director of Immigration and nationality should ensure that:

- 1) An audit trail exists whenever data is modified on the BPIS
- 2) An internal investigation should be carried out to determine who are responsible for inserting pictures of pictures instead of live pictures.
- 3) A handwriting analysis be done to determine whether the same person filled out the three forms for Wonhong Kim, that is, the passport application form and

recommenders forms 3A and 3B. Whenever a case or cases such as the one with Nina and Zhaoyi Sha is brought to her attention action is taken to investigate and remedy such situation/s.

- 4) The BPIS is thoroughly reviewed from February 2005 to identify all the “ghost” applicants and recipients, once it can be ascertained that they did not go into a Passport Office or Foreign Mission to capture their biometric identity.
- 5) That all such Passports are retrieved, revoked and manually cancelled and destroyed.
- 6) The Commissioner of Police is informed in order that a criminal investigation is conducted. A forensic investigation into the apparent forgery of all the signatures seen in the BPIS for applicants who never came to Belize, visited a Passport Office or an Overseas Mission to have their biometric data captured should be conducted.
- 7) An investigation is carried out to identify the person or persons who received those Passports from the Passport office for applicants who may not have appeared to capture their biometrics at a Passport office or mission.
- 8) The Solicitor General decommissions Afonso Cruz Jr., Martin Cal, Demecio Cal, Emory Young, Wilson Lee and Ailing Li.
- 9) The Commissioner of Police continues their investigation in respect of Passport P0246777 issued to Wonhong Kim and the role of Minister Elvin Penner in the regard.
- 10) An independent technical expert is utilized to review the misprinted feature of the BPIS. We noted a direct co-relation between Passports on the misprinted list, to the missing application forms since we were unable to ascertain the total number of misprinted Passports that should have been among the cancelled Passports. See Appendix V.1.

17.3 It was obvious that there were weaknesses and a lack of control in the Belize Passport Issuance System (BPIS) that the Government had purchased for just under US One Million dollars. The fact that a picture can be taken of a picture and be inserted into the system is alarming. This is clear evidence of breach. This situation needs to be remedied urgently.

17.4 Evidence suggested that the IT personnel or BPIS users had the ability to remove the history of applicants. This was substantiated by the action of Mr. Rodolfo Bol, the System Manager, when he removed the user roles for some Users of the BPIS in an effort to conceal evidence. The inactive users were not removed from the system. He carried out this action a couple of days after the Audit team had commenced the investigation.

This is reported further in this report at paragraph 22 titled *“The IT Manager, Mr Bol failed to delete the status of the inactive officers from the BPIS although they no longer worked in the Passport section in Belmopan”*.

55,579 Passports were approved without biometric checks during the period investigated April 2011 to September 2013

Criteria

Section 1.2 of the Application of the Belize Passport Issuance Software document (received from the Immigration and Nationality) under Workflow states as follows:

Data capture

Personal information from the application form is entered into the system. The applicant's photo and two index fingerprints are taken using the digital camera and fingerprint reader. The quality of the images is assessed and biometric templates are created at this time.

The application form will be scanned using a flatbed scanner and stored in the database. The signature will be taken from the application.

Biometric Check

After the completed application has been saved into the system, the application server will forward the biometric templates to the biometric subsystem to match against the gallery of previous applicants. The templates are then added to the gallery applicants to be checked against.

Review Queries and Approve

The supervisor will review the results from both text and biometric queries before approving an application. This is to ensure that a passport is not issued to someone on the alert list or to someone who already has a valid passport.

Section 1.3 Biometric Subsystem

The Biometric Subsystem employs both facial and fingerprint biometrics to ensure that applicants who have previously applied for a passport are automatically identified during the application process. As described previously, the live images of the applicant's photo and two index fingerprints are taken when the application is initially received. During the capture process, the quality of the images is assessed and biometric templates are generated. The images can be retaken if required.

The Biometric Subsystem maintains an in-memory database of biometric templates to search against. This database is called the gallery. To prevent the search results from getting skewed, the gallery will contain only one set of templates for each applicant.

18. We saw Fifty Five Thousand Five Hundred and Seventy Nine (55,579) Passports issued to individuals without the required biometric check been carried out by the officer in charge.
- 18.2 In an interview with the System Manager, Mr. Rodolfo Bol on 25th June, 2014, he informed that the BPIS had 2 biometric checks: fingerprint and facial. He went on to say that there were a lot of problems with the BPIS. After every 80,000 pictures, they have to renew the license. It was expired in 2010 and was not renewed by the Ministry and even before the license expired they were already having problems and those problems have increased.
- 18.3 The 3M Company from which they bought the system was required to procure their license from a third party. He indicated that the Directors and Chief Executive Officer (CEO) were aware that the system was not operating well and that he had sent many emails to 3M and to the Directors about problems but nothing was done. He complained that there were a lot of errors which usually take up a lot of space on the server and cause problems with the BPIS. Mr Bol did not provide any evidence of such communication to the Directors and the CEO.
- 18.4 We saw three letters of recommendations from the former and current Director. The first letter referenced PPI/13/01/11(24) dated 1st March, 2011 from the former Director, Ruth Meighan to LIC. Rosibel Duran Direccion, General De Migracion y Extranjeria, Government of Costa Rica in which she stated that the service from 3M which they received was excellent. The second referenced PI/13/01/11(42) VOL I dated 13th December, 2011 from the aforementioned Director to LIC. Norberto Giron, Head of Mission in Honduras, International Organization for Migration, Tegucigalpa, Honduras stating that the service from 3M was very professional and that the service they received was excellent. Moreover, the letter stated that 3M had continued to support the current system with a maintenance contract which had been renewed every year since the original installation.
- 18.5 The third letter referenced PI/13/01/13 (52) VOL. I dated 24th April, 2013 from Director, Maria Marin to Ms. Maria Stella de Aragon, Business development, Government Markets Central America and the Caribbean, 3M Guatemala, Km 13 Calzada Roosevelt 12-33 Z.3, Mixco Guatemala stated that 3M was very professional and responsive in all of their dealings with the Department and that the service was excellent. She also stated that 3M Company continued to support the current system with a maintenance contract which had been renewed since the original installation.

18.6 We could not ascertain why the Directors commended 3M Company service as excellent, when they were aware that the system was not operating efficiently. We also could not ascertain why the maintenance service of 3M did not address the biometric flaws and why the Directors did not make a case to the Ministry to insist that the license be renewed with 3M knowing the importance of the biometric checks.

18.7 In our interview with Mr. Bol, we told him that we saw numerous instances of applications being approved without biometric checks. Mr. Bol said that it could be that the system was not operating at the time the applications were approved, which happened often. Mr. Bol stated that usually he would usually have to manually delete the log files in the biometric server because the error logs would take up lot of space. He also stated further that if the server became saturated with errors it would affect the functioning of the system. Mr. Bol further stated that those tasks were assigned to Mrs. Georgia Bowen but she was transferred to the Passport Section.

18.8 We told him that the application steps for Wonhong Kim did not show approval without biometric check, but yet his fingerprints were not captured. He explained that applications could be approved without biometric check even when there are fingerprints. He went on to say that normal approvals can be done after the server is cleaned. Those problems, he revealed occurred even before the expiration of the license and has since escalated after the non renewal of the license.

Recommendations:

The Director of Immigration and Nationality should ensure that:

- i. The Application Type, the Biometric Capture, the Quality Assurance override features, the ability to remove audit trails and to delete an applicant's history from the BPIS is examined.
- ii. An in-depth investigation by an independent IT Technician is conducted to determine whether the ineffectiveness of those features were deliberate acts in an effort to cover up fraudulent processing.

The Cover-up of the Genuine Status of Passports in the Belize Passport Issuance System (BPIS)

19. While examining and creating a list of cancelled Passports, which Audit had obtained with some reluctance, we found two blank Passports that were seen as processed on the BPIS. The list was submitted by the Finance Officer, Miss Teresita Castellanos, but the

two Passports were not on the spoilt, cancelled or misprinted lists that were extracted from the BPIS.

19. 2 **Table N** below lists the two Passports, the status of each as found on the BPIS and the actual condition of those Passports.

Table N

The following shows the status of the two Passports as extracted from the BPIS

Name on the Cancelled List from Finance Officer	Passport Number	Status on the BPIS	Observation of Actual Passport	Date	Comments from Further Checks on the BPIS	New Passport number and date issued
Jose Enrique Palma	*P0167771	Quality Assurance Passed (QA Passed)	Passport was Blank and its edges cut	1.6.11	Seen on BPIS / Also in Passport issue list	No other Passport was seen printed and issued to Jose Enrique Palma after June 2011 and up to September 2013
Zeferino Mes	P0180472	When this Passport Number was entered into the BPIS. The system showed that it was not a valid Passport number	Passport had P0180475 instead of P0180472 printed on the biographic page, while P0180475 was blank	None	Stock Item Report showed that it was Received, Activated and Assigned by Sharon Neal Flowers on 13-Sept-2011	P0180477 dated 27-Sept-2011

19.3 Since Passports P0167771 and P0180475 were received by Audit as “blank” and P0180472 was not valid and the BPIS status of each was misleading, Audit could not ascertain the following:

- 1) Why would the BPIS have the status of “QA passed” or “Printed” for a Passport while the actual Passport is blank
- 2) Who is ultimately responsible for the misleading status of those Passports on the BPIS

19.4 The above finding establishes that “blank” Passports can be produced and misrepresented in the BPIS system to appear as though they were processed on the BPIS. This is a serious breach in the BPIS and should be remedied urgently. This further lends to suspicion that applicants or unknown individuals may be receiving blank Passports, especially in the case of those who did not appear at any Passport office or foreign mission to submit a Passport application or for biometric capture.

19.5 A Confidential Report GEN/13/01/06 (19) dated 10th November 2006 also showed that the Immigration and Nationality Department was aware that the Chinese had the capability of producing Belizean Passports once they had the blank Belizean Passports along with the Meryl plastic for transferring the data. The letter also confirmed that the method of theft had changed due to the implementation of the machine readable Passports.

Passports seen with different Passport numbers printed inside and the irregularities noted

20. We discovered three Passport books with wrong Passport numbers printed therein. We could not ascertain if those Passports were intentional printing errors in order to utilize fraudulent blank Passports. P0180472 for Zeferino Mes was not on the misprinted, spoiled, or cancelled lists as stated above. Also the said PPassport number was missing from the list of issued Passports presented to Audit.

20. 2 We also could not ascertain why P0000065 returned by Police to former Director, Mr. Gareth Murillo on 17-Jan-2007 was in the possession of the Police. Passport number P0000062 was printed in Passport P0000065 book but P0000062 was not seen among cancelled Passports. The bulk series (P0000051-P0000075) was issued to Director of Immigration and Nationality Service (DINS) for Testing/Training by Finance Officer, Ms Jem Pascascio on the 9th February, 2005. It was received by Gareth Murillo on said date as seen in the Ordinary Passport Issue Register. Other Passports from the same series

were not seen among the cancelled Passports as should have been the case (see **Appendix D.1**).

20.3 We could not ascertain why Passport P0002952, printed in the name Su Yun Hsu was not done until 28th June, 2005 since this was a replacement for Passport P0002943 that was printed with error on 6th April 2005.

20.4 We also saw irregular processing for Passport P0002948, which was approved without biometric check for printing by former Director Mr. Gareth Murillo and printed and QA passed by Ms. Ady Pacheco who was the OIC/ Passport Section in 2005. It should be noted that neither of these persons at the time, were tasked with these responsibilities in their various capacities.

20.5 During an interview with former Director, Mr. Gareth Murillo, on 27th August, 2014 who was the acting Director between 2004 and 2005, he was asked what were his duties under his various posts his response was “My duties were to oversee the department.” We asked him if at any time he activated, assigned and approved Passports and his response was “No. Those were the duties of the Head of the Passport Section and if she was absent then I assisted.” Our aforementioned finding contradicts what he told us.

20.6 The three Passports with the wrong numbers printed inside are listed at the below Table labeled N.1

Table N.1

The following is the list of Passports that had different Passport numbers printed inside

Name	Passport Number	Issue Date	Expiration Date	Date cancelled	Place of Birth	Observations
Zeferino Mes	P0180472 & P0180475	27-Sep-11	27-Sep-21	Cancelled undated	Toledo, Belize	Passport # P0180475 was printed inside Passport P0180472. Both seen among cancelled Passports by Audit

Tricia Fuller Mortis	P0000065 & P0000062	16-Feb-05	17-Feb-15	Cancelled undated	Cayo, Belize	Passport # P0000062 was printed in Passport P0000065; P0000062 was missing
Su Yun Hsu	P0002943 & P0002948	06-Apr-05	07-Apr-10	Cancelled by Audit	Taiwan	Passport #P0002948 was printed inside P0002943. Both seen among cancelled Passports.

Recommendations:

The Director of Immigration and Nationality should ensure that:

- 1) A thorough Audit of the BPIS is conducted by an independent IT Technician.
- 2) Users are continuously monitored during and after entries are made in the BPIS.
- 3) those “spoilt” and multiple printed Passports was not/is not a scheme to release blank Passports for further processing abroad, as Audit found through our examinations and the Immigration and Nationality internal confidential documents.

Data Integrity entered in the BPIS was not guaranteed to be accurate

21. Since the beginning of the investigation into the Belize Passport Issuance System, it was accepted that data integrity was one of the main issues plaguing the system. There was no guarantee that data entered into the system was accurate. The most common example of this was with Passport Holder names.

21.2 There were various cases where an individual would appear in the system as having varying “names”. As in the case of an individual “Nolberto Amancio Vasquez” who had six (6) Passport entries in the system. Searches done in the Belize Passport Issuance System using the “First Name” and “Last Name” fields would return the following results based on the specified criteria below:

Search Criteria (_ indicates a blank space):

First Name: Nolberto_Amancio

Last Name: Vasquez

Result: 0 records

First Name: Nolberto__Amancio (2 spaces)

Last Name: Vasquez

Result: 5

First Name: Nolberto___Amancio (3 spaces)

Last Name: Vasquez

Result: 1

21.3 Searching for this individual, using his name with one space between his first and middle names in the “First Name” field returned no results, while with two spaces returned five (5) results for his Passports and using three spaces returned one result.

21.4 The system, thereby, identified those searches as being for separate people. This was brought to the attention of the staff (Passport section) and they indicated the reason they insert space between the names is to make the names appear as two separate names on the printed Passport. We recall the Information Technology Manager, Mr. Rodolfo Bol, explaining that the system should conduct a search for an individual’s name when it was entered for any previous existing Passports.

21.5 Inserting more than one space between the first and middle name of individuals for aesthetic reasons hampers the system’s ability to do those checks, as the system indentifies the blank space as an actual character. Examples of other individuals with more than one space between their first and middle names can be seen at **Appendix A.1**.

21.6 We noted that the BPIS failed to consistently recognize when applicants were renewing their Passports. Instead, those applications were seen as new applications when they were renewals. Those applicants can be seen at **Table O** below.

Table O

The following is the list of applicants whose applications in some cases were not recognize as renewal but instead as new applications

Name	Passport Number	Application Date	Description of Application in BPIS	Comments
Marta Eulalia Espinoza	P0033152	28-April-2006	New application	Revoked in the BPIS
Marta Eulalia Espinoza	P0093604	8-August-2008	Renew application.	At “Delivered” status in the BPIS
Marta Eulalia Espinoza	P0197880	23-March-2012	New Application	At “Delivered” status in the BPIS
Hung-Chih Huang	P0197864	26-March-2012	New Application	At “Delivered” status in the BPIS
Hung-Chih Huang	P0074543	21-Nov-2007	New Application	At “Delivered status in the BPIS”
Estephany Beatriz Hernandez	P0127076	16-Feb-2010	New Application	At “Delivered status in the BPIS”
Estephany Beatriz Hernandez	P0196381	6-March-2012	New Application	At “Delivered status in the BPIS”
Li Horng Jou	P0033823	8-May-2006	New Application	Revoked in the BPIS
Li Horng Jou	P0196996	16-March-2012	New Application	At “Delivered status in the BPIS”
Kelly Mc Dermott Kanabar	P0064842	19-June-2007	New Application	At “Delivered status in the BPIS”
Kelly McDermott Kanabar	P0198466	28-March-2012	New Application	Revoked in the BPIS
Jin Ming Li	P0010089	7-June-2005	New Application	Revoked in the BPIS
Jin Ming Li	P0194840	15-March-2012	New Application	Revoked in the BPIS
Carlos Martin Martinez	P0017830	14-Sept-2005	New Application	Revoked in the BPIS
Carlos Martin Martinez	P0098787	5-Nov-2008	Renew Application.	Revoked in the BPIS
Carlos Martin Martinez	P0197522	19-March-2012	New Application	At “Delivered status in the

Name	Passport Number	Application Date	Description of Application in BPIS	Comments
				BPIS”

21.7 We questioned the reason for the inability of the BPIS to recognize those individuals as recorded in the BPIS since they were renewing machine readable Passports. Mr. Bol said that there had been many changes over the years regarding the expiration date of Passports as previously, Passports were valid for 5 years but are now valid for 10 years. Mr. Bol said he had been away and upon his return he noticed the problems with the BPIS. Mr. Bol reiterated that the problems had been revealed to 3 M Company but no response was received.

21.8 Mr. Bol continued by stating that 3M Company knew about all the problems in the BPIS and although he informed them through emails sent to the Sales Representative, Information Technology personnel etc. they had done nothing and even neglected to respond to some of the emails. He said that, at one point, he was not informed of who his technical support assistant was, as the one initially assigned was no longer working at 3M Company. Those problems were also because of the non-renewal of licensing, which GOB should pay US 90,000.00 to renew yearly.

Although requested, we were not provided with copies of those emails that Mr. Bol said he sent to 3M Company.

The IT Manager, Mr Bol failed to delete the status of the inactive officers from the BPIS although they no longer worked in the Passport Section in Belmopan

22. On the 22nd November 2013, the Information Technology Manager, Mr Rodolfo Bol was asked to provide Audit with a print out of the names of officers who were or had been assigned user roles to the BPIS, a request which he adhered to. Upon receiving the information (on a spreadsheet), it was observed that he had given us the names of those officers who were classified as active (still on the system and those inactive (no longer having access to the system). Upon scrutiny of the spreadsheet, it was noted that the information provided did not include the vital information that was needed, that is, the date officers were assigned user roles and the date such roles were revoked.

22.2 We searched the system and found that as from the time the information was requested, Mr Bol started deleting the status of the inactive officers. It was therefore clear that those officers, some of whom no longer worked with the Immigration and Nationality Department, others still working there, but their tasks did not involved access to the system, still had active user roles on the system.

22.3 With respect to the active users, the information provided did not show the date these users were assigned to their respective roles. **Appendix B** shows the active and inactive user roles presented by Mr. Bol, while **Appendix B.1** shows the date and time the user roles were deleted by Mr. Bol as extracted from the system.

22.4 We question this apparent mischievous act committed by Mr. Bol. We can't find no reasonable or plausible explanation and we are unable to ascertain whether this act was sanctioned by the Director. We remain troubled by these suspicious circumstances. If indeed after investigation mischief is revealed, this amounts to concealment of evidence which can be pursued criminally.

Recommendations:

The Director of Immigration and Nationality should ensure that:

- i. Whenever an officer is no longer attached to the Passport Section or has been transferred to another station of the Department his/her user role is immediately deactivated.
- ii. The Information Technology Manager is made aware of the mandate of the Auditor General.

Fraudulent Passport P0222253 in the name of Tolani Saurabh

23. While examining Visa files, we found a Passport which appeared to be a Belize issued Passport, P0222253 in the name of one, Tolani Saurabh, issued 29-Dec-2012 with expiry date being 29-Dec-2022, issuing country, Belize.

It had the signature of former Director, Ruth Meighan, which we understood was a fixed signature in the template for the printing of Passports. The only irregular feature of this Passport was that the signature of Saurabh was extended all the way under his faded photograph, which was unusual for the regular machine-readable Passports we saw printed at the Belmopan Passport Office. A copy of this Passport can be seen at **Appendix C**.

23. 2 A search in the BPIS revealed that Passport P0222253 was issued to one, Mili Vanessa Bull (issue date 14-Nov-2012 but received by applicant 21-Nov-2012) who had presented an application on 2-Nov-2012 for the renewal of Passport number P0095332 issued 24th September, 2008.

23.3 We visited Mili Vanessa Bul on 20th June, 2014 and verified that P0222253 belonged to her and in fact was in her possession. This is an indication that the Passport P0222253 that is in the possession of Tolani Saurabh is fraudulent. It would appear, unfortunately that Belizean Passports are being fraudulently produced here or elsewhere using the country of Belize production template. The copy of Passport P0222253 belonging to Mili Vanessa Bul is shown at **Appendix C.1**.

David So was fraudulently issued Passport P0077189 by Immigration Officer Eleuterio Cobb although the Passport was recorded as spoilt

24. We observed through a memorandum GEN/13/01/08 (45) dated 15th September 2008 from the then Director Immigration and Nationality, Gareth Murillo to Interpol Belmopan, that David So was fraudulently issued Belizean Passport P0077189 by Immigration Officer Eleuterio Cobb.

24.2 A search of the BPIS revealed that David So applied for said Passport on 15-May-2006 with fraudulent nationality number 17688/05 issued 14-Feb-05. We subsequently found that nationality certificate number 17688 was in the nationality register and was issued to Augusto Godoy on the 18-Dec-2003.

Blank Belize Passport P0063539 was found in the possession of David So by US Customs and Border Protection in Amsterdam Netherlands

25. A confidential letter IP/PP/01/08 (04) dated 3rd September 2008 from Interpol Belmopan to the then Director Gareth Murillo, Subject – Blank Belize Passport found, stated:

“Be informed that have intercepted the following person who had in his possession a blank Belize Passport.

Name: David So

DOB: 25th November 1968

Sex: Male

Passport P0063539”

Blank Belize Passport P0043805 was used by David So to travel to Cancun

25. 2 The letter went on to explain that on 7-July-2007 David So had travelled to Cancun with blank Passport P0043805.

25.2 We found Passport P0043805 was from a stolen batch in 2006 (P0043801-P0043900 stolen 28-Sept-2006).

25.3 The letter further stated that on 2-Sept-2008 Mr. So was attempting to depart Amsterdam, Netherlands travelling to Cancun Mexico with another blank Passport P0063539.

The last paragraph of the letter asked that the Director confirm if those Passports were reported as lost or stolen in Belize, and if so could the Director provide the date they were stolen. We searched the BPIS and found that P0063539 was documented as spoilt.

25.4 According to the above referred to memorandum submitted by Director Murillo, to Interpol Belmopan, the spoiled Passport was received by Eluterio Cobb. In addition, Mr. Cobb also illegally issued Passport P0077189 to David So on 29th January 2008. The memorandum also informed that Eluterio Cobb was suspended from duty on 19th June 2008.

25.5 We examined the BPIS and found that Passport P0077189 is still at “Delivered” status. It appeared as though David So have not renewed his Passport since 2008.

Stolen Manual Passport A003991 issued to David So

26. We found in the stock of cancelled Passports presented to us a stolen manual Passport A003991 issued to David So on 9th August 2001 with what appeared to be the signature of former Director Paulino Castellanos. Scrutiny of the Passport showed fraudulent nationality certificate number 20185/01 dated 25th July 2001. A search of Register of Citizens by registration revealed that certificate number 20185/01 dated 25th July 2001 belongs to Romulo Angel Aleman.

26.2 We could not ascertain:

- i. The reason why said manual Passport A003991 was cancelled and retained by the Immigration and Nationality Department. The policy of the Department is that whenever an individual applies for his/her Passport to be renewed, the said Passport in his/her possession is cancelled and given back to the individual.
- ii. Why no written communication was seen to suggest that the Immigration and Nationality Department had been working with the Commissioner of Police and Interpol to try to revoke and retrieve those stolen Passports and bring all local and foreign facilitators to justice.

Fraudulent Passport A004528 in the name of Yuan Hui Hung Cheng

27. Yuan Hui Hung Cheng, whose date of birth was stated as 10th January 1959 and who lived in Chetumal, Mexico applied for renewal of her Passport A004528 issued on 3rd August, 2012. We noted that Passport series A004501 to A004600 was issued to Passport Office, Belize on 13th February, 2002 therefore, Yuan Hui Hung Cheng’s Passport A004528 was not issued to her genuinely. We could not ascertain how said Passport was issued to Yuan Hui Hung Cheng before it was issued to the OIC Passport Office Belize on the 13th February 2002.

27.2 Director, Miss Ruth Meighan, wrote on Yuan Hui Hung Cheng's 3rd August 2012 application form, "Tiffany, please process for today if all in order." Tiffany Taylor accepted the form as Counter Clerk and approved the processing also. As reported earlier in this report Tiffany Taylor was a Data Entry Clerk.

27.3 Yuan Hui Hung Cheng's name was seen in the Register with six children recorded in the respective column for number of children, however no file number recorded. We requested her file on 19th June, 2014 using certificate number 2470/92 dated 28-Sept-1992 (seen on her Passport application form) but it was not presented.

We saw that she was issued P0212210 on 3rd August, 2012 to replace A004528.

Fraudulent Passport P0001480 issued to Imad Khaled on the 2nd October 2006 -

28. On the 20th July 2011, Imad Khaled who was born in Lebanon on 15th June, 1958 and lived in Qatar; applied through the Belize High Commission in London, to renew his Passport P0001480 (a photocopy was attached to his application form) which was issued on 2nd October 2006 with expiry date of 1st October 2011.

28.2 A search of the BPIS revealed that Passport P0001480 was issued to a minor, Alexander Wilbert Rodriguez of Santa Cruz, Santa Elena, Cayo District and the application for his Passport in the BPIS was dated 17th March 2005. We observed that his Passport was processed solely by Ady Pacheco as shown by the Stock Item Report. A search of the Revenue Form Issue Note Register maintained by the Finance Officer showed that series P0001401-P0001500 was issued to Ady Pacheco on 14th March 2005. We visited Alexander Wilbert Rodriguez's address twice and it proved futile as no one answered.

28.3 Imad Khaled's application form also had attached to it, a photocopy of his manual Passport 0260831 issued in London, United Kingdom on 19th November 2002. In a letter to the Belize Immigration, which was also attached to his application form he stated that he was sending his and his wife's Passports to be renewed and that the new Passports would be collected up by Salim Youseef El Ters in Belize.

28.4 His Passports P0001480 and 0260831 along with 0260832 for his wife, Samia Khaled, which was issued 18th November 2002 (out of sequence since his 0260831 was issued on 19th November 2002) were apparently brought to the Immigration and Nationality Department Belmopan, photocopied and attached to their respective Passport application forms. No copies of their nationality certificates were attached as should have been the case with renewal of manual Passports according to Immigration and Nationality Department's policy. We could not confirm whether their manual Passports were

fraudulently issued because the Passport Issue Register for the period could not be located by the Finance and Administrative Officers for presentation to Audit.

28.5 On 4th August 2011 Imad Khaled was issued Passport P0175147 while Passport P0175145 was issued to his wife Samia on the same day. The nationality certificate numbers written on the application forms were 2868/93 for Imad Khaled and 2867/93 for Samia Khaled with both dated 20th May 1993. We did verification of those certificate numbers in the Register of Citizens by Registration and found no entries for the individuals under Section 11A (citizenship under the Economic Citizenship programme).

28.6 A further search of the Register under Section 10 (regular nationality by registration) revealed that these numbers were also not for Imad and Samia Khaled. We found that certificate number 2867 was dated 21st April 1992 and was issued to Maria Candelaria Miranda while 2868 dated 21st April 1992 was issued to Beatriz Edisa Cima.

28.7 It was interesting to note that nationality certificate number 2867/93 used by Samia Khaled (no photocopy attached to her application form) also included three children as seen on the BPIS. A search of the BPIS revealed that, Rana, Reem and Khaled Imad all applied for Belizean Passports on 10th December 2008 and were issued said Passports on 26th March 2009 as shown at **Table P** below:

Table P

The following is the list of Passports issued to the Khaled's children

Name	Date of Birth	Certificate number	Passport number	Issue date	Expiry date
Rana Imad Khaled Khaled	6 th January 1991	2867/93 dated 20 th May 1993	P0101630	26.3.09	25.3.19
Khaled Imad Khaled	9 th October 1992	2867/93 dated 20 th May 1993	P0101631	26.3.09	25.3.19
Reem Imad Khaled Khaled	12 th December 1987	2867/93 dated 20 th May 1993	P0101632	26.3.09	25.3.19

This finding will be further reported in our nationality report.

Fraudulent Passports P0001476 and P0001477 in the names of Samir Naef Khoury and Salwa Said Khoury

29. Samir Naef Khoury and Salwa Said Khoury whose dates of birth were stated as 21st August, 1949 and 23rd April, 1955 respectively submitted Passport application forms dated 9th May, 2011 through the Belize Embassy in London. The application forms stated that he and she were born in Beirut Lebanon and lived in the United Arab Emirates.

29.2 We noted that some sections of the application forms appeared to be written in temporary ink including the revenue collectors receipt numbers 1001664 (Salwa Said Khoury) and 1001665 (Samir Naef Khoury) dated 9th May 2011 for One Hundred and Ten Pounds (£110.00) issued by the Embassy in London.

Recorded on the Passport applications were Passport numbers P0001476 and P0001477 respectively which were also attached to the applications. Those Passports were said to have been issued in London on 14th June, 2006.

29.3 Their nationality certificate numbers 1771/90 (Salwa Said Khoury) and 1772/90 (Samir Naef Khoury) dated 7th December, 1990 were also recorded on their applications. Said Passports appeared to have been handwritten in temporary ink although those Passports were machine readable Passports.

29.4 We noticed that Passport numbers A001574 and A001579 were stamped in Passports P0001476 and P0001477 as being their previously issued Passports with issue dates of 6th June, 1996 and 27th June, 1996. A search of the Jumbo Passport Register showed the bulk series A001571-A001590 was issued on 2nd November, 1995 to Ministry of Foreign Affairs, London and Passport Office Belize City. The initials of SU and the signature A. Hanson appeared in the Register as issuing and receiving those Passports.

29.5 We found in the Ordinary Passport issue Register, that bulk series P0001401-P0001500 was stated as issued on 14th March, 2005 to the Belmopan Passport Office by Jem Pascascio and received by Ady Pacheco. In the register in red ink under the "Remarks" column a note was written stating "10 Passports forwarding to M/Foreign Affairs 10 Passports returned 8/5/06 for issued 8/5/06".

29.6 In the Register of Citizens by Registration under section 11A, certificates 1771/90 and 1772/90 dated 7th December, 1990 were seen as issued to Salwa Khoury Samir Naef and Samir Naef Khoury however, no file numbers were recorded for them. There was also another entry for 1771/90 in the Register at section 11A dated 7th December, 1990 which was issued to Mona Arned Sheheber and 2 children for which the file number was also not recorded.

29.7 A search of the BPIS revealed that Passport P0001476 was issued to Alejandro Jose Garcia who was born in Orange Walk, Belize on 10th September, 2003 with the issue date of 17th March, 2005 while P0001477 with issue date of 17th March, 2005 was for Drisdén Jesus Isiah Garcia, who was born in Corozal, Belize on 17th September, 1977.

29.8 The system also showed that Passports P0001476 and P0001477 were approved by Gareth Murillo and printed by Ady Pacheco on 17th March, 2005.

The system also showed that said Passports P0001476 was revoked on 10th March, 2011 while P0001477 was revoked on 26th May, 2010. Further investigation is required for us to locate the genuine holders of Passports P0001476 and P0001477.

Fraudulent Passport P0000296 in the name of Ivan Li

30. Ivan Li submitted a Passport application form for renewal of Passport on 5th April, 2011, which stated that he was born on 26th January, 1960 and lived in Guang Dong China. His Belize address was said to be Eastern Guest House. On said application form was recorded nationality certificate number 1417/89 issued 19th October, 1989 while Passport P0000296 was recorded as his previous Passport number and was said to have been issued on 2nd May, 2006 in Hong Kong. The series P0000101 to P0000300 was seen in the Passport Issue Register as issued by K Rancharan on 17th February 2005 to Belize City Office and received by Gareth Murillo.

30.1 We noted that the signature on the application form did not match the signature of recipient.

30.2 A search of the BPIS revealed that Passport P0000296 was recorded as issued to a Belizean by birth, Jeriel Kristian Jorguenson, whose BPIS application was dated 3rd March, 2005 and not to Ivan Li.

As a result Passport P0000296 was fraudulently issued to Ivan Li. We tried to obtain a copy of Jeriel Kristian Jorguenson's Passport who now resides in the United States of America; however after three attempts we were unsuccessful because of lack of cooperation by his mother.

30.3 We also searched the register of Citizens by registration and saw that nationality certificate number 1417/89 (under section 11A, Citizenship by Investment) with issue date of 19th October, 1989 as was recorded on the application form and issued in Hong Kong, was in the name Li Ivan. No file number was recorded for him in said register.

30.4 Ivan Li received his new legitimate Passport P0162607 issued 5th April, 2011 and his BPIS photograph appeared as though he visited the Passport Office to have his photograph taken. He did not pay the expedite fee of \$100.00 to receive his Passport on the same day; he paid fifty dollars (\$50.00) via receipt 489574 dated 5th April 2011.

Fraudulent Passport P0001484 in the name of Keisha Khaliah Holder

31. Keisha Khaliah Holder who was born in Kingston, Jamaica on 30th December 1975 and whose address was stated as Georgetown, Guyana with Belize address as 18 Buena Vista Road, Cayo District submitted a Passport application form on 23rd August, 2011 to renew Passport P0001484, which was issued in New York on 16th June, 2006. Also recorded on her application form was irregular nationality certificate number 6884/105/1/85 dated 18th June, 1985. The reference 6884/105/1/85 is not the regular reference used for nationality by decent certificates.

31.2 We searched the BPIS and found that Passport P0001484 was issued to Belizean Audra Michelle Stephens. Her date of birth was stated as 15th March, 1976 and she applied on 1st March 2005 for said Passport P0001484. As a result of this finding, Keisha Khaliah Holder was fraudulently issued Passport P0001484. We saw that she was issued a new legitimate Passport P0177338 on 23rd August, 2011 to replace the fraudulently issued P0001484. Again further investigation is required for us to locate the genuine holder of P0001484 Audra Michelle Stephens.

Interview held with Ady Pacheco on 9th December 2014

32. In an interview held on the 9th December, 2014 with Ady Pacheco (OIC at the Passport section in 2005), she was told that we observed in the Passport Issue Register, that Passport series P0001401 to P0001500 was issued to her by the then Finance Officer, Miss Jem Pascascio on the 14th March 2005. She was told that several of those machine readable Passports were noted as issued manually.

32.2 We showed her several copies of the Passports and her response was:

“There was an amount of ordinary manual Passports that came with the P at the front. These Passports were issued all over the place, Belmopan, Belize and to the missions. It was just before the machine readable came into place. Those would have been dated prior to 2005 or early 2005. The missions were issued later so that would explain the later date. I had mentioned it to Mr. Bol. It created a whole lot of confusion.”

32.3 We asked her if Mr. Bol would remember and she said,

“I am not sure if he would remember. It was a number of them. I have no idea how many.”

32.4 We asked Mr. Bol about Passports Ms Pacheco had told us she had mentioned to him and he informed us, that he did not know about such Passports. He said that he was away studying during the period 2005.

32.5 We checked the Ordinary Passport Register from the period 16th May 2003 to September 2013 and found no evidence that manual Passports bearing the serial numbers P0001401 to P0001500 or any series before or after those aforementioned were received and issued by the Immigration and Nationality Department.

Renewal of fraudulent Passport A005710 issued to Jianjun Chen -

33. Jianjun Chen who was born on 11th September, 1973 applied for the renewal of fraudulent Passport A005710 issued to him on the 13th July, 2004, with its place of issue stated as Belize as seen on his Passport application form. His address in Belize was stated as 160 North Front Street, Belize City.

His replacement certificate 115/2/01 was not seen in Register of Citizens by Registration. This Passport was from a series that was recorded as issued to Geneva when that Mission did not issue Passports.

33.2 Attached to the application was a letter dated 27-February 2005 from Gareth Murillo to “Whom it may concern” in which he was certifying the issue of the fraudulent Passport as genuine. In our 27th August, 2014 interview held with Gareth Murillo he said:

“Those are not my signature. I have some friends over at Immigration still who may be doing these fraudulent certificates with what appears to be my signature”.

We noted that Jianjun Chen was issued with a new Passport P0207006 on the 3rd July 2012.

Fraudulent Passport A006481 in the name of Jiasheng Ye

34. We saw a fraudulently issued manual Passport A006481 in the name Jiasheng Ye in which the Passport picture appeared as a photocopied picture, issued 7th July, 2004; expiry date 6th July, 2014. It was stamped with an Immigration Belmopan stamp and signed by what appeared to be the signature of Gareth Murillo. This Passport was found among the cancelled Passports presented to Audit which were to be destroyed after the Audit investigation. In the Jumbo Passports Control Register the series A006401-A006500 was recorded as issued to MOF/ Hong Kong on 8th July, 2002 but said Passport A006481 was written as issued in Belmopan.

34.2 We observed that nationality certificate number 14966/01 dated 11th October 2001 was recorded in the Passport. When this information was checked to the Register of Citizens by Registration we noted that said Nationality Certificate number 14966/01 is issued to Cheng Zhuo.

34.3 We noted that the handwriting in Jiasheng Ye Passport A006481 (issued 7th July 2004) was similar to that in fraudulent Passport A005707 issued to Frank Zhu (23rd July 2004)

at paragraph 41 below. It is to be noted that those two Passports had issue date of July 2004.

Machine Readable Passport P0000243 appeared as a duplicate fraudulent Passport or was spoilt and fraudulently diverted for issue as a Manual Passport

35. We saw a copy of machine-readable Passport P0000243 issued to Mei Ling Lin who lived in Taipei Taiwan with Belize address as 5 Cork Street, Belize City, attached to a Passport application for renewal on 9th October, 2012. The Passport appeared to have been issued by Gaspar Ken, Minister's Counselor of the Embassy of Belize, Republic of China as the imprinted stamps made in said Passport showed.

35.2 Nationality certificate number 054/2/96 dated 18th January, 1996 was also written on a page of the Passport. This nationality series was not seen in the Register of Citizens by Registration.

The Passport copy showed that the biographic data and other information were hand-written, although the Passport was designed to be printed electronically as a machine-readable Passport.

35.3 A search of the Passport Issuance Ledger showed that Passport P0000243 and those in that series (P0000101-P0000300) was issued on 17th February, 2005 for use by the Belize City Office by K. Rancharan to Gareth Murillo. The said Passports were not intended for issue in Taiwan. The Stock Item Report showed that machine-readable Passport P000243 was assigned and printed numerous times on 2nd March, 2005 by Brenda Longsworth Reneau. It was printed confirmed and spoiled by her on the same day and returned spoiled by Mr. Rodolfo Bol Jr. As a result of the finding, it showed that a spoiled Passport was fraudulently issued to Mei Ling Lin.

35.4 The applicant Mei Ling Lin received a new legitimate Passport P0219883 on 9th October, 2012 by paying \$100.00 as expedite fee via receipt number 790342 dated 9th October, 2012.

Fraudulent and Irregular Passport applications for Peter William Dahlstrom

36. Peter William Dahlstrom who was born in Sweden and had Belize address as 61 Southern Foreshore applied on 23rd October, 2012 at the Belmopan Passport Office for a 1st issue Passport. Box C of Section 4 Declaration was ticked by the applicant who declared that he had not previously applied for a Passport. He paid one hundred dollars (\$100.00) expedite fee via receipt 796057 dated 23rd October, 2012 and was issued P0220900 on said date of his second application. We noted that no one recommended the applicant on the form as required. In addition, the signatures of the applicant and recipient did not match.

36.2 Attached to this application form was another Passport application form dated 5th April, 2012 in the name of Peter William Dahlstrom who was born in Sweden and lived in London UK with address while in Belize as 61 Southern Foreshore. Box C of the Section 4 Declaration was also ticked by the applicant who declared that he had not previously applied for a Passport; however, at the top of the form was written, "Police Report waive", "urgent ok" & "\$135.00 for today", but no one signed their name. The signature on the application appeared as Pete Dahlstrom and the recommender was Erle Grinage of 7 Poinsettia Lane, who stated that he had known the applicant for two (2) years.

36.3 Also attached was a copy of nationality certificate number 28265/12 dated 22nd October, 2012 signed by Minister Godwin Hulse however, Section 3 of the Passport application form which requires Citizens by Registration to fill in was not adhered to.

The form was accepted by the Counter Clerk who also signed as completing the data entry. No other processes were seen as completed on the form for Peter William Dahlstrom.

36.4 Since Peter William Dahlstrom did not received Belizean Nationality until 22nd October 2012, if he had a previous Passport as his 5th April 2012 Passport application form implied it would have been a fraudulent/stolen one or the notes at the top of the application "police report waive" etc (usually written by the Director INS or the OIC Passport Office), was a deliberate attempt to make it appear as though the applicant had a previous Passport and was only renewing it on the 5th April, 2012.

36.5 Further searches in the BPIS using the surname "Dahlstrom" revealed that one application was created on 5th April 2012 for Peter William Dahlstrom. The Nationality Certificate number entered on the BPIS application was 1111111111 dated April 5, 2012 and his biometric information was also captured. There was no such nationality certificate number in the Register of Citizens by Registration.

The BPIS revealed that his data capture and the nationality information were entered by Petula Peyrefitte but no other BPIS processing or issue of a Passport was attached to this application.

36.6 The BPIS did not show another application, however; another search of the BPIS using the names "Peter William" brought up another Passport application in the name Peter William Dahlstrom with the "o" in Dahlstrom as "ö". This BPIS application was dated 23rd October, 2012 and nationality certificate number 28265/12 dated 22nd October 2012 was entered. Sharon Flowers approved Passport P0220900 to Peter William Dahlstrom (with an ö) without performing a biometric check.

36.7 The Passport Issue List showed that his wife Sherrett Normandeen Dahlstrom had 2 Passports P0194116 and P0194117 printed in her name on the same date of the fraudulent application (5th April, 2012) for Peter William Dahlstrom.

However, we noticed that P0194116 was erroneously printed as Dahlstorom and was cancelled, while P0194117 was seen on the BPIS as issued to Sherrett Normandeen Dahlstrom. No application form was seen by Audit for this applicant.

36.8 A search of the Register of Citizens by Registration revealed that nationality certificate number 28265/12 was issued in the name of Peter Dahlstrom with file number 29575. More findings on Applicant Peter William Dahlstrom will be reported in the Nationality report.

Stolen Passport P0016702 issued to Qiu-Yun-Lin

37. We saw a copy of a stolen Passport P0016702 issued to one, Qiu-Yun-Lin, a female from China with issue date of 13-Nov-2007 and expiration date of 12-Nov-2012. This Passport was from a stolen series P0016701 to P0016900 issued to Immigration Officer Ady Pacheco on 22nd August 2005. Those Passports were discovered missing on 29th August 2005 from the Passport Office, Belmopan and the series were never recovered.

37.2 We noticed that Passport P0016702 had the signature of former Director, Gareth Murillo and the issuing country as Belize. We could not ascertain whether said Passport was printed at the Passport office as we found no trace of it on the BPIS system.

37.3 We found there was no record in the Department's files of any investigation or questioning of Miss Ady Pacheco regarding those stolen Passports. It was during our examination of her personal file aforementioned at paragraph **52** that we also saw in her lawyer's unreferenced letter dated 27th October, 2006 that she was asked to explain why 10 "spoilt" Passports had disappeared from the Belmopan Passport Office. He indicated that the reason why the ten Passports went missing was because his client was detained by the Police on the 29th August 2005 and released on the 30th August 2005.

It was during that period when she was detained in relation to the 29th August 2005 missing Passports that the ten machine readable Passports may have gone missing. 37.4 The ten Passports recorded as spoilt were as follows:

P0004389

P0004775

P0016575

P0020731

P0015320

P0015321

P0015322

P0015323

P0015324 and

P0015325

37.5 There was no evidence of any further investigation done regarding those stolen Passports. A copy of stolen Passport P0016702 issued to Qiu-Yun-Lin can be seen at **AppendixC.2.**

The former Director Mr. Gareth Murillo, the Ministry of Foreign Affairs and other pertinent individuals were aware that stolen Passport P0016702 was asked to be returned by the Director International Affairs in the Ministry of Foreign Affairs

38. We saw an email dated 29th January 2010 from Director International Affairs to Migration Integrity Officer, Consulate General of Canada, requesting the stolen Passport P0016702 issued to Qiu Yun Lin. Said Passport was requested to be sent to the Immigration and Nationality Department in Belmopan.

38.2 This email was copied to:

ebwattache@aol.com,

gareth.murillo@immigration.gov.bz

belizemfa@btl.net

It was also blind copied to:

maria.marin@immigration.gov.bz,

Audrey.Wallace@opm.gov.bz,

nestormendez@embassyofbelize.org,

cherienisbet@embassyofbelize.org,

protocolmfabz@yahoo.com,

allenwhylye@yahoo.com,

38.3 Efforts were made by the Ministry of Foreign Affairs to get the Passport sent to Belize but the Ministry was informed that it had to be requested by the Immigration Department. Although the Director and others including the Office of the Prime Minister and CEO National Security were aware of the request (see email addresses above) however there was no indication that the Passport was requested.

Unaccounted Passport A003664 in the name of Wensheng Li

39. Passport A003664 which was unaccounted for in the Jumbo Passport Register was seen issued in the name Wensheng Li. The date of issue was 8th January 2007 and the expiry date was 7th January, 2009. This Passport was found among the cancelled Passports. We could not ascertain how said manual Passport was issued in 2007, when the Department had started to issue machine readable Passports since February 2005. Passport A003664 had what appeared to be the signature of former Director, Gareth Murillo and an Immigration and Nationality, Belmopan, Belize stamp. The Passport appeared to be shabbily prepared in ink and written in it the words “issued to allow subject to return with family to Belize”.

39.2 We observed recorded in the Passport BNA number 18591/05 dated 4th July 2005. A search of the register of Citizens by registration showed that 18591/95 belonged to Bing Lang Li under section 10 (Citizen by registration).

39.3 Eight other Passports were noted issued in the same manner to other members of the Li family bearing the same unusual two years between the issue and expiration dates. All of those Passports had what appeared to be the irregular signature of former Director, Jose Carmen Zetina. We compared the signatures in the Passports to correspondences with his signature found on the confidential file and they did not match. Those Passports were used for entry from Mexico to Belize through the Immigration and Nationality Northern Border Port of Entry and the holders were all Chinese.

Those Passports are listed among others at **Appendix D** which includes all stolen, fraudulent and unaccounted Passports found among the cancelled Passports presented to Audit.

Renewal of Abdulla Shebani stolen Passport 0290676 –was apparently rejected at the Belize Consulate in London

40. Abdulla Shebani submitted a Passport application form on 30th November, 2011 to renew stolen Passport 0290676. His date of birth was stated as 1st January, 1980 with his place of birth being Rome Italy and place of residence in London. We noted that JP Jahan Abadi wrote Shebani's home address as Radisson Hotel, Belize City in temporary ink. His stolen Passport 0290676 issued 13th February 2006 was replaced with P0186777 issued 1st December 2011.

40.2 Attached to his application was a photocopy of an undated nationality certificate number 112/87 with what appeared to be the signature of Dean O Barrow. The copy of the certificate was for Hassan K. Shebani with the names of four children listed including Abdulla. A check of the Register of Citizens by Registration showed the name of Hassan K Shebani plus four children.

40.3 In an interview with Jahan Abadi JP on 1st July, 2014 at Immigration and Nationality Department, we showed him his signature on two Passport application forms that he had not seen when we visited him on 23rd June, 2014, including Abdulla Shebani's. He insisted that he did not know the applicant but knew his father. We told him that since the applicant lived in London he could have renewed his Passport there and found a notary public who knew and could recommend him. JP Jahan Abadi informed us that the London Consulate refused to renew Shebani's Passport. We sent an email to the Belize Consulate in London requesting why Shebani's passport could not be renewed there. Miss Perla Perdomo from the Belize High Commission responded in an email stating that due to the time it would have taken for Shebani's Passport to be processed, Mr. Shebani decided to travel to Belize to renew his passport. Miss Perdomo also stated in her email that the London Office found that Shebani's papers were in order.

Unaccounted Passport A009197 in the register and stolen Passport A005707 in the name of Yicai Zhu and Frank Zhu (same individual)

41. An application dated 3rd March 2012 for renewal of Passport A009197 issued 19th December 2001 (this was seen on the application form as the copy of the first page of the Passport attached did not have the issue and expiration dates) was received for Yicai Zhu. The form stated that it was issued in Belize and that his date of birth was 27th March, 1964. The Counter Clerk who accepted the form did not sign on the form nor date it and wrote in the Official Use Section of the application that the applicant presented A009197 for renewal which was issued 23rd July, 2004. The attached copy of the first page of Passport A009197 had the words "void" stamped in it and Asian writing above the word "void".

41.2 Also attached to the application was a copy of the bio data page of another Passport A005707 in the name Frank Zhu with date of birth as 26th January 1968. Said Passport A005707 had the issue and expiration dates 23rd July 2004 and 22nd July 2014 respectively. Although the Passport was in the name Frank Zhu the photograph resembled Yicai Zhu's BPIS photograph of his new Passport P0194654 issued 14th March 2012.

41.3 The BPIS picture of Yicai Zhu appeared to be a picture of a picture. Passport A005707 was from the series that was recorded in the Jumbo Passport Issue Register as issued by Miss Laura Munoz with no signature of the recipient.

The series A005701 to A005725 was issued to Geneva, a Mission that never issued Passports (Re interview Audit Officers/Diana Locke on 5th June, 2014). Passport P009197 was from series A009126 to A009200 which was unaccounted for in the Jumbo Issue Register.41.4 We could not ascertain where both Passports A009197 and A005707 were issued but the applicant wrote that A009197 was issued in Belize on 19th December, 2001. It appeared that Passport A005707 was issued fraudulently in March 2012 but back-dated to 23rd July, 2004.

41.5 A search of the applicant's nationality file number16391 showed that someone applied for and received a lost Passport police report on 1st March, 2012 (which was not attached to his Passport application form on 3rd March, 2012) on which he claimed that A009197 was stolen. There was no mention that he had in his possession another Passport A005707.

41.6 On a declaration form attached to the police report dated 1st March, 2012 was the signature of Ailing Li JP. What is troubling is that the signature of Yicai Zhu on the same declaration form, appeared to be written in the same handwriting as the signature Ailing Li. We noted that he did not sign his Passport application form and the signature as the recipient of his Passport P0194654 did match that on the declaration form.

41.7 Since it appeared as though Yicai Zhu had not come to Belize in March 2012 to submit a report for a lost Belizean Passport (see BPIS photo), we could not ascertain who reported the lost Passport to the Eastern Division Police, Racoon Street in Belize City and requested and received the completed Police report in Belmopan on the same day.

41.8 We visited the Racoon Street Police Precinct on 11th December, 2014 in an effort to find out who had made the lost Passport report. We were directed to Senior Superintendent Edward Broaster. We asked him who was required to present themselves to the Police Precinct to make a lost Passport report to which he responded:

"A report can be done at any precinct. The person who lost the document has to come in to make the report unless they do a notarized power of attorney, but that is extremely rare."

41.9 He was then asked if entries were made in a ledger or file when such police reports are made and if so Audit would need a copy of the document. He responded:

"Entries were done manually around that time the Police report was made, so it would have been recorded in a diary."

41.10 He promised to give us a copy of the diary page of the report. This would be done after searches were carried out to locate the diary for the year 2012. On 23rd January 2015,

Senior Superintendent Broaster visited our office and informed us that the searches made proved futile.

- 41.11 Since the Police report stated that the documents were left in the glove compartment of Yicai Zhu's vehicle which was parked in his yard with doors and windows secured, we asked Superintendent Boaster if any investigation was done after the report and he said:

"This would require an investigation and the Crimes Investigation Branch (CIB) would do the investigation and report. He (Yicai Zhu) would have gone to the CIB first before coming to make the lost Passport report."

- 41.12 Senior Superintendent Broaster informed Superintendent Hilberto Romero from the CIB that Audit was on the way to see him in regards to a report which was made regarding the break in of a vehicle.

Immediately after leaving the Racoon Street Precinct, we visited the Queen Street Precinct to speak with Superintendent Romero and were told that he was not in. We waited and after about an hour called him and he promised to be there in five minutes. We never saw him.

- 41.13 It appeared that someone from the Immigration and Nationality Department decided not to attach the Police report with the claim that Passport A009197 was stolen but instead issued Passport A005707 fraudulently in the name Frank Zhu using a photo from Yicai Zhu's Nationality file, which was then copied and attached to his Passport renewal application of 3rd March, 2012.

- 41.14 Someone was able to receive the new Passport P0194654 on 14th March, 2012 in the name Yicai Zhu and was also able to request a replacement nationality certificate number 15305/01 dated 18th December 2001 in the name Yicai Zhu. This replacement certificate 15305/01 was signed by Ruth Meighan on 9th March, 2012 and a copy was placed in the nationality file 16391. No receipt of payment was placed in the file for this replacement certificate as was seen in other files. This individual will be further reported on in the nationality report.

Interview with former Director of Immigration and Nationality Ruth Meighan on 8th December 2014

- 41.15 In an interview held on the 8th December 2014, former Director, Ruth Meighan was asked why replacement nationality certificates were issued instead of copies of the original certificates and her response was:

"I met the process there and I had questioned it. At first it was just a letter, but they needed a number of the certificate, but I met that process when I became the director."

41.16 We asked her, to outline the procedure for the signing of a replacement certificate, to which she responded:

“The file only would come to me and I would just sign the replacement based on the file. Anyone would bring the file from the Nationality section.”

41.17 She was also asked if anyone could make an application for replacement certificate or only the owner and her response was:

“The owner had to come in to the Nationality section to request a nationality certificate replacement. The application had to be made to the nationality section.”

Anwar Rabie was issued with an irregular Ordinary Passport A009477 while his Diplomatic Passport B0000114 was not approved by the Ministry of Foreign Affairs

42. Applicant Anwar Rabie, born on 23rd August 1954, with address in Belize City stated as Bally Garden submitted an application for the renewal of ordinary Passport A009477 on 12th October, 2011. He had Passport A009477 issued in Belize City recorded on his application as his Passport being renewed and he also had attached to his application form, a copy of Passport B0000114 issued 8th October, 2007.

42.2 The issuance of Passport A009477 dated 23rd January 2003 in Belize City was very irregular as the Jumbo Passport Register showed that one hundred (100) Passports bearing series A009401-A009500 was issued on 1st October, 2002 to the Belmopan Passport Office and not to the Belize City office. He did not fill out section 3 of the Passport application form, which required the nationality number of Citizens by Registration. However, the BPIS showed that his nationality certificate number was 078/1/01 dated 6th September, 2001. This series was not seen recorded in the Register of Citizens by Registration. We requested his file on 19th June, 2014 but it was not presented to us up to the time of this report.

42.3 Scrutiny of the BPIS showed applicant Anwar Rabie received Ordinary P0181771 issued 12th October, 2011 (the same date of his application to renew A009477). We noted that he had already been issued a machine readable Diplomatic Passport B0000045 dated 19th April 2007 three months prior to B00000114 issued on 8th October, 2007.

42.4 In an interview on 17th June, 2014 with a senior officer of the Ministry of Foreign Affairs, we were informed that Anwar Rabie had no connection to the Ministry of Foreign Affairs. It was further indicated to us that his sister, Elham Freiha is a representative at the United Arab Emirates. The Passport office replaced his Diplomatic Passport B0000045 on 10th February, 2012 by issuing B0001086 to him.

18 Passports from series A007551 to A007600 and 0202851 to 0202900 were not returned by the Police to the Immigration and Nationality Department

43. Eighteen Passports recovered by the Police on 29th August, 2005 were not returned to the Immigration and Nationality Department. This was noted when we compared the list of found Passports to a list of stolen Passports. One from the series A007551 to A007600 (issued to Ministry of Foreign Affairs for Trade Office Hong Kong on 25th October, 1999 and never arrived) and 17 from series 0202851 to 0202900 (also issued to Ministry of Foreign Affairs for Trade office Hong Kong). See **Appendix D.1** for a list of those Passports.

44 Passports from series A003501 to A00400 and A007551 to A007600 returned by the Police were not accounted for on the cancelled list presented to Audit

44. Forty four Passports returned by the Police on 17th January 2007 to the Immigration and Nationality Department were not accounted for on the cancelled list and among the cancelled Passports presented to us. Eight (8) Passports were from series A003501 to A004000 (unaccounted for in the Jumbo Issue Register) while thirty six (36) from series A007551 to A007600. See also **Appendix D.1**.

- 44.2 It is to be noted that A007573 which was returned by the Police was found among the cancelled Passports. It was prepared for issue to Hao-Jan-Mou whose date of birth was 12th May 1951 and was born in Taiwan. The issue date of 7th July 2004 was in the Passport.

7 stolen Passports found by the Police in the possession of individuals who were arrested were not accounted for on the cancelled list presented to Audit

- 44.3 Seven stolen Passports that were found to be in the possession of individuals who were arrested by Belize Police were also not seen on the cancelled list. See also **Appendix D.1**. Please note that only four of those individuals' names were identified via letter GEN13/01/06(19) dated 10th November, 2006 from the then Director, Mr. Jose Carmen Zetina to Minister Ralph Fonseca.

Individuals with stolen or unaccounted Passports were allowed to renew those Passports before the specified time

45. Several of those applicants (see **Appendix D.2** renewing Passports before they should have, were holders of stolen or unaccounted for Passports who attempted to renew them years ahead in order to get a genuine machine-readable Passport. Those individuals were allowed to do so through the Passport Office staff who may or may not have known that they were stolen or irregular Passports. The staff was not kept informed of past stolen Passports as revealed in an interview with the current and former OIC Passport Sections, Miss Therese Chavarria and Mrs. Georgia Bowen on May 19, 2014. Miss Chavarria said that in the past, years before the machine-readable system, they were made aware of stolen series to look out for but that had not occurred for years.

45.2 Mrs. Georgia Bowen stated that she was made aware of stolen Passport numbers when she was in the IT section but she was never informed of stolen Passport numbers to be alert for when she held over the Passport Office in the past and since she took over as OIC/ Passport Section. It appeared that some Officers may have known that some renewal applications were for stolen/ or unaccounted for Passports since they were not processed regularly, there were many missing signatures on those applications and several of the applicants did not appear in person to a Passport Office or Belize Mission overseas. See **Appendices A and M**.

45.3 In an interview with Mrs. Sharon Neal Flowers on 11th June, 2014, she stated that manual Passports were renewed before time because the Passport international standards demanded machine-readable Passports which accelerated the number of early and seemingly premature applications. When asked if she and the staff of the Passport Section were informed of stolen Passports series to be on alert for, she said that at no time was she informed of stolen series but she was aware that Passports were stolen in 2005.

Many Stolen, unaccounted and fraudulent Manual Jumbo and Ordinary Passports were found among the cancelled Passports presented to Audit

46. The suspicion of stolen and fraudulently issued Passports was as a result of a copy of an irregular Passport A007744 issued in Belize City on 19th May 2000 to Ans Osscan, which was renewed by the Passport section in the name of Enis Anibal Ozkan without a legal document for name change. The replacement nationality certificate number 4/2/99 dated 6th May 1999, is in the name of Anibal Enis Ozkan. The picture in the Passport was not the regular Passport size picture and he was not facing forward. His picture was taken at an angle.

46.2 Our suspicion was confirmed when we reviewed a letter titled “Unreported cases of missing Blank Passports from the bulk at Immigration Headquarters for period 1999 to 2004.” Ref GEN/13/01/06(19) dated 10th November 2006 seen in the Department’s Closed Confidential File from former Director Immigration and Nationality, Jose Carmen Zetina to former Minister Honourable Ralph Fonseca. (We were able to obtain and examine said file after much frustration and difficulty).

46.3 Many Passports from both Ordinary and Jumbo manual Passports had been stolen or unaccounted for from the Immigration Department over the past years without the knowledge of Audit or the Commissioner of Police until 2006.

46.4 We also found that several of those manual Passports were being issued during the period investigated but back-dated to make them appear as issued before the machine-readable system.

After the found Jumbo manual Passports were returned to the Immigration and Nationality Department by the Police and received by Gareth Murillo, former Director, on 17th January 2007, two of those Passports were still issued fraudulently and back-dated. Persons were presented with fraudulent Replacement certificates and given new machine-readable Passports as seen at Table Q below. There was no evidence to prove that those persons appeared at a Passport office/Foreign Mission for biometric capture.

Table Q

The following is the two persons who were fraudulently issued Passports returned by the Police and presented with fraudulent replacement nationality certificates

Name	Passport number	Date Issued	Expiration date	New Passport number	Date Issued	Nationality Certificate
Xiaobo Ji	A007572	07-Jul-04	06-Jul-14	P0221686	05-Nov-12	Certificate 041/3/00 dated 24 th August 2000. This series was not found in the Register of Citizens by Registration. No signature of the Director's signature on the copy of replacement certificate
Yongge Dai	A007587	13-Jul-04	14-Jul-14	P0196321	29-Mar-12	Certificate 99/3/99 dated 12 th January 1999. This series was not found in the Register of Citizens by Registration. Replacement signed by what appeared to be the signature of Gareth Murillo

- 46.5 The most recent known stolen batch of Passports was for 100 machine readable blank Passports (P0143801-P0143900), which occurred on 28th September 2006, of which ninety five (95) were recovered by the Police.
- 46.6 From a memorandum Ref GEN/13/01/08 (38) dated 27th June, 2008 from the Director Immigration and Nationality Service to Chief Executive Officer Ministry of National Security, we discovered that those ninety five Passports were found in a house in San Ignacio on 10th October 2006 occupied by a relative of Mr. Marcus Garcia who was employed as a Clerical Assistant and had been attached to the Passport section. Mr. Marcus Garcia was later charged on 13th October 2006 and terminated on 1st January 2007.
- 46.7 All ninety five (95) Passports were received by the Immigration and Nationality Department. Those Passports were cancelled and were presented to us at the time of the Audit investigation. Audit prepared a destruction list with those Passports along with others, which are to be destroyed by the Immigration Department.
- 46.8 Out of the five Passports not returned to the Immigration and Nationality Department, one Passport A003991 was found in the possession of David So, suspected human trafficker and holder of Passport P0077189 (as reported earlier at paragraph **24** of this report).
- 46.9 According to former Director Zetina's aforementioned letter, GEN/13/01/06(19) dated 10th November 2006, the initial finding and discovery that the Immigration and Nationality Department had not reported stolen bulk Passports began after an investigation was done into the disappearance of 200 machine readable Passports P0016701-P0016900. Those Passports had been issued by the then Finance Officer, Jem Pascascio and received by Ady Pacheco, former OIC/ Passport section on the 22nd August 2005.
- 46.10 According to the report, during a search on 19th September 2005 at the Immigration Office in Belmopan, Police found:
- i. A box of 90 Blank manual Passports from different series that had been issued to Foreign Embassies or were not seen issued in the Register
 - ii. 38 fraudulent Passport application forms
 - iii. 10 Immigration Stamps (for processing Passports, visitor's extensions etc)
 - iv. 2 nationality certificates in duplicates 13704/01 and 13705/01.

- 46.11 We searched the Register of Citizens by Registration and found that nationality certificate number 13704/01 is for Guo Fu and Su Ting Chen while 13705/01 was blank, there was no name entered in the space for this number.
- 46.12 After the discovery of the box with Passports and other paraphernalia at the Belmopan Passport Office, an examination of the Control Jumbo Passport Register was done by a former Finance Officer, (name of officer not disclosed) and it was reported that 1,181 Jumbo Passports were unaccounted for in addition to other bulks, which were accounted for (several blank Passports found in the box).
- 46.13 According to the above referred letter to the Minister Honourable Ralph Fonseca, many more Passports were individually pilfered after being intentionally placed on “pending” status at the Belmopan and Belize City Offices for fraudulent purposes.
- 46.14 As stated in the former Director’s Report to the Minister, those stolen bulk Passports were not reported to the Commissioner of Police and there appeared to be a “deliberate cover-up by those involved and responsible for the care and custody of these blank Passports.”
- 46.15 The report listed the names of officers who issued and received those Passports as can be seen at **Appendix D.3**

No evidence was seen regarding whether the staff of the Passport section was alerted on stolen, unaccounted and fraudulently issued Passports

47. For the period investigated, we saw no evidence in the Department’s confidential files that efforts were made to alert staff in the Passport Section on past stolen Passports series. This would have assisted the staff as to what they should look out for when renewing Passports.
- 47.2 The Ordinary Passport Issue Register for period prior to 16th May 2003 could not be located and presented to Audit for inspection. We were only presented with the Ordinary Issue Register for the period 16th May 2003 to 4th September 2013.
- The same letter GEN/13/01/06(19) dated 10th November 2006 written by the then Director Zetina, summarized a Police examination of the Jumbo register. He stated that the Police also believed there were the same irregularities with the Ordinary Passport Issue Register. We did not see the Police report on the confidential file.
- 47.3 We found application forms for the renewal of Passports that had been stolen from the Ordinary bulk Passports which were presented for renewal during the period investigated. Those applications found are listed at **Appendix D.4**.

47.4 The Jumbo Passport issue Register (9th June, 1992 to 9th March, 2005), which we were able to get from the Finance Officer, revealed extremely irregular and chaotic issuance of bulk series Jumbo Passports. It appeared as though the confusion was deliberate in an effort to conceal series that were issued fraudulently that were not recorded. In addition, were those series that were recorded which were used for fraudulent purposes. (See **Appendix D.4**). The unaccounted series not seen issued and those found stolen and recorded as irregular issues are listed below at Table **R**.

Table R

The following is the list of stolen Ordinary and Jumbo Passports as well as unaccounted for Passports

Series	Date of issue recorded in the Passports Register	Number of Passports
A003501-A004000	Unaccounted for in Issue Register and Stolen	500
A004801-A005100	Unaccounted for in Issue Register	300
A006371-A006400	Unaccounted for in Issue Register	30
A005126-A005150	Unaccounted for in Issue Register	25
A005201-A005300	Unaccounted for in Issue Register	100
A006551-A006576	Unaccounted for in Issue Register	26
A006601-A006625	Unaccounted for in Issue Register	25
A008326-A008350	Unaccounted for in Issue Register	25
A006651-A006700	Unaccounted for in Issue Register	50
A007751-A007775	Unaccounted for in Issue Register	25
A009126-A009200	Unaccounted for in Issue Register	75
A007551-A007600	Accounted for but Stolen	50
A007601-A007602	Released by V Samuels, Assistant Director (14 th March, 1997. The series that was issued in January	2

Series	Date of issue recorded in the Passports Register	Number of Passports
	1997 was A0024 and not A0076 series. Series A0076 was not issued until October 1999.	
A005701-A005725	Issued to Ministry of Foreign Affairs, Geneva (This Foreign Mission did not issue Passports Re interview Audit Officers/Diana Locke on 5 th June, 2014.	25
A006365-A006370	“Give to Mr. Murillo to be used as Specimen” was noted in Register on 11 th October, 2002	6 (These were not found among the cancelled Passports)
A006801to A011200	Issued without the Issuing Officer and the Recipient “Ady”, “A”, “M” were recorded in pencil where signature of recipient belonged 19 th February, 2003 to 23 rd May, 2003 was the period for which no signature was seen as issuing or receiving Passports.	2,449

Series	Date of issue recorded in the Passports Register	Number of Passports
A0005601-A0005700	Issued to M/ F Affairs (embassy not listed) on 9-March-2005/ unknown signature as recipient. This signature was thought to be Miss Locke from the Ministry of Foreign Affairs, however during the 4 th June, 2014 interview with her she informed that it was not hers and she cannot identify whose it was from Foreign Affairs. She also said that many of the missions received manual Passports even after the implementation of machine readable Passports in 2005.	100
0290601-0291100 (Ordinary Passport Register Re: GEN/13/01/06(19) dated 10 th November 2006 on Closed Confidential File)	0290601-0291100 issued 30-Sept-2004 intended for 5 Embassies, that is, London, Hong Kong, Mexico, New York and Washington.	500

We saw no issuances recorded in the Jumbo register after the period 23rd May, 2003.

Interview with Administrative Officer Sylvia Usher held on 9th December, 2014

48. We conducted an interview with Administrative Officer, Sylvia Usher who was the then Finance Officer at Immigration in 1999 and was the caretaker for blank Passports.

48.2 Miss Usher was asked what she recalled about the unaccounted issue of series A003501 to A004000 of which A003501 to A003525 was marked out and initialed by her. Her response was that it could have been the amount eaten by termites. **Ms Usher stated that while she was at the Plaza Theater where the Immigration Office was located, there was a termite infestation, and some Passports were eaten by termites.** Ms Usher also disclosed that Mr. Paulino Castellanos (former Director) was also questioned about those Passports of which he recalled. Miss Usher informed us that she was

transferred to the Ministry of Human Development and later found out that those Passports were reissued.

Miss Usher handed over to Miss Sharole Saldivar and indicated that she was questioned about it by Police Officer Chester Williams and she gave him the information.

48.3 Miss Usher was asked, why a note was not placed in the register that the series were eaten by termites and if she as the FO was not the person responsible for the register. Ms Usher responded “yes”, and declared that in hindsight that it should have been done but knew she was taking care of the stock until it was handed over to Miss Sharole Saldivar.

48.4 We asked her if A003501 to A004000 were the same series eaten by termites and she responded: “that I do not remember”.

48.5 Ms Usher promised to search and provide us evidence in regard to the series that were eaten by termites.

48.6 Ms Usher was also asked why there were numerous instances of haphazard issuances in the register and she responded: “after I returned from holiday, the series may have been issued already so there was nothing I could do after they were issued. The clerks did not check what they were issuing”.

48.7 On 18th December, 2014 the current Finance Officer (FO) of the Immigration and Nationality Department was asked if there were any manual Passports in stock at the Department to which she responded: “no. I did not come across any manual Passports”. We asked her if any Passports were handed over to her by the previous FO, Miss Teresita Castellanos and she replied: “no manual Passports were handed over to me by the previous FO”.

Interview held with Immigration officer Ady Pacheco on 16th December 2014

48.8 In an interview held with Miss Ady Pacheco on 16th December 2014, the jumbo register was shown to her to confirm her signature and receipt of jumbo passports. The register had her first name “Ady” and initial A were seen in pencil in said register. Ms Pacheco responded:

“Yes I was the head of the Passport section during that time, but I would have signed for these Passports if I had received them. You can check a logbook that is stored in the Passport office that actually has the issuances recorded to individuals and you can see if they were received by Passport office. I would have signed my name if I had received those Passports.”

48.9 There were also irregular entries from a previously unaccounted stolen series of Passports seen in the Ordinary Register in 2007. Several of those Passports were issued to

individuals who already had previous stolen/unaccounted Passports and to new persons (**Appendix D.4**) who had fraudulent nationality certificates. We saw on such Passports that were among the Immigration and Nationality cancelled batch. . The entries appeared to have been made in an effort to cover the fraudulent issue of previous Passports in order to make them seem legitimate

48.10 Several of those stolen/ unaccounted/ irregular and fraudulently issued Passports were renewed between the period April 2011- September 2013 from both Jumbo and Ordinary Passports. **Appendix D.4** also lists such Passports that were renewed.

48.11 Other Passports also suspected as being stolen or fraudulently issued (**Appendix D**) were found among the cancelled batch of Passport. Those may have been renewed for legitimate machine-readable Passports as found to be the case with four Passports listed below:

Passport A003991 issued in the name of David So (alleged human trafficker) (paragraph **24**)

Passport A006285 and 0257999 issued to Xiaoli Tang Ke which were replaced by P0019048 in 2005 and P0143837 issued 2010 (see **Appendix D**).

Passport A003942 issued in the name of Yan Zhu Zhao which was replaced by P0078731 in 2008 and P0188501 in 2011. (see **Appendix D.4**)

As stated before, there may have been more renewals of those Passports, however further investigation is required to ascertain this was the case.

Verification of Birth Certificates at Vital Statistics Unit Belize City

49. We did verification of some birth certificates at the Vital Statistics Unit (VSU) in Belize City using a sample of birth certificates seen for applications reviewed for the period under investigation April 2011 to September 2013. This exercise was limited to verifying the information of the birth record at the Vital Statistics Unit since we could not verify that the birth records of applicants were genuinely theirs.

49.2 We were aware that some applicants may have fraudulently obtained genuine birth records and may have presented it to apply for a Belizean Passport as in the case with “Wilhelm Dyck” as outlined below. We found that several applicants’ birth records were not found in the Register or in the Database at the Vital Statistics Unit as can be seen at **Table S** below.

Table S

The following is the list of Birth records that were not found in any Register of Births or in the database maintained at the Vital Statistics Unit

Name	Sex	Date of Birth	Place of Birth	Entry number	Father's name	Mother's name	Remarks
Paul Ku	Male	19 th June 1986	Belize City Hospital, Belize District	921 date of registration 3 rd June 1987	----- -	Lain Ku (born in China)	The birth certificate entry #921 was for one Nelson Charles Leslie.
Linden Tarol Logan	Female	12 th February 2012	Southern Regional Hospital, Dangriga	592 date of registration 24 th July 2012	Andrew Alvin Logan	Elisa Lynn Garcia	Not found
Osmane Fitzgerald Francisco	Male	29 th October 2009	Belize Health Care Services, Belize City	2066 date of registration 4 th November 2009	Osmane Fitzgerald Francisco Sr.	Marianne Elaine Ramclam	Not found
Wilfred Antonio Limas	Male	18 th July 2012	Southern Regional Hospital, Dangriga	628 date of registration 6 th August 2012	Wilmer Enrique Limas	Guadalupe Del Carmen Delgado	Not found
Sindy Estela Sanchez	Female	Not on copy of birth certificate	Orange Walk	Not on copy of birth certificate	Santos Sanchez	Lucia Sanchez nee Moralez	Not found
Diana Alexandra Mejia	Female	11 th August 2002	Karl Heusner Memoria	1381 date of registration		Leydi Vanessa Mejia	Name in database Carwyn

Name	Sex	Date of Birth	Place of Birth	Entry number	Father's name	Mother's name	Remarks
			l Hospital, Belize City	ion 21 st August 2002			Maksim
Miriam Lorena Peraza	Female	26 th November 1996	Belmopan Hospital, Belmopan Cayo District	1652	Not Recorded	Not Recorded	Register still in Belmopan
Mark Anthony Mossiah Book was said to still be in Corozal	Male	14 th August 1962	Corozal District	379	Not Recorded	Not Recorded	We could not ascertain how and why this register from 1962 was still in Corozal
Deon Ruben Jimenez	Male	15 th January 1993	Belize City Hospital	316	Not Recorded	Not Recorded	Not found in register for 1993
Amara Maia Dooley	Female	4 th March 2007	La Loma Luz Hospital, Santa Elena, Cayo	57 date of registration 31 st December 2007 (record seen in database 31 st December 2008	Not Recorded	Not Recorded	Not found

Name	Sex	Date of Birth	Place of Birth	Entry number	Father's name	Mother's name	Remarks
Ermita Yacab	Female	15 th September 1945	Cayo	1499 Date of registration 17th September 1945 (1199) in database /date of registration 15th September 1945	Domingo Yacab	Andrea Gonzalez	Not found

There were applicants who received Passports with Fraudulent Belizean Birth Certificates

50. Prior to the Audit investigation there were reports in the media (The Guardian and Amandala Newspapers of 12th September 2012 and 14th September 2012 respectively) of individuals who had fraudulently acquired birth certificates using genuine birth records of Belizeans to receive Belizean Passports.

50.1 One such case was Rafic Labboun who received Passport number P0214722 dated 30th August 2012 in the name of Wilhelm Dyck (deceased). (The Guardian and Amandala Newspapers)

- i) Rafic Labboun, who allegedly was affiliated with the Hezbollah terrorist organization, fraudulently acquired the genuine birth record of Wilhelm Dyck (deceased) and was issued P0214722 on 30-Aug-2012 at the Belize City Passport Office.

50.2 The application submitted 29-August-2012, was not seen among those submitted to Audit for examination; however, the Belize Passport Issuance System (BPIS) revealed that Wilhelm Dyck was recommended by Juliana Arana, Justice of the Peace (JP), Belize City.

The BPIS also revealed that the status of this Passport was at “QA passed,” implying that it had not been delivered to the applicant, which is misleading since many other Passports which had already been received by the applicants were still at “QA passed” in the BPIS.

50.3 We did not see any report or communication, with the Commissioner of Police or Interpol, by the Director of Immigration and Nationality Department to show that efforts were being made to revoke and retrieve the fraudulently gained Passport. We did not see any evidence of an effort to prosecute the applicant and those who facilitated the production and delivery of the birth certificate to the purported Hezbollah terrorist. We also did not see any communication in an effort to decommission the JP, Juliana Arana, since she recommended an applicant she did not know.

50.4 This individual may have fallen into one of the categories of persons listed under section 10 subsection 3b and c of the Immigration and Nationality Act Chapter 161 which states:

Subsection 3b

“been convicted by a competent court in any country of a criminal offence for which he was sentenced to death or has been detained under a sentence of imprisonment of twelve months or more imposed on him on his conviction of a criminal offence by such a court, and in either case, has not received a free pardon in respect of the offence; or

Subsection 3c

“been engaged in activities, whether within or outside of Belize, which, are prejudicial to the safety of Belize or to the maintenance of law and public order.”

ii) Paul Ku- Passport P0169966

50.5 Paul Ku, (whose application was not presented to Audit among the other applications), was accompanied by a female naturalized Belizean, Rina Chiu Hsiang Lo of 2 ½ Miles Northern Highway (who is also a Justice of the Peace) to the Passport Office, Belize City on May 11, 2011.

The applicant submitted his application along with a Belizean birth certificate in the name Paul Ku (date of birth 19th June 1986) and proceeded to have his biometrics captured. The officers noticed that he was unable to understand and speak English so he was interrogated by the Officer in Charge (OIC) Passport Section, Ms. Therese Chavarria who decided to put the application on hold until an investigation was completed at the school he alleged he had attended.

50.6 JP Rina Chiu Hsiang Lo visited the home of the former OIC, Miss Therese Chavarria, twice, the first time along with her daughter and the second time along with her husband, Sam Kam Iun Lo who tried to convince her that the birth certificate was genuine and

gave her Five Hundred Dollars (\$500.00) during the last visit to facilitate the processing of the Passport. Before proceeding on vacation leave the OIC instructed George Gladden who was holding over not to process the application and even took the Passport application home to avoid its processing. After her resumption to duty she found out that the application was approved for processing on the instruction of former Director of Immigration and Nationality, Miss Ruth Meighan. Miss Chavarria was told by the Director that she was instructed by Former Minister Carlos Perdomo to process the Passport.

Interview with former Director of Immigration Ruth Meighan on 8th December 2014

50.7 In an interview held on the 8th December, 2014 with former Director of Immigration, Ruth Meighan she was told the facts of the Passport application with Paul Ku and was asked what she recalled about the event in 2011 and her response was:

“I don’t remember much, but I know Miss Chavarria had done a report. I think Miss Chavarria held back information from us. She did not say she investigated and found out that the birth certificate was fraudulent. She did not share information with us.

When she came back from her vacation, she made her concern known, but we did not know anything about it. I did not even know if there was a problem with the birth certificate. That is how it went.”

We asked her what was the nature of her relationship with Paul Ku and her response to us was:

“I do not know him.”

50.8 We further asked her what was the nature of her relationship with Rina Chiu Hsiang Lo and she responded:

“She used to come to the Immigration officers for the usual immigration matters.”

50.9 We asked her if Rina Chiu Hsiang Lo had gone to the Immigration office on behalf of herself or others to which she responded:

“She would come on behalf of others.”

50.10 We went on to ask her if Rina Chiu Hsiang Lo had gone to her personally and she responded:

“No. I did not have a lot of interaction with her, but she was always there to see immigration officers.”

50.11 When asked if she recalled giving any instructions regarding the processing of the Passport for Paul Ku, she said:

“I don’t remember giving any instructions. I don’t usually interfere with the process at all. I don’t have much interaction with the processing of Passports. I only dealt with emergency cases, not the regular processing of Passports.”

50.12 We asked her if she had received any instructions from former Minister Carlos Perdomo regarding the processing of Passport for Paul Ku and she said:

“I do not remember. The application process for Paul Ku took place in Belize City. Mr Perdomo would have been in Belmopan. I do not recall getting any instructions from the Minister for this particular case.”

50.13 Her response as to what she did to satisfy herself that the applicant’s birth certificate was genuine and he had qualified for a Belizean Passport was

“After we receive the report, we would have passed it on to the investigation section. I don’t recall what happened after that and if it came to any conclusion. It is a long time.”

50.14 When asked whose responsibility it was to have ensured that an investigation was done and the Passport revoked when the report was made, she responded:

“It should have been the Director to instruct the Investigation unit. The Passport would have been revoked based on the report from the investigation. I do not recall if any further action was taken. Honestly, I remember the incident but whether or not we found out that the birth certificate was fraudulent I do not recall. If we did I would have passed on that information to the investigation unit and then we would act on their report.”

Interview with former Minister of Immigration Carlos Perdomo on 8th December 2014

50.15 We conducted an interview with former Minister of Immigration, Carlos Perdomo on the 8th December, 2014 in order to confirm whether he had instructed former Director Ruth Meighan to process Paul Ku’s Passport application.

50.16 As with Miss Ruth Meighan, Mr Perdomo was told the facts of the Passport application and we asked him what his relationship with Rina Chiu Hsiang Lo was and his response was:

“She is in Caribbean Shores and lives on the Northern Highway. She is a good friend of mine and I got to know her when I was getting involved in politics.”

50.17 He was further asked what were his instructions regarding the processing of the Passport for Paul Ku and he responded:

“I don’t recall. I do not think I directed anything in regard to Passport. My style is not to direct too much. I would send a note to Ruth Meighan asking her to kindly assist for nationality in regard to people who came to the Ministry for help with their Belize Nationality Act (BNA) numbers.”

50.18 We went on to ask him if he recalled anything in regard to the case of Paul Ku and he responded:

“I recall the doubting part in regard about birth certificates, but nothing specific. I think I recall that issue, but I do not know if it was approved or not approved. I don’t think I instructed anyone.”

50.19 As was verified by Audit and known at the time by the former OIC of the Passport Office, Miss Chavarria and shown at Table S above, there was no record for Paul Ku at the Vital Statistics Unit and the birth certificate entry number 921 was for one, Nelson Charles Leslie. The Passport issued to Paul Ku P0169966 was at “Revoked” status in the BPIS, which does not mean that the actual Passport was received, revoked and cancelled by the Passport section.

50.20 According to Miss Chavarria, she was given Belize Five Hundred Dollars (\$500.00) in bribe, to facilitate the Passport. She took the bribe money into the Office on 27th October, 2011 and gave it to Administrative Assistant, Wizad Sambula. Miss Chavarria further indicated that he (Wizad Sambula) said he would give it to the Finance Officer, Teresita Castellanos.

50.21 The five hundred dollars was not paid in to the Government of Belize until January 9, 2012 (via receipt number 639486 18017/12101/130/24) as “being payment for cancellation of Passport- Paul Ku” Ref PP1/1/01/12 (75) Vol. V dated 5th January, 2012. Audit observed that the address and phone number of Paul Ku and those of Ms. Rina Chiu Hsiang Lo on her September 16, 2013 Passport renewal application were similar.

50.22 We could not ascertain why the Five Hundred Dollars (\$500.00) was paid in as payment for cancellation of Passport when this was not the amount paid for the Passport P0169966 issued to Paul Ku.

50.23 We did not see any report or communication with the Commissioner of Police by the Director of Immigration to show that the matter was investigated and to show that efforts were being made to revoke and retrieve this Passport gained fraudulently.

50.24 We did not see any complaint by the former Director, Ms. Ruth Meighan to the Commissioner of Police requesting an investigation of Ms. Rina Chiu Hsiang Lo in her attempt to bribe an Immigration Officer with \$500.00 and there was no communication requesting the Police assistance in investigating and prosecuting the applicant and those who facilitated the production and delivery of the birth certificate to Paul Ku.

Recommendations:

The Director of Immigration and Nationality should ensure:

- i) That all Passports that were issued to applicants whose birth records could not be found at the Vital Statistics Unit (VSU) are retrieved, manually cancelled and revoked on the BPIS.
- ii) That she liaise with the OIC/ VSU to implement a system to identify and stop the fraudulent use of genuine birth records of Belizeans even before a Belizean Passport is processed.
- iii) That all cases of fraudulent birth certificates are submitted to the Commissioner of Police and verify all suspicious cases with the Vital Statistics Unit before Passports are processed and issued to applicants.
- iv) That Miss Rina Chiu Hsiang Lo be questioned to find out how her client received a fraudulent birth certificate.
- v) The former Director of Immigration and Nationality, Ms. Ruth Meighan, should be questioned by the Police regarding her role in the issuance of said Passport to Paul Ku.
- vi) The Minister at the time, Carlos Perdomo should also be questioned by the Police in respect of his role in the issuance of the Passport to Paul Ku.
- vii) That the Solicitor General revoke the commission of Ms. Juliana Arana and Ms. Rina Chiu Hsiang Lo as a Justices of the Peace
- viii) That the Auditor General is updated on the efforts of the Director Immigration and Nationality to retrieve and cancel all Passports known

to have been issued through the fraudulent use of genuine birth records or through fraudulent birth certificates.

Some applicants did not produce evidence that a bonafide/legal change had been made to their names other than by marriage

Criteria

Stages in the Belize Machine Readable Passport Application and Issuance Process Section 1 subsection 2 specifies as follows:

“Original documents must be submitted with a photocopy.....

These include – birth/nationality/marriage/divorce certificates. Deed polls, photo identification”

Subsection 6 specifies:

*“The counter clerk must **NOT** accept and/or sign as accepted any form that is incomplete or for which the requirements are not met”.*

Subsection 7 specifies:

*“The application must **NOT** proceed to any other stage if it is incomplete or lacking any of the requirements as specified on page 2 of the application form”.*

Schedule 1 Form 1 Belize Passport Application Form page 2 specifies:

“A change of name other than by marriage must be substantiated by the production of evidence showing that a bonafide/ legal change have been made for all purposes”.

51. Despite the requirements of the above mentioned internal policies, we observed for the period investigated, April 2011 to September 2013 that officers from the Passport section of the Immigration and Nationality Department neglected to obtain proof from some applicants who had changed their names.

After comparing biographic information of applicants in the BPIS, information on application forms and in the Register of Citizens by Registration with the names for 2011 and 2012 applications and from the photocopies of the applicants’ biographic pages attached to the relevant Passport applications for 2013, we noticed that there were differences with the names.

51.2 Those applicants should have presented proof in the form of certified deed polls from the Registrar General’s Department whose responsibility is to record Deed Polls. We noted

with grave concern that these applicants were allowed to apply and were granted Passports, even when it was clear that they did not have the required documentation in order for them to acquire their new Passports. This gross negligence on the part of the Department resulted in the violation of the above quoted internal policies.

51.3 Additionally, during the verification exercise done at the Vital Statistics Unit (VSU) it was found that several of the applicants who had requested and received a change of name in their Passports, although they had deed polls attached to or referenced in their application, did not have such deed poll record lodged at the VSU.

51.4 At **Table T** below is a list of applicants who were approved change of names on Passports and nationality documents without presenting a Deed Poll and the result of the verification exercise done at the VSU. More applicants who were allowed a change of name on their Passports or an amendment to their nationality certificates through records from the Vital Statistics Unit and other applicants without the legal documents can be seen at **Appendix E**.

Table T

The following is the list of applicants who were approved change of names without presenting a deed poll

Name of Applicant	Application Date	Deed Poll and Vital Statistic Office Verification
Freakin Yang previously Tianzhi Wang	25 th May, 2012	No deed poll was presented/ Deed poll 229/2012 seen in the Register at Vital Statistic Unit (VSU)
Hong Su/Su Hong (Nationality Certificate amended to Diana Su Sun)	20 th May, 2013	Director amended certificate to Diana Su Sun as per deed poll dated 29-March-2006; No deed poll was attached to application nor seen in the Register at VSU
Enis Anibal Ozkan previously Anns Osscan	14 th May, 2013	No deed poll presented; none seen in Register at VSU
Roman Hsu previously Jincheng Xu	11 th October, 2011	No deed poll presented; none seen in Register at VSU

Name of Applicant	Application Date	Deed Poll and Vital Statistic Office Verification
Cresencio Eduardo Arceo (Cresencio Estuardo Arceo (Register) Name in Guatemalan Passport 22578063K Cresencio Estuardo Ventura Quixchan	19-Dec-2011	No deed poll presented; none seen in Register at VSU
Barry Williams previously Boris Bessmertnyy; previously Boris Sokholov	02-Mar-2012	Deed poll number 66/12 dated 16-Feb-2012; In Register at VSU but no information seen as binder was not available
Guo Xin Den previously Tangkok San	04-Oct-2012	No deed poll presented; none seen in Register at VSU
Hoa Miao Li previously Jian Wang	05-Jun-2013	No deed poll presented; none seen in the Register at VSU

Barry Williams previously Boris Bessmertnyy formerly Boris Sokholov was alleged to be involved in money laundering

51.5 One of the reasons why applicants would be changing their names is to evade Law Enforcement authorities in their countries. The Government of Belize, through the Vital Statistics Unit, has been illegally facilitating these Citizens by Registration to change their names. Such was the case with Barry Williams, formerly Boris Bessmertnyy, formerly Boris Sokholov who was investigated for money laundering by the Financial Intelligence Unit (FIU) via a letter dated May 14, 2012 to the Director Immigration and Nationality. On receiving the request, the Director at the time, Ruth Meighan, instructed her Secretary to file the letter and no response or follow-up was seen.

51.6 In our interview with Miss Ruth Meighan on 8th December, 2014, she was asked about the letter sent to her from FIU on May 14th, 2012. We asked her to explain her failure to response to the letter (there was no response by her on the file) and what action she had taken as the Director in response to the FIU alert. Her response was: “I don’t remember that letter. I don’t remember any letters from FIU. It was not a regular thing for them to send over such instructions.”

51.7 We showed her a copy of the aforementioned letter with her directive to the secretary to file. She said:

“My signature and directive is there, but I do not remember the letter.”

51.8 Barry Williams' nationality certificate Number 10/1/98 dated 20th January, 1998 as stated on the application, was not recorded in the Register of Citizens by Registration. He also changed the name of his child from Danil Bessmertnyy to Danny Williams who got Nationality by descent although his father got it by Registration. The issuance of Nationality by descent, which we noted was issued to children of parents who got their Nationality through Registration, will be reported on in the Nationality Audit Report since we are awaiting an interpretation of that Section of the Nationality Act from the Solicitor General.

Other applicants who changed their Names

51.9 We also found that applicants may have changed their names so that their recent entry into the country by visas, (and the consequent staff entries), in the various Registers held by the Department, cannot be tracked. As we discovered was in the case with Freakin Yang and James Louis Wang who appeared not to have acquired their nationality genuinely under the names Tianzhi Wang and Xi Wang. More information will be provided on these applicants and others in our Nationality Audit Report.

51.10 In respect of applicants who did not provide the legal documents for change of name by reason of marriage and by reason of divorce, such examples can be seen at **Appendix F**.

Recommendation

The Director of Immigration and Nationality should ensure that:

The Registrar General is approached to have the Passport section liaise with the data base information of Deed Polls recorded at the Vital Statistics Unit.

Ady Pacheco was asked to explain why she issued Passport P0014865 to Richard Wu although the stated Nationality Certificate number was for another person

52. We saw that Miss Pacheco had been requested through a letter P/39/06 (71) dated 20th October 2006, by former Director, Jose Carmen Zetina, to explain a seemingly irregular issue of Passport P0014865 issued 1st August 2005 to Richard Wu. The corresponding nationality certificate number 16602/02 in the Register was in the name Li Hua Wu. The Passport application had gone missing as well.

52.2 We did a search of the BPIS and on the stock item report, we found that said Passport number P0014865 was received, activated and assigned on the 29th July 2005 by Ady Pacheco. On the 1st August 2005 the data capture was done by Alfonso Carlos Valdez Jr. and thereafter printed, print confirmed and QA override by Ady Pacheco.

52.3 On the 2nd February 2010, nearly five years later, this same Passport was spoiled by Sharon Neal Flowers. This action removed the history that Passport P0014865 was issued to Richard Wu and showed the status of his 2005 Passport application as denied. He was issued Passport P0123099 on 14th January 2010.

52.4 In an unreferenced letter dated 23rd October 2006, from Attorney-at-Law, Lionel Welch, he explained that Li Hua Wu had changed his name to Richard Wu.

52.5 Our investigation revealed that Richard Wu aka Li Hua Wu returned to Belize in January 2010 and was able to renew the fraudulently issued P0014865 with P0123099 on 14-Jan-2010.

52.6 This same Passport P0014865 was in the possession of the Canadian Embassy in Bangkok in August 2010 as per email dated August 30, 2010. If it had been received by Immigration for renewal on 14th January 2010 and thereafter spoiled on 2nd February 2010, it somehow found its way in the hands of the Canadian Embassy by August 2010 or prior to that date.

52.7 In his aforementioned letter GEN/13/01/06 (19) dated 10th November 2006, former Director Jose Carmen Zetina informed the then Minister Ralph Fonseca that, Richard Wu “is suspected to be the mastermind involved in the migrant trafficking of Chinese and facilitating them with fraudulent Belizean passports.” The letter also stated that on 13th October 2006 a letter was faxed from the Belize Embassy in Panama to the Director of Immigration informing that four persons suspected to be in possession of fraudulent Belizean Passports were detained at the Panama International Airport.

The passports were proven to be fraudulently issued by Belize Immigration and the men were allowed to proceed enroute to Belize in order for them to be arrested.

52.8 On 14th October 2006 three of the men arrived in Belize and were arrested and remanded for possession of fraudulent documents. They were in possession of fraudulently issued Belize Jumbo Passports as follows: Huimin Jiang, Passport A003501 issue date 26th July 2004; Dong Fang Quyang, Passport A003550 issue date 12th August 2004 and Wu Pan, Passport A003565 issue date 3rd May 2004.

52.9 The fourth person, Richard Wu aka Li Hua Wu arrived on 15th October 2006 and was in possession of fraudulently issued Passport 0014865 (the “P” was excluded from the report), issue date 1st August 2005. He was detained and subsequently released.

52.10 None of those Passports were seen among the cancelled Passports received by Audit from the Immigration Department.

Email of 30th August 2010 from Migration Integrity Officer to Ministry of Foreign Affairs

53. In the email dated 30th August 2010 to, Ministry of Foreign Affairs (Belize), Migration Integrity Officer, informed that:

The Canadian Embassy in Bangkok was in possession of P0014865 issued to Li Hua Wu. This was as per telephone conversation with the Ministry of Foreign Affairs on December 11, 2014.

53.2 In the Ministry's email response of 29th January 2010, it was revealed that when Li Hua Wu had come to Belize in January 2010, he submitted a Passport application claiming that P0014865 was lost.

53.3 It was noted in the email correspondence that the Migration Integrity Officer/ was asked to send Passport P0014865 to the Immigration and Nationality Department in Belmopan. The email was copied to ebwattache@aol.com (Lauren Quiroz-Nieto (Embassy of Belize in Washington attaché)), gareth.murillo@immigration.gov.bz and belizemfa@btl.net and also to maria.marin@immigration.gov.bz, Audrey.Wallace@opm.gov.bz, nestormendez@embassyofbelize.org, cherienisbet@embassyofbelize.org, protocolmfabz@yahoo.com, and allenwhylye@yahoo.com,

53.4 It was confirmed by the Ministry of Foreign Affairs that they had attempted to get the Passport sent to Belize but was told that it had to be requested by the Immigration Department. Although the Director and CEO National Security were aware of the request (see email addresses above) as far as the Ministry was aware the Passport was neither requested by the Immigration Department nor was it returned to Belize. There was no reason stated in the communication we saw for the confiscation of the Passport from Lihua Wu.

However, all Passports issued to Li Hua Wu aka Richad Wu were fraudulently issued since he did not qualify for Belizean Nationality as his Nationality file number 17289 revealed that his referees were false. (See Nationality Report Section III).

53.5 In the interview with Sharon Neal Flowers on 11th December 2014, she was asked why P0014865 was spoiled so long after it was issued, almost 5 years later and not "revoked" as should have been done. She was told that the holder had renewed his Passport and was issued P0123099 on 14th January 2010, which was some eleven months before P0014865 was revoked. She responded: "Ask Mr. Bol. I don't know why it would be spoiled. "Spoiled" would take place during processing not so long after. It should have been revoked and not spoiled, ask Mr. Bol".

53.6 In a telephone conversation on the 15th January, 2015, Mr. Bol was asked why P0014865 was spoiled almost 5 years after it was issued. He responded "I don't know what would

be the reason. They do not have to inform me every time they spoil a Passport and they know that. I do not know why it was spoilt”.

Passports issued to alleged Human Traffickers/People Smugglers who were able to obtain Belizean Nationality by Registration

54. The Department appeared to have been facilitating the illegal trafficking of persons, as internationally suspected human traffickers and persons travelling with blank Passports were the holders of Belizean nationality by Registration. Examples are as follows:

- 1) David So: Fraudulent Nationality Certificate 17688/ dated 14th April, 2005 and Passport P0077189 (most recently issued Passport with issue date 24th January, 2008) as reported on earlier in this report.
- 2) Hongyuan (Hong Yuan) She: Nationality Certificate number 11879/2000 dated 4th- March, 2000 signed by what appeared to be the signature of Honorable Jorge Espat. Audit was able to obtain a copy of an email dated 23rd August, 2010. The email stated that information received about another ethnic Chinese with Belize Citizenship who was believed to be involved in people smuggling. Attached to the copy of the email, was the copy of Belize Passport P0055894 issued 12th April, 2007 to Hongyuan She.

54.2 We searched the BPIS and found that he (Hongyuan She) was issued Passports P0038001 on 21st July 2006 (expiry date not shown on the BPIS), P0055894 issued 12th April 2007 and with expiry date 12th April 2012 (copy of Passport received from Foreign Affairs) and P0190104 issued 18th January 2012 (expiry date not shown on the BPIS). His application form was not presented.

- 3) Richard Wu formerly Li Hua Wu (Lihua Wu): Nationality Certificate number 16602 dated 31st May, 2002 and Passport number P0123099 issued 14th January, 2010. The Immigration and Nationality Department had been aware of who Richard Wu formerly Li Hua Wu (Lihua Wu) was. (Reported at paragraph 52 above)
- 4) Muhammad Zaghlool : Police Special Branch unreferenced letter dated 17th April 2014 addressed to Director Maria Marin reflected that Muhammad Zaghlool was refused “leave to land” in Mexico as he was identified as a major human smuggler of Pakistani, Indian and Nepalese nationals from Belize to Mexico, for onward movement to the United States.

54.3 We found that he was from Pakistan and had Belizean nationality certificate number 23919/10, dated 16th September, 2010 as indicated on the BPIS. A copy of the nationality certificate showed that it was signed by former Minister of Immigration and Nationality Carlos Perdomo but dated 10th August, 2010.

A search of the Register of Citizens by Registration and his nationality file number 25404/10 showed the date 10th August 2010. He holds Belizean Passport P0145636 issued 16th September, 2010.

Several applicants presented fraudulent Social Security Letters and Cards to obtain their Passports

55. We noted that several applicants presented letters from the Social Security Board instead of their social security cards. Of special mention are the applications for Nina Sha and Zhaoyi Sha who had letters purporting to be from the Social Security Board Office in Belize City that indicated they were valid for 1 week from date of issue.

The verification exercise at the Social Security Board also revealed that those letters and social security numbers were not authentic and the individual E. Wright whose signature appeared on the letters was not and has never been employed by the Board. (Paragraph **8.10** above)

- 55.2 We also found other cases of fraudulent Social Security card numbers and two individuals with the same Social Security Number. **Table U** below lists those individuals with fraudulent social security numbers and those who allowed and approved the processing of the Passport applications. Those Immigration officers including the ones who refused to sign on the Passport application forms, but whose roles were found in the BPIS may be knowledgeable of the origin of those fraudulent social security cards and letters and may know who forged the signatures of the applicants.

Table U

The following is the list of applicants with fraudulent Social Security numbers and cards which were used as identification in obtaining Passports

Name of individual and date of application	Officers who processed the Applications and Recommenders	Social Security Card/ Letter presented to Passport Office	Social Security Verification	Other Observations
Nina Sha 9-Nov-2012. See paragraph X above	Counter Clerk: Erwin Robinson. Data Entry: Romi Rosas. Approval without biometric check: Sharon Flowers. Printer: Eleud Romero. Quality	Letter from Social Security Board (SSB) dated 6-Nov-2012 referring to Social Security number (SS #) 000511386,	Social Security Board letter was fraudulent. The SSB has never employed any individual by the name of Enfield Wright. Social Security number	Applicant did not go into the Passport Office for Biometrics. See paragraph 8.13 . Fraudulent Nationality Certificate number 16677/02 dated 7 th

Name of individual and date of application	Officers who processed the Applications and Recommenders	Social Security Card/ Letter presented to Passport Office	Social Security Verification	Other Observations
	Assurance override: Omar Philips. Delivery: Eleud Romero and Justice of the Peace (JP) was Demecio Cal	signed by Enfield Wright.	000511386 is Registered to <i>Jinquan Chen</i> not Nina Sha	June 2002 was alleged to have been signed by Minister Maxwell Samuels and was replaced by Gareth Murillo on 6-Jan-2009. This certificate number 16677/02 is for Jamil Chinapen in the Register of Citizens by Registration
Zhaoyi Sha 22-Nov-2012. See paragraph 8 above.	Counter Clerk: Erwin Robinson. Data Entry: Romi Rosas. Approval without biometric check: Sharon Flowers. Printer: Eleud Romero Quality Assurance override: Omar Philips. Delivery: Erwin Robinson and JP was Emory Young	Letter from Social Security Board dated 26-Nov-2012 referring to Social Security number 000511435, signed by E. Wright	Social Security Board number was fraudulent. The SSB has never employed any individual by the name of Enfield Wright. Social Security number 000511435 is registered to Yanjun Cao not Zhaoyi Sha	Applicant did not go into the Passport Office for Biometrics. See paragraph 8.13. Fraudulent Nationality Certificate number 16677.1 was alleged to have been signed by Minister Maxwell Samuels and was replaced by Gareth Murillo on 6-Jan-2009. This certificate number
				16677/02 is for Jamil Chinapen in the Register of Citizens by Registration. There is no children added to the individual's certificate number

Name of individual and date of application	Officers who processed the Applications and Recommenders	Social Security Card/ Letter presented to Passport Office	Social Security Verification	Other Observations
James Wang	Counter Clerk: Romi Rosas/ Data Modified: Romi Rosas/ Data Entry: Erwin Robinson/ Counter Supervisor:--- Approved without biometric check: Sharon Flowers. Print: Eleud Romero. QA:Tiffany Taylor_/ Delivery:--- Recommenders: Marcia Olivera, Teacher and Emory Young JP	Social Security card number 00504669 issued 12-Oct-2012 with expiry date of 11-Oct-2022	Social Security card number 00504669 is for Massimiliano Parisii which was issued 19-Oct-2012 with expiry date 18-Oct-2022	Applicant appeared not to have gone into the Passport Office for Biometrics; Fraudulent Replacement Nationality Certificate number 2022/94 dated 13 th May 1994 was alleged to have been signed by Minister Philip Goldson and replaced by Gareth Murillo on 15-Oct-2009. This nationality number 2022/94 is for Elena Mentovis (under section 11A Economic Citizenship) and is for Jose Osmin Perez (under section 10 citizen by registration)
Bond Kung	Counter Clerk & Data Entry: Romi Rosas/ Counter Supervisor:-- Approval without biometric check: Sharon Flowers/ Printer: Eleud Romero/ QA:Tiffany Taylor/ Delivery:- --- Recommenders: Marcia Olivera,	Social Security card number 00504649 issued 12-Oct-2012 with expiry date 11-Oct-2022	SS card number was fraudulent and was the same Social Security number used to Steven Cheng as shown at the next entry. The number 00504649 as stated before is for Martha Julia Diaz issued 18-Oct-2012with	Applicant appeared not to have gone into the Passport Office for Biometrics. Fraudulent Replacement Nationality Certificate number 2131/92 dated 10 th March 1992 was alleged to have been signed by Minister Said Musa and

Name of individual and date of application	Officers who processed the Applications and Recommenders	Social Security Card/ Letter presented to Passport Office	Social Security Verification	Other Observations
	Teacher and Emory Young JP		expiry date 17-Oct-2022	replaced by Gareth Murillo on 12-Jan-2009. This certificate number 2131/92 is for Liao Huang Su-E (under Section 11A Economic citizenship) and for Gumerinda Sandoval (under section 10 citizens by registration) dated 8-April-19
Steven Cheng	Counter Clerk & Data Entry & QA Passed: Ervin Robinson/ Counter Supervisor: --/ Approved without biometric check: Sharon Flowers / Printer & Delivery: Omar Philips/ (Recommenders: Enrique Valdez, Teacher/ JP Emory Young)	Social Security card number 00504649 issued 12-Oct-2012 with expiry date of 11-Oct-2022	SS card number 00504649 was also used by Bond Kung above. As stated this number is for Martha Julia Diaz issued 18-Oct-2012 with expiry date of 17-Oct-2022	Applicant appeared not to have gone into the Passport Office for Biometrics. Fraudulent Replacement Nationality Certificate number 3012/95 dated 4 th July 1995 was alleged to have been signed by Minister Dean Barrow and replaced by Gareth Murillo on the 7-Oct-2009. There was no name in the space for this certificate number 3012.n (under section 11A economic citizenship) and is for Yeh, Hui- Yen (James Yeh) (under section 10 citizens by registration)

Name of individual and date of application	Officers who processed the Applications and Recommenders	Social Security Card/ Letter presented to Passport Office	Social Security Verification	Other Observations
Kevin Chu	Data Entry: Erwin Robinson/Counter Supervisor:---, Data Entry:Romi Rosas/ Approval without biometric check: Sharon Flowers/ Printer: Eleud Romero/QA Passed: Tiffany Taylor/ Delivery:- --- (Recommenders: Marcia Olivera and Emory Young JP	Social Security card number 000515157 issued 25-Feb-2013with expiry date of 24-Feb-2023	Social Security card number 000515157 was fraudulent. The number 000515157 is for Abraham Us issued 27-March-2013with expiry date of 2-Sept-2013	Applicant appeared not to have gone into the Passport Office for Biometrics. Fraudulent Replacement Nationality Certificate number 2216/94 dated 13-Sept-1994 was alleged to have been signed by Minister Philip Goldson replaced by Gareth Murillo on the 17-June-2009. This certificate number is for Hantley Chrisoqanis Prerio issued 27-April-1992 (Section 11A Economic citizens) and Feng Tien-I 2216/92 issued 27-April-1992 (Section 10 citizens by registration)
Demi Ho	Counter Clerk, Data Entry & QA Passed: Erwin Robinson/Counter Supervisor:---, Approval without biometric check: Sharon Flowers/ Printer & Delivery: Omar Philips (Recommenders: Enrique Valdez, Teacher and Emory Young JP	Social Security card number 000515010 issued 2-March-2013 with no expiry date---	Social Security card number 000515010 was fraudulent. The number 000515010 is for Dean Ernest Banner issued 8-Feb-2013with expiry date of 7-Feb-2023	Applicant did not go in to Passport Office for Biometrics; Fraudulent Replacement Nationality Certificate number 2362/92 dated 16-June-1992 was alleged to have been signed by Said Musa and replaced by Gareth Murillo on the 23-Sept-2009. This certificate

Name of individual and date of application	Officers who processed the Applications and Recommenders	Social Security Card/ Letter presented to Passport Office	Social Security Verification	Other Observations
				number 2362/92 was for Eddna Iliana Aldana Guerra dated 7-Oct-1991 (Section 10) and Lina Abdalla Al Atrash dated 26-June-1992 (Section 11(citizen through marriage))

Recommendations

The Director of Immigration and Nationality should ensure that:

- i) The Commissioner of Police investigate those cases of fraud and the link between these fraudulent Social Security numbers used and the actual persons who they are registered to at the Social Security Board.
- ii) Fraudulent Passports are Passport retrieved, revoked and cancelled.
- iii) There is some networking between the Passport Section and the Social Security Board to ensure authenticity of social security numbers submitted before Passport applications are processed.
- iv) An officer other than those from the nationality section verify that names on replacement certificates are those recorded in the Register of Citizens by Registration
- v) An independent investigation is launched into the actions of teachers Enrique Valdez, Marcia Olivera and other teachers who signed for individuals who they did not know. Once the investigation reveals culpability these teachers should be dismissed by the managing authority of their school.

Instances of copies of irregular nationality replacement and irregular original certificates attached to Passport Application

56. We found numerous instances where first-time applicants who claimed to be Citizens by Registration did not have copies of their original certificates attached to their applications. We saw instead, copies of "Replacement Certificates" that had the name of the Minister who had allegedly signed the original certificate printed at the front and a

statement at the back signed and dated by a Director of Immigration and Nationality certifying that it was a “true replacement of Nationality Certificate Number...”

56.2 It was unusual that the majority of applicants with replacement nationality certificates were applying for the first time for Passports when their certificates reflected that they had allegedly received nationality 10, 20 or 30 years prior to the date of application. Those first-time applicants also included individuals who claimed to have received Nationality through the Belize Economic Citizenship Program (BECIP) which ended on 15th January, 2002 and Citizenship By Investment (as labeled in the Register) for which the first number “1” was issued 6th June 1986 and the last number “3063”, was issued in November 1994 (no date).

56.3 The majority of replacement certificates appeared to have been replaced by a former Director Immigration and Nationality, Mr. Gareth Murillo, as ascertained by his initials/ signature at the back of the certificate copies. We found it very unusual that the majority of those replacement certificates were presented during the period 2011-2012 (many applications were missing for 2013) at a time when he was not the Director of Immigration and Nationality. The person who signed what appeared as Mr. Murillo’s signature on those replacement certificates had a liaison in Dalian, China (re Nina and Zhaoyi Sha at paragraph X above). We saw Ministry of Foreign Affairs internal emails dated 26th March, 2013 and 13th April, 2013 which disclosed that this agent was facilitating the issue of fraudulent nationality certificates and consequently genuine Belizean Passports to individuals.

56.4 In the majority of cases, the names on the replacement certificates were not seen in the Register of Citizens by Registration under sections 10, (citizens by registration) 11 (citizens through marriage) and 11A (citizens by economic investment). In some instances entire pages were seen blank while other instances showed only some entries on the page as blank. It appeared as though those certificate numbers were conveniently reserved, for the issue of fraudulent replacement nationality certificates. (Appendix **G.2**)

56.5 Additionally, we found an entire series of nationality certificate numbers that were not recorded in the Register of Citizens by Registration under section 11A. From certificate 2669 the numbering jumped to 2770, when it should have been 2670 between March and May of 1993.

Another case of hidden numbers was later discovered by Immigration personnel and rewritten when the numbering had jumped from 6099-7000 between January and October of 1996. This evidently fraudulent practice of reserving nationality numbers continued throughout the Register and even in to 2013.

Interview with former Director of Immigration and Nationality – Gareth Murillo – 27th August 2014

56.6 The former Director of Immigration and Nationality, Gareth Murillo were shown copies of those fraudulent nationality certificates and asked if the signatures were his. He denied signing those certificates and said:

“I still have some friends over at the Immigration Department who may be doing this”.

56.7 We brought to his attention that for many of those certificates, there were no entries for the certificate holders in the Register of Citizens by Registration. We also asked whether he had observed the missing entries in said register while he was the Director. He responded: “I did not observe missing entries in the register.”

56.8 He was asked whether as Director he would examine the register from time to time. His response, “Yes I had to use the register to certify replacement nationality certificates”. He was then told that there were many missing entries in the register from the early 1980s. According to him he did not observe any missing entries.

56.9 Mr. Murillo was also asked whether his duties as the Director had included activating, assigning and approving Passports in 2010. He said:

“No, in 2010, my duties were to oversee the department. To activate, assign and approve passports was the job of the head of Passport section”. After he was shown the stock item report for Bingquan Huang, he said “I would assist the Passport section if the head of the section was absent”.

56.10 A sample of those applicants for whom no nationality certificate number and file number entries etc were seen in the Register can be seen at **Appendix G**.

56.11 Several of those applications also had the numbers of stolen or unaccounted for Belizean Jumbo Passports recorded on the applications or copies attached, as if they were renewing manual Passports issued in the past. We also found two of those stolen Passports that had been returned by the Police to former Director, Gareth Murillo, in 2007 but were later issued and back-dated to Chinese individuals. Those stolen and unaccounted Passports and the relationship to 2011-2013 Passport applications have already been reported earlier in this Report.

56.12 The majority of those applications, which also had copies of Chinese Passports attached, had no signatures of the owner and many were recently issued (2009, 2010 and 2011). It appeared as though those Chinese Passports were also fraudulent and we found evidence in the Visa applications files that those Passports were being illegally produced (**Appendix H**). Many of those applicants also changed their names at the Vital Statistics Unit (VSU) in Belize and some were facilitated by the Passport Office staff without presenting Deed Polls from VSU. Assistance from the Chinese government could

confirm the forgery of these Chinese Passports, where they were being produced and whether they were produced in the names of genuine Chinese.

56.13 We could not determine whether all those Passports that were printed with those replacement nationality certificates had gone to actual persons as named in the Chinese Passports or whether a person or persons had picked up blank Passports using these applications to send abroad for fraudulent Passport production.

However, we were able to connect a Passport application record, which existed on the BPIS to a Passport book that had no biographic information and was among Passports presented for destruction to Audit as a canceled Passport.

56.14 This Passport, along with others that were presented as cancelled, was not found on the misprinted or spoiled lists that were presented to Audit. The status of this Passport was at “QA Passed” on the BPIS and no Passport was seen as issued to the applicant during the period April 2011-September 2013. The evidence of this finding will be detailed further in this Report.

56.15 We noted that almost all of the Replacement Certificate application forms had processing irregularities. For example, the applicants did not sign the application forms and/or some or all of the employees from the Passport section did not sign on the application forms after each process were completed.

In addition, applicants did not pay the required fee for expedite services, and if officers signed on the application forms the same officers performed more than one role (including BPIS roles) on the application form. The applicants did not go into the Passport Office to capture their biometrics, and as a result their electronic signatures appeared to be forged on the BPIS and as well as on the application forms.

Passport application form submitted by Bingquan Huang

57. Of special note was applicant, Bingquan Huang who submitted a Passport application form on 14th November, 2012 as if it was his first Passport he was applying for.

Section 3 “Citizens of Belize by naturalization are to complete this section” and Section 4 “Declaration” of the Passport form was not filled in, nor did the applicant indicate on the application form that he was renewing his Passport, neither did he sign said application. Applicant had attached to his application form a copy of an irregular Chinese Passport G32826007 issued 9th January, 2009; expiry date 8th January, 2019. His photo was of a very young person although his date of birth was 21st April 1969. The copy of his Passport was similar to other copies of Chinese Passports that we observed as irregular.

57.2 He also had attached a copy of fraudulent replacement nationality certificate number 21/2/2000 dated 22nd June, 2000, which was not seen in the Register of Citizens by

Registration. The applicant appeared to have not gone into the Passport office to have his photograph taken and the picture in the system appeared as a very faded picture of a picture. The Passport was expedited without the required expedited fee of one hundred (\$100.00) paid and someone signed for Passport P0220245 (BPIS issue date 16th November, 2012) without recording the date and writing the name of the recipient. The BPIS application at 'Application Type' had recorded: "New Passport Application" when it was not his first application for a Passport.

57.3 While creating the destruction list of cancelled Passports, we discovered two cancelled Passports in the name of the applicant Bingquan Huang, which confirmed that his previous application on 14th November, 2012 was not his first as it appeared to be. Those two Passports contained similar information and photo (pictures of a photo). We therefore searched the BPIS to verify the entries but none of the Passport numbers (P0143382 and P0143386 issued 25th August, 2010) were valid.

57.4 A search of the Stock Item Report revealed P0143382 was received and activated by Gareth Murillo on 18th August, 2010, printed by Elick Chan on 25th August, 2010 and then assigned and approved by Sharon Neal Flowers on 25th August, 2010. There was no other information. In respect of P0143386, it was received activated and assigned by Gareth Murillo on 18th August, 2010. It was printed and print confirmed by Elick Chan on 25th August, 2010 and later spoiled also by Elick Chan.

57.5 There was no "Denied" application in his Passport history as when a Passport was spoiled as seen with other applicants (Richard Wu, Roberto Wiyi Yang, Jose de la Cruz Jiron and others). His Passport history appeared to have been removed although in an interview with IT Manager, Rodolfo Bol Jr., on 25th June, 2014, he said that a spoiled Passport was not always reflected as a denied application in an applicant's Passport history in the BPIS. However, we observed otherwise.

57.6 A further search of the BPIS showed that there was also another Passport printed P0143371 for Bingquan Huang, which was also spoiled by Elick Chan. The pictures on both cancelled Passports P0143382 and P0143386 appeared as though the applicant did not go in to the Passport Office. All three Passports P0143382, P0143386 and P0143371 were received by Audit as cancelled and there was no indication that said Passports were used by Bingquan Huang.

57.7 The processing of Passports for Bingquan Huang was not completed as another Passport P0143396 was also printed on 25th August, 2010 by Elick Chan. This Passport was received, activated and assigned by Gareth Murillo on 18th August, 2010 which was an unusual activity for the Director to do. The Quality Assurance (QA) was passed by the Head of the Passport Section, Sharon Flowers on 25th August, 2010, which was also unusual since she was the Officer in Charge (OIC) of the Passport Section who would

normally approve Passports for printing and not print or QA passed. This Passport had the same picture of a picture as the other 2 seen cancelled.

He appeared to have not gone into the Passport office to have his biometrics taken. P0143396 was still seen at QA passed on the BPIS, although the applicant or someone else may have already received it. Bingquan Huang's last Passport P0220245 issued 16th November, 2012 was approved without a biometric check by the OIC at that time, Ms. Sharon Neal Flowers.

57.8 Several of the replacement certificates seen had the names of children listed as receiving Belizean nationality with their parents (see **Appendix G.2**) but when the Register of Citizen by Registration was examined there was no evidence that any children were included on the original certificate. In many cases, the names in the Register for the certificate numbers were not the names of the applicants. A nationality certificate number belongs to one individual only, except in the case where children are included on the same certificate and are given the same number followed by ".1" for the 1st child, ".2" for the 2nd child etcetera. This situation suggested fraud and should never happen, as confirmed in an interview with the OIC Nationality Section, Mr. Gordon Wade on Monday, April 7, 2014. In many cases throughout the Register, certificate numbers were duplicated with some of these numbers inserted in and we saw the blatant use of white-out to cover the name of the original recipients of the certificate numbers.

57.9 Examples of Passport applicants who had similar certificate numbers to others in the Register of Citizens by Registration can be seen at **Appendix G.1**.

Table V

The following is the list of applicants with replacement certificates which were found with discrepancies

Name of Applicant	Nationality Certificate Number	Date	Observations
Wei Pan	3919/94	19-May-1994	The nationality certificate number in the Register of Citizens by registration is 3919 issued 17-May-1993 to Hector Ortiz.

Name of Applicant	Nationality Certificate Number	Date	Observations
Shuyuan Liang	113/2/01	24-Nov-01	No entry in Register of Citizen by Registration for this certificate number
Ben Wang (formerly Ching-Hsuan Wang)	2182/92	02-Apr-92	Zhicai Wang with only 1 child recorded in column 6 for the number of children included in the certificate of the Register of Citizens by Registration. Nationality Certificate has two children listed: Wang, Shou and Wang, Ching-Hsuan
Nina Sha	16677/02	07-Jun-02	The original name in the Register of Citizens by Registration had white-out over it. (Please note that the name of Jamil Chinapen was found in BPIS as been issued nationality certificate number 16677/02)(His Passport number P0132667 was issued on 7 th May 2010.
Zhaoyi Sha	16677.1/02	07-Jun-02	The same observations as with Nina Sha his mother

Name of Applicant	Nationality Certificate Number	Date	Observations
Jianpo Xu	17330/03	06-Feb-03	No entry seen made in Register of Citizens by Registration
Anna Grishchenko	17153.1/11	16-Jul-02	No entry seen made in Register of Citizens by Registration
John Xu (Mujie Xu Chinese PP)	18840/05	27-Jun-05	Name in Register of Citizewn by Registration is Jianfu Li with certificate issued on the 31-Oct-2005. ApplicantJohn Xu had no file
Ashwinkumar Vrajlalbhai Dhanak	112/1/96	21-Oct-96	This series of Certificates was not in Register of Citizens by Registration
Limei Cui	4042/96	11-Jun-96	Name in the Register of Citizens by Registration is Pablo Albeno Lopez for this certificate number
Zhanhui Cui	12756/00	10-Nov-00	This certificate number in the Register of Citizens by Registration is for Xin Qiao Chen dated 22-August-2000

57.10 We also observed that many of the applicants who had those replacement certificates were being recommended by a small circle of Justices of the Peace in 2011-2012 and by a couple teachers in 2013, who facilitated the processing of the applications with

Replacement certificates attached. The individuals involved are shown under the caption immediately below.

Passport Recommenders involved in processing of irregular applications and fraudulent Nationality Certificates

58. The names of the Justices of the Peace, Teachers and Ministers of Government who recommended individuals with fraudulent and irregular nationality certificates and those who may not have appeared in person for a recommendation were:

Justices of the Peace

Ailing Li

Wilson Lee

Emory Young

Demecio Cal

Eleodoro Lewis

Florentino Baiza

Martin Cal

Teachers

Enrique Valdez

Maria Olivera (Sister-in-law of Romi Rosas, Immigration Officer),

Marcia Olivera Rosas (Wife of Romi Rosas, Immigration Officer)

Maggie Ruiz

Fausto Augustine

Elvin Penner (Minister of Government).

58.2 We noted that all those Passport applications were approved for printing by the former OIC Passport Section, Mrs. Sharon Neal Flowers.

58.3 Several of those replacement certificates have proven to be fraudulent as shown at **Appendix G.2**. We requested many of the files for those individuals to determine if they had legitimately acquired nationality but the files were not presented to us. Audit also found that those Replacement certificate numbers for which there were no records

in the Register were being issued repeatedly, but we were unable to complete and compile these findings.

58.4 The IT Officer from CITO assigned to our investigation team did not come to assist us regularly and eventually abandoned the team with pending assignments. He was sent to Taiwan for training without his office informing the Audit Team at a critical time when we were compiling findings in preparation for our final Passport Report.

Irregular copies of original Nationality Certificates

59. We also saw Passport applications with copies of original nationality certificates attached, that Audit linked to stolen or unaccounted Passports. Those certificates had signatures that appeared to be those of previous Ministers of Immigration.

There could have been many more issued but they were not attached to application forms since applicants who renewed their machine-readable Passport in 2011 and 2012 were not required to present their nationality certificate or have them attached to application forms.

59.2 We are aware of two applicants with said irregularities whose application forms were missing from those presented to Audit. They are listed below at **Table W** along with the other applicants whose application forms had copies of irregular original certificates.

Table W

The following is the list of applicants with copies of irregular original certificates attached to their application forms

Name of Applicant	Nationality Certificate Number	Date	Observations
Sali Ajlan	025/2.3/02	14-Jan-02	Missing application form/ Passport P0236697 issued 13-May-2013. Nationality certificate number not seen in the Register of Citizens by Registration.
Hamdi M Ajlan	024/02	14-Jan-02	Missing Application. Passport P0236696 issued 13-May-2013. Nationality certificate number not seen in the Register of Citizens by Registration

Name of Applicant	Nationality Certificate Number	Date	Observations
David Lee (previously George Li with deed poll; previously Song Xiao Li)	14382/01	23-July-2001	Song Xio Lee seen in the Register of Citizens by Registration. Certificate amended from Song Xiao Li to George Li by Horace Guzman on 27-Aug-2001. Applicant had 3 previous stolen/unaccounted Passport; A005235 issued 10-May-2007 in China; A009023 issued 27-Aug-2001 in Belize; 0239084 issued 24-July-2001 in Hong Kong
Kent Bruce Crane	243/87 (Certificate had no number)	23-Oct-1987	Applicant's name was seen in the Register of Citizens by Registration but had 2 previous unaccounted/ stolen Passports: 0290773 issue date unknown & A004954 issued 8-Feb-2007 at Embassy of Belize, Washington D.C. Embassy has confirmed that they issued the Passports.
Youssef Hani Nasser (1 of 4 children on mother's certificate Nabila Hani Yousef Mohammd Nasser)	2168/92	13-April-1992	Nabila Hani Yousef Mohammd Nasser seen in the Register of Citizens by Registration plus 4 children. He renewed unaccounted for Passport A004867 issued 20-July-2007 at Belize High Commission, London.
Eman Ajlan	025/2/02	14-Jan-02	She is the mother of the children listed immediately below. Was issued Passport 0247056 dated 18-March-2002 expired 17-March-2012 but unable to verify since Passport issue Register could not be found at Immigration and Nationality. This certificate number was not seen in the Register of Citizens by Registration.

Name of Applicant	Nationality Certificate Number	Date	Observations
Duna Ajlan	025/2.1/02	14-Jan-02	Stolen/ Unaccounted Passport A003773 issued 15-March-2007. Nationality certificate number not seen in the Register of Citizens by Registration.
Ali Ajlan	025/2.2/02	14-Jan-02	Stolen/ Unaccounted Passport A003774 issued 15-March-2007/ Certificate number not seen in the Register of Citizens by Registration.
Ahmad Ajlan	025/2.4/02	14-Jan-02	Stolen/ Unaccounted Passport A003769 issued 15-March-2007. Nationality certificate number not seen in the Register of Citizens by Registration
Dania Ajlan	025/2.5/02	14-Jan-02	Stolen/ Unaccounted Passport A003772 issued 15-March-2007. Nationality certificate number not seen in the Register of Citizens by Registration

59.3 We also saw original nationality certificates that had no certificate numbers on the top, right-hand corner as are required for all certificates. Also there were no dates, seal and the signatures of the ministers appeared to be irregular (we compared the signatures of the ministers on other copies of nationality certificates and Passport recommender forms). Those are listed below at **Table X**.

Table X

The following is the list of original certificates that did not have the required certificate numbers imprinted thereon

Name of Applicant	Nationality Certificate Number	Date	Observations
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Name of Applicant	Nationality Certificate Number	Date	Observations
Xiao Tuo Zhang	None printed on copy of Original Certificate attached	4-Jan-1990	Name was not clear on certificate; 1676/90 was on the application. Name in the Register was Mirta Boteo Morales cert. dated 2-March-1990(Section 10); In BECIP Register white-out was used on the original name. Applicant was renewing P0083590 issued 21-April-2008 (1 st issue)
Kent Bruce Crane	None printed on copy of Original Certificate attached	23-Oct-1987	Applicant wrote 243/87 on application and it was found in the Register/Previous Passports were linked to unaccounted Jumbo Passport A004954 issued 8 th February 2007 in Washington and stolen regular Passport 0290773
Bruce Liu formerly Ching-Hua Liu	None printed on copy of Original Certificate attached.	No date printed on certificate	Certificate #761 dated 6-March-1989 replaced by Jose Zetina on 1-August-2005/ Seen in the Register/ (check this year). His wife with children listed certificate 762 dated 6-March-1989 was replaced 24-June- 2008 by Gareth Murillo. No file was presented to Audit since requested on Feb-25-2014.
Jia Hui Huang	None printed on copy of Original Certificate attached	29-Dec-1992	Certificate 2607/92 dated 29-Dec-1992 was written on application; applicant had stolen Passport A006750 issue date 12-Aug-2003 issued at Trade Office Hong Kong but Register stated batch was issued to Belize City; Passport expiry date was extended from 11-Aug-2008 to April-10-2013 by Tell T Chi, Director, Trade Office of Belize , Passport was also stamped Consulate General of Belize , Los Angeles California (H.K)
Shih Tsai Huang	None printed on copy of Original Certificate attached	No date printed on certificate	Applicant appeared not to have gone into a Passport office to capture biometrics(picture appeared to be a picture of a picture)/ Passport P0180161 issued 14-Sept-2009 renewed before time (could have been spoilt or stolen)

Name of Applicant	Nationality Certificate Number	Date	Observations
Wen Hua Xiao Huang	No seal on copy of Original Certificate /Number was not visible	No date printed on certificate	Applicant did not appear in person to capture biometrics (picture appeared to be a picture of a picture). There was no entry in the Register under section 11A and under section 10 it belong to Santiago Putul Tox. The irregular certificate had 3 children listed on it as receiving nationality along with applicant. The copy of applicant's previous Jumbo Passport A008929 appeared irregular as the issuing country was stated as Hong Kong on biographic page, while page 3 of the Passport had a stamp which stated that it was issued in Los Angeles California)

Invalid Nationality Certificates attached to Passport applications

59.4 We noted that on the top of a nationality certificate was the notification:

This certificate, which is valid only if it bears the embossed stamp of the issuing authority, confirms that the person named below has been registered as a Citizen of Belize.

59.5 We found eight (8) instances where applicants had invalid nationality certificates attached to their Passport applications. The nationality certificates found were in some cases, signed by the Minister, but the Immigration and Nationality seal was missing or un-signed or undated. Said certificates were used to apply for Passports and the relevant Passports were issued on the same day of the applications by all eight applicants, of which six of them did not pay the required expedite fee of \$50.00.

59.6 It appeared that three of those applicants did not present the certificates to the Passport Section since no one accepted the forms nor recorded the nationality certificate numbers on page 2 of the forms as required. The Nationality files of Jinfan Chen and Jinchao Wu were requested and we observed that the nationality certificates in their files were dated 13-December 2011, while their application forms were dated 16th December, 2011 which meant that those certificates were backdated. As at the time of Jinfan Chen and Jinchao Wu Passport applications and issue of their Passports, the copies of their nationality certificates were not signed and dated by the Minister. Those invalid certificates are listed at **Table Y** below.

Table Y

The following is the list of invalid nationality certificates which did not have the Immigration and Nationality seal

Name of Applicant/ Passport Issued	Date of Application	Remarks	Address of applicant and Recommender
*Jinchao Wu P0188334	16-Dec-11	Copy of Nationality Certificate number 25920/11 /No signature of Minister/ No seal/ no date (The certificate in his Nationality File was dated 13-Sept-2011)	Forest Drive, Belmopan/ Recommended by Eleodoro Lewis
Yihong Luo P0188335	16-Dec-11	Copy of Nationality Certificate number 25919/11/ No signature of Minister/ No seal/ no date (The certificate in the File was dated 13-Sept-2011)	43 King Street, Belize City/ Recommended by Eleodoro Lewis
Jinyu Huang P0188336	16-Dec-2011	Copy of Nationality Certificate number 25918/11 was attached to application with the Minister's signature and dated 13-Dec-2011/ No Seal	Punta Gorda Town, Toledo/ Recommended by Eleodoro Lewis
Jinfan Chen P0188337	16-Dec-11	25918/11 written on application; attached was Copy of Nationality Certificate number 25922/11.No signature of Minister/no seal/ no date (The certificate in the applicant's file was dated 13-Sept-2011)	34 Hummingbird Highway, Belmopan/ Recommended by Eleodoro Lewis
Jieliang Peng P0188338	16-Dec-2011	Original Nationality Certificate number 25818/11 dated 7-Dec-2011 /No seal	6848 Mahogany Street, Belize City/ Recommended by Jahan Abadi
Wensi Wu P0188339	16-Dec-2011	Original Nationality Certificate number 25817/11 dated 7-Dec-2011/ with signature of Minister/ No seal	15 Freetown Road, Belize City, Recommended by Jahan Abadi

Dongsheng Zheng P0188340	16-Dec-2011	Original Nationality Certificate number 25901/11 dated 7-Dec-2011/ No seal	Main Street, Caye Caulker/ Recommended by Jahan Abadi
Congzhi Wu P0188341	16-Dec-2011	Original Certificate number 25819/11 dated 7-Dec-2011/ No seal	15 Freetown Road, Belize City/ Recommended by Jahan Abadi

59.7 All 8 Passport applications were accepted at the Passport Office in Belmopan on the same day 16th December, 2011 and the Passports were printed on said day but the recipients neither wrote the date of receipt on the form nor did all eight of them pay the expedite fee. They all received Passport numbers ranging from P0188334 through to P0188341.

Interview with former OIC of Passport Section Sharon Flowers on 25th June 2014

59.8 When she was asked about the Passports that were processed with invalid certificates Mrs. Sharon Flowers informed the Audit officers that the former Director, Miss Ruth Meighan would ask them by phone to start the processing of Passports without the certificates signed or completed as in the case of the eight individuals listed at **Table Y** above. The Director would call them to process Passports even before the individuals took their oath of allegiance. Ms Flowers also said that the Officer in Charge Nationality, Mr. Gordon Wade would bring a copy of the certificate without it being sealed or signed to her and she would approve the processing. Ms Flowers was reminded that there is a notation on the certificate which states that the certificate is not valid unless it bears the embossed stamp of the issuing authority. Ms Flowers said she was aware and that Miss Meighan was the Director so she followed her orders.

59.9 In the interview held on the 8th December, 2014 with former Director Ruth Meighan she was asked whether Miss Sharon Neal Flowers was authorized to start the processing of Passports without the nationality certificates signed by the Minister. Ms Meighan was also asked if she would call Ms Flowers to process Passports even before the individuals took their oath of allegiance. Ms Meighan responded: *“No way. I would never give such instruction if the application was incomplete. I would give instructions to approve urgent Passports by writing on the application forms. I would never give instructions by phone.”*

Jinchao Wu- Fraudulent nationality certificate number 25920/11 and stolen Passport P0188334

60. We noted that the applicant whose name is highlighted in **Table Y** above, Jinchao Wu, prior to his registration as a citizen, was associated with a stolen Belizean Passport A003519 issued 24th November, 2001, in which was written a false nationality certificate

number 10202/01 dated 22nd November, 2001 that belonged to Irma I Ramos which was issued 29th December, 2000.

60.2 A request dated 15th November, 2006 by the International Tourist Service Center of Hong Kong to the then Director, Jose Carmen Zetina, requested that the Director inform them whether Jinchao Wu's Passport A003519 was stolen. It was confirmed by a note on the letter by the Director to staff, however there was no written communication found that was made by the then Director Jose Carmen Zetina informing the Center that the Passport was indeed stolen.

60.3 This Passport was from the series of 500 Passports that were unaccounted for (A003501 – A004000). Jinchao Wu, whose date of birth is 12th December, 1980 now has a legitimate nationality certificate number 25920/11 (file 26648/11) and a legitimate Passport number P0188334 issued to him on 16th December, 2011, which is at "Delivered" status in the BPIS.

60.4 His nationality file number was 26648/11 with his date of birth 12th February, 1980. The stolen Passport A003519 reflected that Jinchao Wu's date of birth was 12th December, 1980. However, in the confidential file the Passport page with his photograph was not seen. His height of 5 foot 5 inches remained the same in both Passports. Other findings regarding the nationality and registration of Jinchao Wu as a citizen of Belize will be reported in our report on nationality.

60.5 **Appendix G.2** lists copies of irregular and fraudulent replacement nationality certificates and copies of irregular original nationality certificates that were attached to applications seen for April 2011 to September 2013. This list is not exhaustive as many applications were missing and were not presented to Audit.

Further, it was not a requirement that applications for 2011-2012 have copies of Nationality certificates attached; as a result, many did not. Also, Audit only did twenty percent of applications and not a complete examination of all applications presented for the period April 2011 to December 2012.

60.6 In view of all the irregularities and fraudulent activities that we found in relation to Passports and nationality certificates issued for which there may be no files at Immigration and Nationality, Audit is concerned about Cabinet's decision on missing files made January 14, 2014, that was sent to the Director, Miss Maria Marin by Minister Godwin Hulse via unreferenced letter dated January 14, 2014 which states:

Re: cabinet discussion today January 14, 2014 on issuance of passports to persons for whom Immigration department does not have a file.

"Cabinet has decided that persons who hold original nationality certificates and previous passports should be issued with a new passport."

A file should be created for such persons showing copies of previous passports, certified copy of the original nationality certificate authenticated by the director and a copy of a photograph should be attached to the copy of certificate also authenticated by the director

The state must honor the documentation issued by previous ministers and recognize previous passports issued by the department however obtained.”

In the case of persons who only have copies of their nationality certificate and not the original and no passports, then further investigation is required. Cabinet has not yet decided how these are to be handled.

The ministry is asked to present its recommendation to cabinet for consideration and approval on these.”

Regards

Godwin Hulse

Minister

60.7 Audit cautions that cabinet’s decision should be further developed with a view to examine the legitimate illegibility of all Nationality and Passport holders cited in this report. . The examination also revealed various individuals such as Justices of the Peace, Teachers, Immigration Officers and Ministers may have participated in illegal activities within the Immigration Department.

Recommendations:

The Director of Immigration and Nationality must ensure that:

- i) A thorough investigation is conducted into Nationality by Registration. ALL nationality certificates and Passport applications from 21st September 1981 to present, be examine to determine if the applicants and certificates were genuine. To sort out the deliberate confusion observed in the Register of Citizens by Registration.
- ii) The investigation includes the Belize Economic Citizenship Program and all other Nationality programs to find out who obtained Belizean nationality and Passports fraudulently. To determine if the country had received all revenues from these services and programs in its coffers
- iii) The Register of Citizens by Registration is monitored and supervised by a senior officer and updated as and when necessary.

- iv) The issuance of replacement certificates is reviewed since it presents an opportunity for fraud and the illegal issuance of Belizean Passports to unqualified individuals.
- v) The signature at the back of those replacement certificates are investigated to ascertain whether they were forged since Mr. Murillo indicated that those were not his signature.
- vi) Those applicants, most of whom did not obtain Belizean nationality genuinely, whether with or without their knowledge, should have their Passports revoked, retrieved and cancelled, including those who were using different names to get multiple Passports or blank Passports fraudulently with the assistance of a few Immigration personnel.
- vii) The Register is updated to include the names and details of those who are genuine holders of the nationality certificates.
- viii) The use of white-out in the Register must be discontinued with immediate effect
- ix) All hidden nationality numbers in the Register of Citizens by Registration are recorded along with the names of the individuals who got these certificate numbers.

Applicants presented Chinese and other native Passports which appeared not to be genuine

61. Many copies of Chinese Passports attached to applications had no signatures of the applicants, recent issue dates and what appeared to be a water blemish over the applicant's photographs obscuring the image. It is strange that all those Passports could have gotten wet. Visa files also showed those same Passports in addition to the actual photographs that also appeared wet.

61.2 The copies of the Chinese Passports also appeared to be the similar design of numerous other copies of Chinese Passports which appeared to have been recently produced. Those Passports were compared with similar Peoples Republic of China Passports images on the internet which had apparent security features (images when those ones did not). Those Passport copies also did not have the signatures of the bearers.

Suspicious Chinese Passports G58039971 and G21159033 issued to Xi Wang

62. We found two copies of Chinese Passports G58039971 issued 7th February, 2012 with expiry date of 6th February, 2022 and G21159033 issued 12th February, 2007 with expiry

date of 11th February, 2017. Those two Passports were issued to one individual, Xi Wang. The pictures in both Passports were identical, including his shirt.

62.2 The first Passport G58039971 was used to apply for his Belizean visa on 7th January, 2013 while Passport G21159033 was used to apply for his nationality on 3rd May, 2013 which he received on 4th June, 2013 via nationality certificate number 28428/13. He also used Chinese Passport G21159033 to apply for his Passport P0238890, which was issued on 12th June, 2013 under the name James Louis Wang (see nationality report for further findings).

62.3 Appendix H lists those native Passports which did not appear to be authentic.

Recommendation:

The Director of Immigration and Nationality should ensure the legitimacy of all those native Passports is verified with their respective Government.

53,120 Passports were issued for which the required application forms were not presented to Audit.

Criteria

Section 3(1) of the Passports Regulation 2013 states:

“An applicant shall submit his application for a passport to be issued-

- (a) in his own name, in the form set out as Form 1 in Schedule 1; or*
- (b) in respect of a child, in the form set out as Form 2 in Schedule 1,*

Sections 1 and 2 of the Improvements to the Belize Machine Readable Passport Application and Issuance Process states:

- 1. “Passport application forms must be submitted with two (2) recommenders at Section 5. One of these must be from Group A and the other from Group B as listed on page 2 of the form.*
- 2. Two (2) photographs (2”x2”) of the applicant each certified/authenticated on the back side by the same two recommenders as at Section 5 must be submitted along with the completed application form.*

63. We did not receive a total of 53,120 application forms for Passports issued by the Immigration and Nationality Department. This was revealed through verification of application forms against an excel spreadsheet (this extraction was done by Mr. Rodolfo Bol, Information Technology Manager of the Immigration and Nationality Department) of all Passports issued from the BMRPIS for the period April 2011 to 30th September 2013.

63.2 As soon as each Passport form was scrutinized, the Passport numbers issued to each applicant which was written on the application form (by the Printing Operator) were verified to, matched and highlighted on the excel spreadsheet. At the end of this process, we noted that a total of 53,120 Passports were not highlighted and therefore represented Passports issued for which no application form was presented to Audit.

63.3 The total amount of Passport application forms not presented is as follows:

April to December 2011 – 19,641

January to December 2012 – 21,642

January to September 2013 – 11,837

63.4 According to the former OIC Passport section, Therese Chavarria, applications would be missing as they are sent along with the Passports to the various Passport offices and foreign missions for the signatures of the recipients. Those application forms are not always returned to the Passport office in Belmopan.

63.5 Since we could not examine the applications for those Passport numbers, we could not confirm whether Passports applications were submitted and if so, whether they were authentic and whether those Passports were approved and issued in accordance with the Passport Regulations 2009 and 2013. However, whether or not applications were submitted for the issued Passports, the BMRPIS processing history and the applicants' information including photographs should be accessible on said system. **Appendix I** lists the Passport numbers and applicants names for which no applications were presented.

Recommendations:

The Director of Immigration and Nationality should ensure that:

- 1) Application forms are returned to the Belmopan Passport office for all Passports issued.
- 2) In the future all Passport application forms are retained and secured pending the audit of the Passport office.
- 3) Efforts are made to secure all missing application forms for Passports issued.

Some recommenders and applicants violated the instructions of schedule I page 1 and 2 of the Passport application form

Criteria

Page 2 of schedule 1 (for 2011 and 2012) and page 1 of schedule 1 (for 2013) of the Belize Passport Application form under the section “Warning to the applicant and the recommenders” states:

“It is a prosecutable offence (contrary to Sections 3(e) and (h), Chapter 164 Laws of Belize to make any false representation with respect to information requested by this form.”

Section 3.1 (e) Chapter 164 of the Laws of Belize states:

“Every person who in respect of any passport-

“By personation or false representation procures or obtains or attempts to procure or obtain the issue of the same to himself to any person.”

3 (h) stipulate:

“without having actual knowledge of the applicant for a passport, makes any declaration verifying the declaration of such applicant, or vouching the fitness of such applicant to receive a passport or makes any such declaration merely on information obtained from the applicant or from any other person, commits an offence against this act.”

Section 3.2 of the Laws of Belize Chapter 164 states:

“Every person who aids or abets any person in the commission of any offence against this Act, or harbours any person whom he knows or has reasonable ground for believing to have committed an offence against this Act, also commits an offence against this Act.”

Section 4 of the Laws of Belize Chapter 164 states:

“Every person who commits an offence against this Act shall be liable on summary conviction to imprisonment for any term not exceeding one year, or to a fine not exceeding five hundred dollars, or to both such fine and term of imprisonment.”

64. In spite of the requirements of the above quoted law, we found in many cases, recommenders stated that they knew applicants who were applying for the first time for a Passport although those applicants may not have gone into the Passport Office or entered the country to have their biometric data captured, or the applicant may have come in for a few days since their home address was a hotel in Belize. This could be supporting

evidence that those applicants may not have been known personally by the recommenders. Examples can be found at **Table Z** below and also at **Appendix J**.

Table Z

The following is the list of recommenders and applicants who possibly violated the law

NAME OF APPLICANT	APPLICATION DATE FILED	RECOMMENDER NAME AND COMMENTS
Ahmad Ajlan	13-March-2012	Recommender was Richard Croker/ Student Support Staff at UWE Bristol (not a legal Recommender as seen on page 2 under the caption Belize Passport instructions sub heading “authentication of application which states in the case of person applying aboard at a Belizean Embassy, High Commission, Consulate or Consular Agency, authentication must be by a registered Medical or Legal Practitioner, Notary Public or Registered Minister of Religion). Please note that there was no description for what UWE meant.
Yongge Dai	28-March-2012	Ailing Li JP of 105 New Road, Belize City recommended applicant who appeared to have not gone into a Passport office for biometric capture
John Xu	21-Nov-2012	Eleodoro Lewis JP also filled out form for the applicant and stated that he had known the applicant for 1 year. Applicant had a fraudulent certificate and appeared not to have gone into the Passport Office for biometric capture
Nina Sha	9-Nov-2012	Demecio Cal knew her for 2 years although applicant appeared not to have gone into the Passport Office for biometric capture (1 st issue) ; got Passport through agent from

NAME OF APPLICANT	APPLICATION DATE FILED	RECOMMENDER NAME AND COMMENTS
		Dalain China/fraudulent nationality certificate
Zhaoyi Sha	22-Nov-2012	Emory Young knew him for 5 years but applicant did not come in for biometric capture (1 st issue); got Passport through agent from Dalain China/fraudulent nationality certificate
Jianke Tan	26-April-2011	Wilson Lee wrote that he knew the applicant for 6 years. Applicant appeared not to have gone into the Passport Office. It was applicant's 1 st issue of a Passport and there was no entry in the Register of Citizens by Registration for said individual
Yi Tang Wu	12-April-2011	David Ho JP of 83 Freetown Road recommended him as known to him for 5 years. He renewed a stolen Passport 0290831 issued 8-Aug-2005
Lai Kai Wen	27-Dec-2012	Wilson Lee recommended applicant with previous stolen Passports 0290806 issued 24 th March 2005 in Hong Kong and A005294 issued 30 th July 2007 in Hong Kong stating that he knew the applicant for 10 years. Applicant also appeared not to have gone into the Passport office to capture biometrics
Paul Ku	11-May-2011	JP was Isaac Johnson of 82 Vernon Street, Belize city who stated that he had known the applicant for 3 years. Applicant had a fraudulent birth certificate and did not speak English. Reference paragraph 3 of

NAME OF APPLICANT	APPLICATION DATE FILED	RECOMMENDER NAME AND COMMENTS
		letter from Therese Chavarria dated 27 th October 2011 to the former Director of Immigration and Nationality, Miss Ruth Meighan. JP Rina Chiu Hsiang Lo attempted to pay a bribe to Miss Chavarria to process Paul Ku's Passport. Miss Chavarria's letter
Jianjun Chen	3-July-2012	Bing Koh JP (no address) stated that he knew the applicant for 5 years; applicant had a stolen Passport A005710 issued 13 th July 2004, a copy was not attached to his Passport application form in order for us to know the country it was issued from. He also had a fraudulent replacement nationality certificate number 115/2/01 dated 29/11/01 signed by former Director Gareth Murillo on 19 th February 2008. His Passport application form as well as the BPIS information had 1152/01 dated 29/11/01. A check of the Register of Citizens by Registration revealed that there is no such entry as 115/2/01, while 1152/01 (under section 10) is for Xuan Yin Zhang and is dated 4 th May 1987 and (under section 11A) it is for Zuhair Subhi Debs dated 19 th October 1989.
Johnson Chein	18-July-2011	Andy Lee JP recommended applicant who did not present a deed poll and changed his name. Verification of deed polls at the VSO revealed that no deed poll

NAME OF APPLICANT	APPLICATION DATE FILED	RECOMMENDER NAME AND COMMENTS
		was registered for change of name from Sheng Chien (Name in the Register of Citizens by Registration with nationality number 9355 dated 18 th March 1999) to Johnson Chien. On his Passport application form he stated his address as Biltmore Hotel. Recommender stated that he knew the Applicant for 10 years.

Honorable Elvin Penner took applications to Justices of the Peace for recommendation even though they did not know the applicants.

65. Two Justices of the Peace, Florentino Baiza and Mr. Demecio Cal confessed to us during separate interviews and visits that Hon Elvin Penner personally (and at times his Assistant) brought Passport applications for applicants who did not appear in person before them to facilitate the applications.

Interview with Florentino Baiza (JP) – 20th June 2014

65.2 Mr. Baiza said that he usually sat under a tent outside of the Immigration Office along with one Mr. Emory Young. He said that Emory Young was not a JP but he (Emory Young) would fill out the forms and assisted applicants with other documents and then he would then submit those documents for him; Mr. Baiza to sign as a JP.

65.3 When asked if he would be able to identify some of the people he recommended, he said that we did not have to show him the pictures because he signed for many people that he did not know or did not come to him in person.

We asked him if anyone from Immigration would bring applications to him and he said, “Yes”. Minister Penner brought applications for him to sign for people he did not know and he would sign them. We asked him if Mr. Penner had brought the persons to him that he was signing for and he said, “No”. Mr. Penner would just bring the applications and ask him to sign as recommender.

65.4 We asked Mr. Baiza why he signed those applications knowing the regulations that govern his commissioning as a JP. He responded saying, “*Because he is the Minister; He is the boss of Immigration*”. Mr Baiza also said that Mr. Penner gave him money,

more than once, and at one time he gave him \$50.00 for more than one application that Penner brought to him for his signature.

65.5 We asked him if Emory Young was a JP while they were working together and he said, “No” but that Emory Young told him that Minister Boots Martinez helped him to become a JP. He said that at one time he told his children that one of these days someone would visit him to ask about the things he used to sign. We reminded Mr. Baiza of the penalty for violating the Passport regulations and he said that he is too old to go to jail but he believed in “*the Father*” although he is not a church- man and he believed in telling the truth.

Interview with Demecio Cal (JP) – 23rd June 2014

66. Mr. Cal said that he was aware of the JP Regulations and that he cannot sign for someone he did not know. We told him that we noticed he signed for a lot of persons and enquired whether he knew all of them personally. He said that he too would station himself outside of the Immigration Office and sign applications.. He said that at one time, a man by the name of “Lionel” who was Minister Penner’s Administrative Officer (we later found out that his name was Lionel Pinelo) brought Passport applications to him for his recommendation.

66.2 We asked Mr. Cal if he (Lionel had brought the persons to him and he said, “*Yes about 4; not more than five applications*”. We asked him why for many of the applicants he wrote that he knew the person for one year. He said that Ms. Therese Chavarria (former OIC Passport Office) called him into her office and warned him about signing for people he did not know. Mr. Cal said that they decided together that he would put one year on the forms as a sign that he did not know them then she would send them back for another JP’s signature. Mr. Cal also indicated that Ms. Sharon Neal Flowers (former OIC Passport Office) also told him to be careful with his recommendations.

66.3 When asked if he collected payment for his recommendations he said he did not but that he was working for Meldon Humes (a former employee of the Immigration Office) at his business place which was near the Immigration Office. Mr Cal said he was paid weekly for operating the Office and they both assisted in filling out forms for people, copying documents and selling stamps but he did not get paid for his recommendations. He said that when Mr. Lionel brought applications to him for recommendations, at times he would tell him that the persons were in the line waiting so he did not see all of them that he recommended.

66.4 We showed him the photographs of Liudmila Shengeliya and he said he remembered her face. When he was showed David Shengeliia he said he remembered both applicants sitting in the office together (the date on the JP Recommendations showed that Liudmila went in 1st March, 2012 and David on the 28th March, 2012). We showed him the

photograph of Nina Sha but he could not remember her. We told him that our investigations revealed that Ms. Sha did not go into the Passport office nor enter the country to submit a Passport application form. He also revealed to us that he lost a JP stamp in 2012 when he was working outside the Immigration Office.

Ailing Li Justice of the Peace was not on the list of Justices of the Peace received from the Solicitor General's office

67. We did not see the name of Justice of the Peace (JP), Ailing Li, on the listing of Justices of the Peace received from the Solicitor General's office. However, we saw the name Li Al Ling whose occupation was listed as a businesswoman of Belize City, commissioned on 28th January 2007.

67.2 Ailing Li, JP of 105 New Road Belize City along with another JP, Wilson Lee, (See also **Appendix J**) of the same address, recommended many applicants who appeared not to have gone into the Passport Office to have their biometrics captured and who had stolen and unaccounted for Passports that they were renewing. It appeared that these individuals are/were involved in a ring of illegal trafficking of immigrants.

67.3 Twice we attempted to visit Justices of the Peace, Ailing Li and Wilson Lee but we were unable to find them at their address of 105 New Road Belize City which was stated on several irregular Passport application forms examined.

Recommendations:

The Director of Immigration and Nationality should ensure that:

- i) The Solicitor General is made aware of those JPs who recommended applicants who did not appear before them with application forms and those who recommended applicants that they may not have known should have their commission as Justice of the Peace revoked immediately.
- ii) After a thorough police investigation is conducted those JPs who are confirmed as having made false declarations, should be prosecuted under Sections 3 and 4 Chapter 164 of the Laws of Belize for making a false representation with respect to information requested on the Passport application.

No prescribed period (for 2013) was set for which a Recommender should know an applicant on Schedule 1 of the Passport Regulation

Criteria

Section 5 of the Passport application form labeled "Authentication of Application" stipulates that a recommender must be acquainted with the applicant for:

- A. *Born Belizean*_____years
- B. *By Registration*_____years
- C. *By Marriage*_____years
- D. *By Descent*_____years

68. As a result of no prescribed period set for recommenders to know an applicant, page 1 of schedule 1 of the Belize Passport application form was incomplete and recommenders who fell in the two categories (recommenders A and B) could not have been held to any prescribed period of knowing the applicants before the recommendation was given. This section in the Regulations Schedule (section 5) was vague and could have allowed recommenders who did not know the applicants well enough to make careless declarations.

Recommendations

As a result of the above finding, the Director of Immigration & Nationality should ensure:

1. All applications submitted has a Class A and Class B recommender as specified in Section 7 (1) of the Passport Regulations, 2013
2. All photographs submitted have been signed and stamped by Recommenders A and B
3. A list of all Justices of the Peace and Teachers are maintained at the Immigration and Nationality Department for verification purposes
4. A public campaign is carried out to bring to the general public and recommenders' attention the penalty associated with making a false declaration. This should be done even though the warning is on page 1 of the Passport application form
5. The time period for which recommenders are required to know applicants is inserted onto the Passport application form.
6. Application forms are not accepted for processing if the information requested from the Recommenders is not provided.

Temporary Passports were issued which the law does not provide for

69. We noted that many applicants presented a Temporary/emergency manual Passport to renew Passports during the period 2011to 2013. We ascertained that those temporary/emergency manual Passports were approved solely by the Head of Department who is the Director Immigration and Nationality. We further noted that those temporary Passports were not issued sequentially.

69.2 We noted that those Passports were issued to Citizens by birth and by Registration. The purpose of issuance were for emergency travel in the case of lost Passport and in other

cases we saw copies attached to Passport application forms for renewal to replace with machine readable Passports. We did not see proof that the applicants had in fact lost the previously issued Passports which were allegedly lost.

69.3 On 17th January 2014 while observing and asking questions about the machine readable process at the Passport section in Belmopan, we were told by the then OIC, Passport section, Miss Therese Chavarria, that temporary Passports were approved by the Director only, and the fee of Thirty Dollars is not paid until such Passport is so approved.

69.4 Nevertheless, we were unable to find any law, regulation or Immigration Departmental policy which stipulates that the Director Immigration and Nationality can approve the issuance of a temporary/emergency manual Passport.

69.5 We found on the Immigration file for 3M Company, a letter referenced PPI/13/01/11 (24) dated 1st March, 2011 from former Director, Ruth Meighan, to Lic. Rosibel Vargas Duran, Direccion General de Migracion y Extranjeria, Government of Costa Rica stating at paragraph two that 3M was in the process of delivering a first order of 8 page Temporary Passports which would have been in the country before the end of February 2011.

69.6 In the interview held with former Director, Ruth Meighan on 8th December 2014, she was asked what authority the Director had to issue temporary Passports to which she responded

“Temporary Passports has always been there. I found them there.”

69.7 We told her that we had read in a letter that she had made the first order of an eight page temporary Passport which would have been in the country before the end of February 2011 and she responded

“No that was not the first order, it was the first order for eight pages. The pages used to be less.”

69.8 Appendix K lists some of the temporary Passports that were seen issued, cancelled and returned to the Department.

Temporary/Emergency manual Passport T0000376 issued to Canying He

69.9 Canying He submitted an application for a Passport on 7-Dec-2011 using Temporary Passport T0000376 issued 4-October-2011; expiry date 4-October-2012. It was signed by former Director, Ruth Meighan.

The application form had under Section 3 that the certificate number of applicant's nationality document was 18272/04 issued 16th July, 2011; however the copy of the nationality certificate presented and accepted by the Counter Clerk was 18272/04 dated 29th October, 2004. He received Passport P0187238 issued 7th December, 2011.

69.10 A search of the Register of Citizens by Registration showed that certificate 18272/04 dated 29th October, 2004 was for Zhong Ghu He with file number being 19593.

We also observed that no children were included in this entry. On 4th June, 2014 we requested the file 19593 for Zhong Ghu He but up to the time of this report, it was not presented to us.

Application forms in the names of Lamar Awwad and Nader Ibrahim Mohamed Hassan Awwad

69.11 An application was submitted on 4th December 2012 in the name of Lamar Awwad by his father Nader Ibrahim Mohamed Hassan Awwad. The father is a Belizean by Registration born in Kuwait. He used Temporary manual Passport T0000523 issued to Lamar Awwad who was born in the United Arab Emirates. The Temporary Passport, which had an issue date of 26th September 2012 and expiry date of 25th September, 2013, was signed by former Director, Ruth Meighan for Lamar Awwad, who at the time was five (5) months old.

69.12 Attached to the Passport application form was a copy of nationality certificate (through decent) number 1684/1/12 Vol. VIII (40) dated 25th September, 2012 and signed by what appeared to be the signature of the current Director, Maria Marin (stamp partially covered the signature). It seemed irregular for Maria Marin to sign for the Minister of Immigration on the certificate of Registration by descent and then Ruth Meighan, the Director, sign on the Temporary Passport where the Director would sign. Passport P0223751 was issued on 5th December, 2012 to Lamar Awwad through rushed processing approved by former Director, Ruth Meighan.

69.13 Furthermore, the father, Nader Ibrahim Mohamed Hassan Awwad, also took in an application form on 5th December, 2012 for a renewal of his Passport P0156077 issued 27th January, 2011. We noted that he requested renewal of the Passport long before the expiry date of 27th January, 2021. His application stated that his Nationality certificate number was 2485/92 dated 22nd October, 1992.

69.14 We examined the Register of Citizens by Registration and found that said certificate 2485 belonged to Julio Cesar Martinez under Section 10 Registration and there was no entry in the Register for this number under section 11A, "Citizenship by Investment".

69.15 Nader Ibrahim Mohamed Hassan Awwad's date of birth was stated as 13th January, 1977 on his Passport application form, which would mean that he was 15 years old when he got Nationality by Registration through Economic Investment. He did not have to attach to his Passport application a copy of his nationality certificate as it was not a requirement in 2012 since his application was for a renewal of a previous machine readable Passport P0156077. He was issued Passport P0223758 through rushed processing on 5th December, 2012 approved by former Director Ruth Meighan.

69.16 Although the certificate number was not connected to any file number in the Register of Citizens by Registration, his file was requested on 16th June, 2014 in order to clarify this seemingly fraudulent issue of nationality certificates and Passports to Lamar Awwad and Nader Ibrahim Mohamed Hassan Awwad. Up to the time of writing this report his file was not presented to us.

69.17 We also saw Passport P0190676 issued 18th January, 2012 to Batool Ibrahim Mohamed Hassan Awwad. Her Passport application form was one of those that were not presented for auditing. A search of the BPIS revealed that her nationality certificate number 2485/92 dated 22nd October, 1992 belonged to Nader Ibrahim Mohamed Hassan Awwad.

Passport Applications written and signed in temporary ink were processed by Officers from the Passport Office

70. It appeared as though applicants, Justices of the Peace, Teachers, Officers from the Passport Office and recipients of Passports intentionally used felt pen (temporary ink) to fill in, recommend and process irregular Passport applications with fraudulent nationality certificates and other irregularities. On several applications the ink was already disappearing since somehow these applications got wet. Some of these applications appeared to have been written in the same handwriting as Justices of the Peace Ailing Li, Wilson Lee, Martin Cal and Eleodoro Lewis who also recommended these applicants. There were many such instances found. **Appendix L** lists some examples of those applications as well as other JPs who signed, officers who processed and Passports recipients who used temporary ink pen to sign Passport application forms.

Recommendations

The Director of Immigration and Nationality should ensure that:

- 1) The staff of the Immigration and Nationality Department does not accept application forms which are filled out in temporary ink. Government records should not be prepared in temporary ink since the writing will disappear if the records get wet and also the ink will fade over time.

A number of application forms were incomplete yet applicants were still issued Passports

Criteria

Section 6 (2) of the Passport Regulations Statutory Instrument (SI) number 16 of 2013 requires:

“An application for a Belize Passport shall be signed by –

(a) The applicant; and

(b) The child, where the application is in respect of a child who is ten years or older.

Instructions 1 and 2 on Page 2 of the Passport Form, labeled Belize Passport Application Instruction requires:

- 1. This form should be clearly written in print with black or blue ink.*
- 2. Sections 1 and 4 of this form must be completed by all applicants*

At paragraph 2 of his Memorandum PPI/6/01/6 (2) dated 17th March 2006; Subject matter “Procedures for the processing of Passports”, the Director of Immigration and Nationality Services gave instructions to Officers in Charge, Passport Offices/Stations. The instruction was in part “.....With immediate effect, these new procedures must be adhered to”.

Sub paragraph 2.1 of said memorandum states:

“Ensure that all applications are completed properly and signed by the applicant. Where a child is able to sign, have the child sign, or otherwise have parent/guardian sign on behalf of the child (in this case do not scan)”

Section 1 of the Stages in the Belize Machine Readable Passport Application and Issuance Process (BMRPAIP) states

The counter clerk must revise the form to ensure that it is properly completed and that all the supporting documents are submitted. This stage includes ensuring the following steps:

Subsection 1

“All relevant sections on the form are filled in with the required information as per supporting documents or requirements listed on page 2 of application form”.

Subsection 6

*“The counter clerk must **NOT** accept and/or sign as accepted any form that is incomplete or for which the requirements are not met”.*

Subsection 7

*“The application must **NOT** proceed to any other stage if it is incomplete or lacking any of the requirements as specified on page 2 of the application form”.*

Section III subsection 1

The counter/process supervisor must ensure that each of the previous stages has been complied with thoroughly and that”

71. Despite the above instructions, we found applications forms that lacked pertinent information including applicants’ place of birth, the Declaration required by section 4 of the application form, the signatures of applicants and dates to indicate when said applications were made. This in Audit’s opinion was willful neglect by the Department that is ultimately responsible to ensure that applicants adhere to the Passport regulations as well as any other requirements. As a result of the Department’s negligence, the above quoted regulation and instructions were violated. **Table ZA** below list some examples of incomplete Passport application forms while **Appendix M** lists other examples.

Table ZA

The following is some examples of Incomplete Passport Applications Forms

Name of Applicant	Date Application Accepted	Required Field not Completed
Wei Pan	2-Sept-2013	Section 4 Declaration
RomarYashir Rodriguez	4-Sept-2013	Signature of Applicant
Zhou Sileung Sun	23-May-2013	Place of Birth
Shuyuan Liang	31-May-2013	Signature of Applicant
Mao Hong Wong	4-Feb-2013	Application date & Signature of applicant
Qing Xiao	28-March -2012 but BPIS Data Capture 23-March-2012	Place of birth/ Section 3/ Section 4/ Signature
Hong Qiao	28-March -2012 but on BPIS Data Capture	Section 3/ Section 4/ Signature

Name of Applicant	Date Application Accepted	Required Field not Completed
	23-March-2012	
Jianmin Xu	28-March -2012 but on BPIS Data Capture 23-March-2012	Section 3/ Section 4/ Signature
Yongge Dai	28-Mar-12	Section 3/ Section 4/ Signature of applicant
Mark Chang	19-Dec-11	Place of Birth and Section 4 Declaration
Jinfan Chen	16-Dec-11	Section 4 Declaration
Xiaobo Ji	05-Nov-11	Signature
Milce Greys Paola Garcia	19-Dec-11	Section 3 and Section 4 Declaration

71.2 In addition to the lack of signatures on the application forms (see **Appendix M**) there were many instances where differences were observed with applicants' signatures when comparing the signatures on the application forms, the signatures in their previous Passports or other identification documents, the signatures on the BPIS (new Passports) and the signatures of the recipients on the application forms. See **Appendix M.1** for examples of those applications with differences in signatures of applicants.

Recommendations

The Director of Immigration and Nationality should ensure that:

- (a) All applications are signed and dated by applicants applying for a Passport
- (b) A thorough examination of all application forms is carried out by the Counter/Process Supervisor to ascertain that they are completed as required

Application forms were approved and Passports issued without all the required documentations

Criteria

Section 6 (1) of the Passport Regulations SI 16 of 2013 states:

"An applicant shall furnish with his application all the relevant particulars to demonstrate his identity, citizenship and eligibility to apply for a Belize passport, including the following-

(a) Certificate of birth

(b) Certificate of naturalization or certificate of registration, where applicable;

(c) One form of Belize photo identification, namely a social security card or voter's registration card;

(d) Two identical photographs, of the applicant or the child in respect of whom the application for a Belize passport is being made

Schedule 1 Page 2 of the Passport Application Form under the section, "Documents to be produced" states:

If the applicant is:

- a) A Belizean by birth (born in Belize) -birth certificate from the Registrar General's Office (for those applying for the first time and those replacing lost, destroyed, or stolen passports); previous passport (for those replacing/renewing available passports.)*
- b) A Belizean by descent [born outside Belize to Belizean parent(s) but naturalized] – (i) birth certificate from native country and (ii) his/her naturalization by descent document or other evidence of Belizean nationality.*
- c) A Belizean by Registration (born outside Belize but has become a citizen through naturalization) - (i) native birth certificate or passport and (ii) his/her Belize nationality certificate*
- d) A married woman- all of the above that apply plus a marriage certificate (issued by Vital Statistics or Registrar's Office.)*
- e) A divorced woman wishing to revert to her maiden name – all of the above that apply plus the divorce certificate.*
- f) Photo identification is required from all. This may be in the form of social security and voter registration cards. Driver's licenses are not acceptable.*

Section 6(3) (a) of the Passport Regulations SI 16 of 2013 requires:

"An applicant to submit along with his/her application form, two photographs taken within the last six months".

Stages in the Belize Machine Readable Passport Application and Issuance Process Section I (2) states:

"Original documents must be submitted with a photocopy which must be certified as a true copy of original seen by the counter clerk who signs and dates each copy as such. These include- Birth/ Nationality/ Marriage/Divorce Certificates, deed polls, Photo

Identification (Social Security Card, Voter's ID and Biographic data page of Passport being renewed or replaced)."

72. Although instructions were clearly outlined in the above mentioned regulations and relevant policy documents, we found that personnel from the Immigration Department allowed applicants to renew their Belizean Passports without:
- A. Certified copies of their certificate of birth. See examples at **Appendix N**.
 - B. Certified copies of their nationality certificates attached to their application forms. **Appendix N.1** lists examples of such instances.
 - C. Copies of their previous Passport biographic page attached to their application forms. Examples are at **Appendix N.2**.
 - D. A form of Belize photo identification, such as a social security card or voter's registration card. See **Appendix N.3**.
 - E. Two identical photographs, of the said applicants or the child in respect of whom the applications for Belize Passports were made. See **Appendix N.4**.
- 72.2 The non-adherence to regulations and policies has caused a serious concern as the Immigration and Nationality Department appeared to have had no regard for these basic and vital legal requirements by failing to verify the native birth certificates of applicants. The disregard for the requirement to present a native birth certificate for born Belizeans and a native birth certificate or Passport for Citizens by Registration to ensure that the actual applicant was the owner of the Nationality Certificate is serious and needs to be addressed immediately.
- 72.3 As we were not provided with the required documents, we could not ascertain whether those individuals were whom they purported to be. **Appendix N** also includes applicants that were approved Passports without certified copies of their birth certificates as in the case of Born Belizeans, those approved Passports without certified copies of birth certificates or Passports as in the case of naturalized Belizeans, and those who were approved Passports without a birth certificate attached to their applications as in the case of applicants whose Passports were lost, stolen or destroyed in a fire.

Recommendations:

The Director of Immigration and Nationality should ensure that:

- 1) All applicants applying for Passports furnish, in accordance with section 6 (1) of the Passports Regulations 2013 and section 1 (2) of the Stages in the Belize Machine Readable Passport Application and Issuance Process, their certificate of birth, nationality/marriage/divorce certificates, deed polls, a Belize photo identification

(Social Security Card, Voter's ID and biographic data pages of Passports being renewed or replaced.

- 2) The counter clerk certify/signs and dates photocopies of original documents submitted by applicants who applied for their Passports
- 3) All applicants for a Passports present two photographs and these photographs are identical as required by section 6 (1) f

Passport application form submitted by Concepcion Vidal in respect of Passport P0188312 –

73. We noted a very irregular application and processing that showed a total disregard for the Passport Regulations, which was submitted by applicant Concepcion Vidal, a Guatemalan, on 15th December 2011. The following was noted:

- i) Her Passport application form was written in what appeared to be felt pen (temporary ink).
- ii) There was no nationality certificate number and date of issue recorded at Section 3 on the application form (Section 3 & Section 4 Declarations on the Passport Application were not filled in).
- iii) The nationality certificate was not inserted on the application form by the Counter Clerk, Elick Chan, when he accepted the application form. He also printed the Passport P0188312 Mr. Chan was also the recipient of the said Passport without any authority.
- iv) The application form had no indication to show that the applicant had a previous Passport, as no Passport number and date of issue were recorded or that the Passport was presented. If the applicant was applying for the first time, the application form also did not indicate that her native birth certificate or Passport was presented.
- v) The only document presented was a United States of America (US) Permanent Residence Card for Concepcion Vidal issued 8-Dec-2006 yet she was issued Belize Passport P0188312 on 16th December-2012. She received said Passport although only \$50.00 was paid via receipt number 623674 dated 15th December 2011.

73.2 We examined the BPIS and found that the Nationality certificate number used for the electronic application was 10154/99 dated 3-September 1995,

which was an irregular entry as verification of the Nationality Register showed that certificate 10154/99 was dated 3-Sept-1999 and was issued to Concepcion Gregorio with no children included and her file number was 11385, which we requested on 19th June 2014, but was not presented.

73.3 The BPIS application steps showed that the Data Entry was done by Erwin Robinson on 15th December 2011; Sharon Flowers approved the application for further processing on 16th December, 2011, Elick Chan printed and Dionae Jones QA Passed on the 16th December 2011.

Recommendations:

The Director of Immigration and Nationality should ensure that:

- i) An investigation is carried out on the processing and issuance of Passport P0188312 to Elick Chan for Concepcion Vidal to determine if she had a previous Passport and along with a valid nationality certificate. If she is not in possession of a valid nationality certificate, Passport P0188312 should be revoked and retrieved from the individual.
- ii) Elick Chan is disciplined for violating Passport regulations and procedures.
- iii) The Commissioner of Police is informed in writing of what transpired for him to determine if Elick Chan committed a criminal offence.

Irregular Processing of Passport P0216513 issued to Nadia Mirelie Sutherland

74. We found an undated Passport application in the name of Nadia Mirelie Sutherland, which stated that she was born on 3rd December, 1988 and Orange Walk Town as her place of birth. We saw that section 4 titled “Declaration” on page one of the Passport application form was not filled in. The application form was done entirely in temporary ink.

74.2 We noted that the applicant neglected to present her birth certificate, previous Passport and her social security card. She also had no recommender and there was no evidence seen that she made payment for the Passport. The application form under “For Official Use Only” did not have the signatures of the Counter Clerk who accepted the application form, or the signature of the OIC Passport Office who approved the Passport for printing. Two officers signed on the application form, that is, the Print Operator, Dornecia Robateau and the one who delivered the Passport; Eleud Romero. The signature of Nadia Sutherland appeared as recipient of Passport P0216513 which was issued on 3rd September, 2012.

74.3 The BPIS application steps showed that the Data Entry was done by Romi Rosas, approved for processing without biometric check by Therese Chavarria; printed by Dornecia Robateau and QA Override by Omar Philips. All processes were done on 3rd September 2012.

74.4 An inspection of the Passport issue list showed that P0210784 was also issued to Nadia Mirelie Sutherland on 24th July, 2012. This application was one of those that were not presented to Audit for examination as seen at **Appendix I** but said Passport P0210784 was seen among the cancelled Passports presented to Audit.

Immigration officers signed some Passport application forms as having more than one BPIS role in the processing of Passports.

75. The stages in the BMRPAIP (Section I through VIII) clearly defines each role in the application through to the issuance process as distinct roles, except for the Counter Clerk who originally receives the application form and then issues the Passport to the applicant. At section IX subsection 1, the officer assigned to “deliver” Passports out of the Belize Passport Issuance System (BPIS) is not a titled or specific officer.

75.2 During a discussion with the Systems Manager, Mr. Bol, on the 6th February 2014 he was asked for the file that contained the authorization he received from the Director. Mr Bol disclosed that no authority letters and or memos existed from the Director for officers assigned user roles to prepare and deliver Passports. He informed that the Officer in Charge at any station would call him and ask for staff members to be assigned a user role. He further indicated that officers would be assigned dual roles because of a shortage of staff.

He went on to state that this applied only to an officer with Data Entry role who would be assigned the Passport Delivery role, while an officer with Print Operator role would also be assigned Quality Assurance role. He also stated that the double role was assigned for only a short time.

75.3 In Audit’s opinion, the practice of assigning more than one role to an officer/s is not a good internal control practice. The dual role function of any officer is not recommended. However, if an officer is obliged to carry out several functions he/she must be strictly supervised by the OIC Passport Section. Regular checks should be done by the Director or another officer should be assigned to conduct such checks.

75.4 Audit is of the opinion that those roles were abused as a closer examination of the application steps in the machine readable Passport system shows that irregularities existed when the officers listed at **Table ZB** and **Appendix O** were assigned dual roles for the day.

Table ZB

The following is the list of officers who were assigned more than one role in the same day and irregularities occurred

Name of Applicant	Date of Application	New Passport Number	Roles of Officer Assumed and Observations
Marvella Adelita Yama	11 & 20-April-2012	P0197996	Omar Philips signed as Data Entry Operator; Quality Assurance and Delivered Passport to applicant/ name change on Passport was not legitimate/ no one signed as Counter Clerk or as Supervisor on the form
Lai Kai Wen	27-Dec-12	P0225450	Erwin Robinson signed as Counter Clerk, Data Entry Clerk and Quality Assurance Clerk. Applicant appeared not to have gone in to the Passport office (applicant had previous stolen Passports renewed Dec-2012)
Daniel Omar Cienfuegos	21-Dec-11	P0188621	Omar Phillips signed as Counter Clerk, Data Entry Clerk and received the applicant's Passport without authority. The Passport presented for renewal was spoilt
Yongge Dai	28-March-2012	P0196321	Counter Clerk, Print Operator and "delivered out" was Elick Chan as revealed by Stock Item report. Applicant appeared not to have gone into the Passport office.
Hong Qiao	28-Mar-12	P0196444	As per application form and BPIS application steps Elick Chan received the application and was Print Operator. No other officer signed on the form.

Name of Applicant	Date of Application	New Passport Number	Roles of Officer Assumed and Observations
Jianpo Xu	10-May-11	P0200072	Omar Phillips signed as Counter Clerk and Data Entry Clerk. Applicant's nationality certificate 17330/03 dated 6 th February 2003 was not genuine. No one received Passport or issued the Passport. Passport at Quality Assurance (QA) status in the system
Yong Guang Lin	14-Sep-11	P0180348	Elick Chan signed as Counter Clerk and Print Operator. Applicant's photograph appeared to be a picture of a picture. His previous Passport was a stolen Passport and there was issues with the number of Passports he received in 2011
Concepcion Vidal	15-Dec-11	P0188312	Elick Chan signed as Counter Clerk, Print Operator and received the Passport. Applicant did not present any of the required documents such as previous Passport, nationality certificate , marriage certificate and or deed poll.
Karsten Tariq Williams	9-March-2012	P0194501	Omar Philips signed as Counter Clerk, Data Entry Operator and as Recipient/ Applicant did not pay the required expedite fee of \$60.00 instead only \$30.00(fee charged for a child) was paid.

75.5 There were also unusual approvals of applications seen for printing by Data Entry Clerk, Tiffany Taylor, who in some cases also did the QA in addition to approvals of printing. A sample can be seen below at **Table ZC**; more examples can be seen at **Appendix O.1**.

Table ZC

The following represents the examples of instances where Tiffany Taylor, second class clerk approved as Officer in Charge (OIC) and also QA of Passports

Name of Applicant	Date of Application	Passport Number	Passport Officer
Lydia Artania Chuc	13-Jun-11	P0169184	Tiffany Taylor signed as OIC and QA
David Bruce Martinez	03-Jun-11	P0169156	Tiffany Taylor signed as OIC and QA
Jayzen Emmanue Andrea Nembhard	26-Jun-11	P0172775	Tiffany Taylor signed as OIC and QA

75.6 We could not ascertain why a Data Entry clerk was allowed to approve the printing of Passports on several occasions when there were senior officers at the Department who could have carried out the task.

75.7 We noted that the former Director Ruth Meighan was fully aware and approved the role of OIC to Miss Tiffany Taylor. This was observed by her instruction on a Passport application form in the name of Yuan Hui Hung Cheng dated 3rd August 2012, where she wrote on the form “Tiffany, Please process for today if all in order” 3/8/12.

75.8 In our interview on the 8th December 2014, Miss Meighan was asked, what Tiffany Taylor’s post. Ms Meighan responded that Miss Taylor was a Data Entry Clerk. We went on to ask her what were the circumstances that led to Tiffany Taylor assuming the role of OIC Passport section. Miss Meighan was shown the Passport application for Yuan Hui Hung Cheng referred to at the above paragraph 27. She told us that perhaps Miss Neal was out for the day. We informed her and showed her the evidence that it was for more than one day that Tiffany Taylor was acting as OIC.

75.9 We asked Miss Meighan whether the OIC post was one that would be given to a Data Entry Clerk to which she responded that maybe she was one of the senior persons there. Miss Meighan told us that we needed to talk to Mr. Bol and that Miss Neal would have been on vacation leave. We continued by asking her if the OIC Passport section was a post for a senior immigration officer to which she responded that it is the post for a senior immigration officer, but maybe all the officers would not know how to operate the system. Perhaps the role was given to Miss Taylor because she had the operational knowledge. She continued that Miss Taylor was already there when she took over as Director.

75.10 It is to be noted that the applicant Yuan Hui Hung Cheng was renewing Passport A004528 issued on 3rd January 2002 in Belize City. We could not ascertain how this

Passport was issued 3rd January 2002 when a search of the control Jumbo Passport register revealed that bulk series numbers A004501 to A004600 was issued on 13th February 2002 to the Belize City Passport office. Those Passports were issued a month and 10 days after said A004528 was purportedly issued.

75.11 We also observed several applications on which former OIC Passport Office, Sharon Flowers, approved and QA Passed or QA override several Passports. (See **Appendices A & O**) We also noted that previous OICs Passport Office also performed dual or multiple roles in Passport processing as follows:

Ady Pacheco approved and printed (Diego Armando Mencias on P0002672 on 4th April, 2005, which was returned by the Police to the Immigration and Nationality Department;

Ady Pacheco approved without biometric check, printed and QA passed Jian Xing Chen P0004444 on 27-April-2005; and printed and QA passed Su Yun Hsu P0002948 on 6-April-2005).

Eleuterio Cob approved and QA passed (Jian Xing Chen on P0069165 on 2nd October 2007)

Brenda Longsworth Reneau approved and QA passed (Jing Zhang Huang on P0080288 on 11th March, 2008)

Debra Baptist approved, printed and QA passed (Robert Upthegrove on P0044783 on 25th January 2007 & Jian Xing Chen on P0042404 on 31st August, 2006).

Recommendations:

The Director of Immigration and Nationality should ensure that:

- i. Independent and regular checks are carried out on officers by the Officer in Charge of Passport Section or another duly appointed officer. Even if officers are not assigned dual roles, it is the duty of any Accounting Officer to regularly have checks carried out on his/her staff to ensure continued efficiency in his/her Department.
- ii. Junior officers are not allowed to function as Officer in charge
- iii. The officer in charge is not allowed to do quality assurance.
- iv. In rare instances where it is impossible for another officer to assume the functions of a particular role, then an individual is assigned two roles on the Belize Passport Issuance System (BPIS). In such instances due diligence should be taken by the OIC or another senior officer is assigned to ensure that there are no irregularities.

75.12 It is to be noted that should the Department have an internal auditor, any irregularities can and will be detected without the input of the OIC or another senior officer.

A Number of applicants had more than one “Active” Passport on the BPIS

Criteria

Section VIII of the Stages in the Belize Machine Readable Passport Application and Issuance Process (BMRPAIP) states that:

The finished Passport must then be delivered to the applicant.

Subsection 1 specifies:

“The assigned officer must prepare passports for filing by first cancelling previous passports submitted and stamping original documents submitted.”

76. We did verification of application forms to the BPIS and found that there were individuals with several active Passports since the Passports renewed or lost were not revoked as should have been done on the BPIS. Many old Passports were not revoked as they should have been and many stated “QA passed” for the new Passport when they should have stated “delivered”. **Table ZD** shown below lists a few examples.

Table ZD

The following is the list of Passports that should have revoked and also those still at QA passed which should have had the status of delivered

Passport No	First Name	Last Name	Date of Issue	Status Description
P0205046	DORIS ELIZABETH	MEJIA	2012-06-14 00:00:00.000	Delivered
P0239703	DORIS ELIZABETH	MEJIA	2013-06-21 00:00:00.000	Delivered
P0066630	WEN - SHIN	CHOU	2007-08-08 00:00:00.000	Delivered
P0124933	WEN - SHIN	CHOU	2010-02-10 00:00:00.000	Delivered
	WEN SHIN	CHOU	2013-06-21 00:00:00.000	QA passed
0253285	BARBARA MARINA	RAMOS	2002-05-17 00:00:00.000	Delivered
P0201483	BARBARA MARINA	RAMOS	2012-05-22 00:00:00.000	Delivered

P0239031	BARBARA MARINA	RAMOS	2013-06-13 00:00:00.000	QA passed
P0046661	ANN MICHELLE ELUTERIA	PATT	2006-11-15 00:00:00.000	Delivered
P0239030	ANN MICHELLE ELUTERIA	PATT	2013-06-13 00:00:00.000	QA passed
P0111755	HENKO	ONG	2009-08-05 00:00:00.000	Delivered
P0236231	HENKO	ONG	2013-05-07 00:00:00.000	Delivered
P0150413	JING ZHANG	HUANG	2010-11-26	Delivered
P0080288	JING ZHANG	HUANG	2008-03-11	Delivered
P0157102	JING ZHANG	HUANG	2011-02-08	QA Passed

76.2 In an interview on March 3, 2014 with Omar Phillips, former Second-Class Clerk, attached to the Immigration & Nationality Department, he informed us that the duty to “deliver out” Passports in the BPIS was that of the Data Capture Operator and was done after the Cashier was closed to the public but there was often a backlog of applications to “deliver out” in the BPIS due to their workload and staff shortages.

Wen Shin Chou had three (3) active Passports over the period August 2007 to June 2013 as seen in the BPIS

77. Wen Shin Chou, a Taiwanese businesswoman was stated on the application form as living on San Andres Road, Corozal, had three (3) “Delivered” Passports in the BPIS. See **Table ZD.1** below. She reported her previous Passport as lost on her application dated 9-May-2013 and submitted replacement Nationality Certificate 20958/07 dated 12-June-2007 for Ching-Mei Chu-Chong on which her name was listed as a minor Wen Shin Chou (# 20958.1/07) with date of birth 30-June-1992 .

77.2 This certificate, which was purportedly signed by Honorable Ralph Fonseca in 2007, was replaced on 8-May-2013 and signed by Director, Maria Marin. The applicant provided no birth certificate or native Passport as was required for a lost Passport application. **Table ZD.1**

The following is the replacement Passports issued to Wen Shin Chou before their renewal date:

Name	Date of Application	Date of Passport Issuance	
Wen Shin Chou	9-July-2007	8-Aug-2007	Wen Shin Chou BPIS Aug 8 2007 BPIS.pdf
Wen Shin Chou	5-Feb-2010	10-Feb-2010	Wen Shin Chou BPIS Feb 10 2010.pdf
Wen Shin Chou	9-May-2013	21-June-2013	Wen Shin Chou BPIS Jun 21 2013.pdf

Recommendations:

The Director of Immigration and Nationality should ensure that:

- 1) The BPIS is updated to show the real status of Passports that have been revoked or delivered.
- 2) A system is put in place through communication, to alert the border authorities of other countries of the status of revoked Passports in respect of those individuals whose Passports were revoked for reasons other than genuine renewals.

Two Passports were printed and delivered for a single application

78. We found that two Passports were printed and delivered in respect of applications received in the names of Yong Guang Lin and Roberto Wiyi Yang. See **Table ZE** below. There could have been many more, however further investigation is required to verify this.

Table ZE

The following represents the two Passports which were printed and delivered in respect of Yong Guang Lin and Roberto Wiyi Yang

Name	Previous Passport Number	Issue Date	Stock Item Report	New Passport Number and Issue Date
Yong Guang Lin Stolen Passport A004435 issued	P0180159 was marked out on the application	15-Sept-2011	Delivered	P0188427 issued 20-Dec-2011.

Name	Previous Passport Number	Issue Date	Stock Item Report	New Passport Number and Issue Date
8 th February 2002 and Fraudulent Nationality Certificate number 15656 dated 7 th February 2002	dated 14-Sept-2011. Applicant in a red shirt appeared to be picture of a picture. P0180348 applicant in a white shirt appeared to be picture of a picture	20-Sept-2011	Delivered	Applicant appeared to have visited the Passport office in person
Roberto Wiyi Yang	P0182790 was written at the bottom of page 1 of his application form while P0183347 was written on the top of said application dated 18-10-2011. Applicant pictures on the BPIS for both Passports appeared as pictures of a picture (one appeared to have been edited from the first one. Those two pictures were	21-10-2011 31-10-2011 See paragraph below	Denied Denied	P0188025 on 12-12-2011. Applicant appeared to have visited the Passport office in person

Name	Previous Passport Number	Issue Date	Stock Item Report	New Passport Number and Issue Date
	much younger than the one in the BPIS for P0188025 issued 12-12-2011.			

78.2 We could not ascertain the reason why Passports P0180159, P0180348, P0182790 and P0183347 issued to Yong Guang Lin and Roberto Wiyi Yang respectively were printed for one application. The aforementioned individuals submitted the applications on the 14th September 2011 and 18th October, 2012 and both appeared not to have visited a Passport Office/ Foreign Mission.

78.3 In an interview with former OIC Passport section, Sharon Neal Flowers and Information Technology Manager, Rodolfo Bol, the pictures of Yong Guang Lin and Roberto Wiyi Yang were shown to them. Ms. Neal-Flowers was asked how she could have approved the printing of those two Passports and responded saying she did not know what could have occurred. Furthermore, Ms Flowers stated that she saw no problem with the previous two pictures of the applicants, which appeared as pictures of pictures. Mr. Bol responded that the pictures did not appear to be pictures of pictures to him.

78.4 We found evidence that suggested otherwise. In the case of Yong Guang Lin, his BPIS application picture dated 19th December 2011 showed an older person than in his 14th and 15th September 2011 (3months earlier) BPIS pictures. In Roberto Wiyi Yang's case, in his 12th December, 2011 BPIS picture he appeared older than in his 18th and 31st October, 2011 BPIS pictures.

The Passport Issue list for April 2011 to September 2013 reflected many inconsistencies

79. We observed instances of similar names linked to different Passport numbers and similar Passport numbers that were linked to different names recorded as issued to recipients during the period investigated. Those individuals' names and Passport numbers can be seen at **Appendices P and P.1**.

79.2 We could not ascertain whether those individuals received more than one Passport as in the case of Yong Guang Lin and Robert Wiyi Yang or whether it was just system errors.

Recommendations

The Director of Immigration and Nationality should ensure that:

The advice of an independent IT Technician is obtained to determine if the limitations of the BPIS are as a result of technical issues from nonpayment of the license with 3M Company or the deliberate manipulation of the system by its users.

79.3 This would have been the role of Mr. Gonzalez (CITO) however he was unavailable to the audit team for technical assistance, when he was sent to Taiwan without the team being informed.

Shiou-Ling Jan's Passport application form had a notation "applicant borrowed Nationality Certificate"

80. We saw an application form in the name of Shiou-Ling Jan on which the words, "applicant borrowed Nationality Certificate" were written. Shiou-Ling Jan's date of birth was stated as 10th May 1959 with her place of birth as Taiwan and her address in Belize was stated as 30 Moho Street, Belmopan.

80.2 There were several irregularities with her application; her picture on one of the two photocopied Social Security cards resembled a younger female although the applicant's year of birth was 1959. Those photos did not match the BPIS image of the applicant. The copy of the nationality certificate number 27988/13 was dated 22nd April 2013 but the actual entry in the Numbers ledger was dated 9th July, 2012. In the Register of Citizens by Registration, her name and certificate number were seen inserted between lines of 27987 and 27989 since the number was previously left out.

80.3 It appeared that Chinese nationals were fraudulently issued the nationality numbers of other persons who were genuine holders of certificates by registration. We discovered several applicants who were holders of stolen Passports who had written in them nationality certificate numbers that did not belong to them. We noted that those same individuals later received new nationality certificate numbers in their names and were issued genuine Passports. Those individuals' names are listed at **Table ZF**.

Table ZF

The following is the list of applicants with stolen Passports who had nationality certificates numbers that did not belong to them

Name	Stolen Passport Number and Date Issued	Fraudulent Certificate number used in Stolen Passport and Date	New Certificate number in Applicant's name and date	New Passport Number and Date Issued	Comments
Yanzhu Zhao	A003942 issued 25 th June, 2002	11301/02 dated 25 th June, 2002 under section 10 of the Nationality Act.	21657/07 dated 30 th November, 2007 appeared to have been signed by Ralph Fonseca	P0188501 issued 22 nd December, 2011	Nationality certificate 21657/07 was not entered in the Register of Citizens by Registration/ Fraudulent certificate 11301/02 was for Jorge Alberto Aguilar ; Stolen Passport was signed by what appeared as the signature of former Director Paulino Castellanos
David So	A003991 issued 9 th August, 2001	20185/01 dated 25 th July, 2001 under section 10 of the Nationality Act.	17688/05 dated for 14 th February, 2005 was also fraudulent since nationality certificate number 17688 in the Register of Citizens by Registration dated 18 th December, 2003 belongs to Augusto Godoy	P0077189 issued 24-Jan-2008 (Passport application had been received from 15-May-2006 but Passport was issued almost 2 years later)	Nationality certificate 20185/01 was for Romulo Angel Aleman; Stolen Passport was signed by what appeared as the signature of former Director Paulino Castellanos

Name	Stolen Passport Number and Date Issued	Fraudulent Certificate number used in Stolen Passport and Date	New Certificate number in Applicant's name and date	New Passport Number and Date Issued	Comments
Jinchao Wu	A003519 issued 26 th November, 2001	10202/01 dated 22 nd November, 2001 was for Irma I Ramos under section 10 of the Nationality Act.	25920/11 was for Jinchao Wu (copy of certificate attached was not signed nor dated by the Minister)	P0188334 issued 16-Dec-2011	Stolen Passport was signed by what appeared as the signature of former Director Paulino Castellanos
Zhenyu Chen (Zhen Yu Chen)	A003514 issued 17 th July, 2002	Unknown	26219/12 dated 5 th January, 2012 (Her name seen in the Numbers book but no entry in her name seen in the register of Citizens by Registration)	P0194897 issued 20 th March, 2012	Copy of stolen Passport was seen at Social Security; no entry was seen in the Register for certificate 26219/12

Passports A003514 and P0194897 issued in the name of Zhenyu (Zhen Yu) Chen

80.4 On 16th October 2014, further investigation was done at the Belmopan Branch of the Belize Social Security Board on Zhenyu Chen, holder of Social Security Card number 000487623. The records revealed that Zhenyu Chen was born in China on 8th October, 1970 and first applied for a Social Security Number on 29th October 2008. At the time of her first registration she submitted the following documents: Chinese Passport G11324361 issued date 11th August 2004; expired date 10th August, 2009 and Dependant permit D50/BMP/08 issued 11th February 2008, which stated that she was a dependant of Guo Gong Chen residing at Pomona Village.

80.5 On 23rd February 2010, Zhenyu Chen applied for a replacement of her Social Security card and submitted a Belizean Manual Jumbo Passport A003514 with issue date of 17th

July, 2002 and expiry date 16th July, 2012. This evidence suggested that her Passport A003514 was issued to her sometime after her first visit to Social Security on 29th October 2008 but it was backdated to appear as though it was issued on 17th July, 2002. If the applicant had said Belize Passport A003514 from July 2002, she would have used it instead of a Dependant Permit to apply for her Social Security Registration in October 2008.

80.6 This Passport A003514 was from the series A003501-A004000, which was unaccounted for in the Jumbo Passport Issue Register and several of them (see **Appendices D-D3**) including Zhenyu Chen's were proven to have been issued fraudulently. Passports A003501-A003525 were recorded as issued in the Jumbo Register on 12th January 1999 by First Class Clerk, Lyn Grant (no longer resides in the country of Belize) to Belize City Passport Office but then the issue was later scratched out by what appeared to be the signature of Sylvia Usher. Another series A004001-A004025 was recorded in its place.

80.7 The issue of A003501-A003525 was later traced to a copy of Revenue Form Issue Note (RFIN) 004872 dated 12th January, 1999 in Belize City. Those Passports were received by Gareth Murillo and were delivered to him by Paulino Castellanos. The copy of 004872 in the RFIN Book showed the same changes as in the issue register with the initials of Sylvia Usher. No individual Passports from the series A003501-A003525 were found to be issued to applicants in any Register.

80.8 We noted numerous instances where no entry was seen in the Register of Citizens by Registration for individuals. There were blank spaces where an individual's name should have been recorded.

It appeared that those nationality certificate numbers were reserved for fraudulent Passport holders. Their names could have been entered later when they were able to get the nationality file together since many persons who were issued Passports for which no entry was seen in the Register, had no files. We requested some files for persons with missing entries in the Register but they were not presented to Audit. This will be reported further in our Nationality report.

Some applicants nationality certificate numbers were not written in the column provided on page 2 under “for official purpose only” during 2011 and 2012

81. We expected to see the nationality certificate numbers of individuals who were citizens by registration written in the column provided for such information. However, we found that this was not the case.

81.2 It was not a requirement in 2011 and 2012 to attach copies of applicants by registration nationality certificates to applications (as was stated at paragraph 59). However, we saw

many attached to applications. Nevertheless, it is required that first time applicants and those with manual Passports present their nationality certificates but many did not and were allowed to renew those manual Passports or receive first issue Passports without presenting their nationality certificates as **Table ZG** below shows.

Table ZG

The following is the list of applicants who were allowed to apply for Passports without their nationality certificates recorded in the column provided for this on page 2 of the Passport application

Name	Application date	Passport Number Issued
Cristina Cruz	10-Jun-11	P0170915
Graciela Magdalena Coleman Paz	30-Jun-11	P0170763
Amado Ofertin Diaz	20-Jun-11	P0171943
Maria Magdalena Mendez	09-Jun-11	P0170817
Elias Orellana Bonilla	20-Jun-11	P0171935
Juan Pineda	27-Jun-11	P0172890
Melanie Rose Mary Quigley	14-Jun-11	P0169064
Tulio Cesena	19-Mar-12	P0197582
Maria Gil Leiva	08-Mar-12	P0196451
Jinchao Wu	16-Dec-11	P0188334
Concepcion Vidal	15-Dec-2011	P0188312

Belize photo identification, such as a social security card or voter's registration card were not presented

Criteria

Schedule 2 (Passport application form) also states:

Photo identification is required from all. This may be in the form of social security and voter registration cards.

82. This requirement was not followed as we noted that the majority of applicants who renewed Passports did not present Belize identification, such as a Social Security Card or Voter's Identification card.

While examining the process at the Passport Office in the issuance of Passports we were told that the Passport presented for renewal and the completed Passport represented the identification.

82.2 We also noted numerous instances where first time applicants who were Citizens through naturalization/registration did not present a Belize Social Security Card or Voters' identification. They applied for a Passport with nationality certificates or replacement nationality certificates which were dated 10 or 20 years prior to the application. The absence of those Belizean identification cards would suggest that the applicants never resided in Belize at any time for them to qualify for Belizean nationality.

82.3 This was confirmed when we conducted a verification exercise at the Belize Social Security Board where we observed that many applicants used their recently issued nationality certificate to apply for a Social Security Card. If those individuals had in their possession Temporary Employment Permit or Permanent Residence status prior to their Nationality document, they would have used those documents to apply for their Social Security cards.

82.4 **Appendix N.3** also lists the names of individuals who did not present any type of Belizean ID when they applied for their Passports.

Some applicants did not pay the required fee for regular and expedited Passports

Criteria

For 2011 and 2012

Passport Application/ Schedule 1 Page 2 stipulate:

Fees (Effective March 2009) The Charge:

- a) *"For an ordinary passport (48 pages) \$50.00 for a person of or above the age of 16 years (passport valid for ten years) and \$30.00 for a person below the age of 16 years (passport valid for 5 years)*
- b) *For an emergency passport (within (5) working days- \$100.00 for a person of or above the age of 16 years (passport valid for ten years) and \$60.00 for a person below the age of 16 years (passport valid for 5 years)*
- c) *To replace a lost, damaged or stolen passport- \$85.00 for a person of or above the age of 16 years (passport valid for ten years) and \$55.00 for a person below the age of 16 years (passport valid for 5 years)"*

For 2013

Section 8(1) of the Passport Regulations SI 16 of 2013 stipulates:

"The fees listed in Column 2 of Schedule 2 are payable to by an applicant for a Belize passport for the corresponding services listed in Column 1 of that Schedule."

Listed on Page 2 of Schedule 1 of the Belize Passport Application Form under the section titled "Fees" (Effective March 1, 2013) are the following stipulated charges for the various types of Passports

A. for an ordinary passport (48 pages) - \$50.00 for a person of or above the age of 16 years and \$30.00 for a person below the age of 16 years;

B. to replace a lost, damaged, or stolen passport - \$85.00 for a person of or above the age of 16 years and \$55.00 for a person below the age of 16 years;

C. for an emergency passport issued in the event of a medical emergency only (within five (5) working days) – \$100.00 for a person of or above the age of 16 years and \$60.00 for a person below the age of 16 years;

D. Expedited passports (In addition to fees applied in A or B).

i. 24 Hours Service - \$200.00

ii. 48 Hours Service - \$100.00

iii. 3 -5 Days Service - \$50.00

83. We found some applicants who did not pay the correct fees for regular Passports and also those who received expedited Passports without paying the fees associated in getting those Passports. See examples listed at **Table ZH** and **Appendix Q**.

Table ZH

The following is the list of individuals that did not pay their Passport and expedited Passport fees

Name of Applicant	Fees Paid as per Application	Receipt Number	Receipt Date	Date Passport Received	GOB should have received	Passport Issued/ Comments

Name of Applicant	Fees Paid as per Application	Receipt Number	Receipt Date	Date Passport Received	GOB should have received	Passport Issued/ Comments
Ralnah Tamika Lewis	\$50.00	521654	3-June-2011	3-June-2011	\$100.00	P0167925 was issued. She is the daughter of the then Director, Ruth Meighan
Hong Qiao	None (Verified on GICS)	False Receipt number 767348 dated 23 rd March 2012 entered in BPIS by Yolanda Cassanova at Orange Walk Pass Port Office	False Date entered in BPIS by Yolanda Cassanova 23-March-2012/ the application form accepted 28-March-2012 as per application	No signature of Recipient or date on BPIS has issue date as 2-April-2012	\$100.00	P0196444 was issued. Application processed by Data Capture Operator, Yolanda Cassanova (23-March-2012) before form was officially received at Passport Office 28-March-2012.
Kent Bruce Crane	\$0.00	None on application	None on application/ BPIS 7-Nov-2011	23-Nov-11	\$85.00 (lost Passport)	P0185544 was issued on 23-Nov-2011. There was no signature of the Counter clerk, the cashier, the Data entry clerk and the person

Name of Applicant	Fees Paid as per Application	Receipt Number	Receipt Date	Date Passport Received	GOB should have received	Passport Issued/ Comments
						who delivered the Passport.
Carla Natasha Felix	\$50.00	633481	28-Dec-11	29-Dec-11	\$100.00	P0188685 was issued. Passport was picked up by former Director Ruth Meighan without authority who also requested that the Passport be processed for 29-Dec-2011)
Mayra Corian Florian	\$50.00	622220	06-Dec-11	09-Dec-11	\$100.00	P0187411 was issued. Rush processing was not authorized

Name of Applicant	Fees Paid as per Application	Receipt Number	Receipt Date	Date Passport Received	GOB should have received	Passport Issued/ Comments
Barbara Jean Yvonne Felix	\$50.00	633403	28-Dec-11	29-Dec-11	\$100.00	P0188684. Passport was picked up by former Director Ruth Meighan without authority who also wrote "please process for today 29-Dec-2011". Applicant did not present previous Passport
Israel Gilharry	\$50.00	620828	05-Dec-11	05-Dec-11	\$135.00	P0187059. Former Director, Ruth Meighan wrote "Ms. Neal pl. process for today 5/12/11 Emergency Fee & Damage waived"

Name of Applicant	Fees Paid as per Application	Receipt Number	Receipt Date	Date Passport Received	GOB should have received	Passport Issued/ Comments
Kevin Gonzalez	\$50.00	626929	14-Dec-11	21-Dec-11	\$100.00	P0188512 was issued. Former Director, Ruth Meighan wrote "Ms. Neal pl. process for Wednesday 21/12/2011. Emergency Fee waived"
Yihong Luo	\$50.00	628447	16-Dec-11	16-Dec-2011 on BPIS	\$100.00	P0188335 was issued. No approval for expedite Passport seen. There was no signature of the Counter Clerk and Data Entry Clerk. Also the Nationality certificate was not signed by the Minister, no seal and date.

Name of Applicant	Fees Paid as per Application	Receipt Number	Receipt Date	Date Passport Received	GOB should have received	Passport Issued/ Comments
Greta Coralie Althea Mossiah	\$50.00	634816	30-Dec-11	BPIS issue date 3- Jan- 2012/ Recipient wrote 9- Jan-2012 (5 working days)	\$100.00	P0188815 was issued. Former Director, Ruth Meighan wrote, "Ms. Neal Please process for Wednesday 4/01/12...Emergency fee waived"
Aiden Sadiq Robinson (child of employee Erwin Robinson)	\$30.00	622806	07-Dec-11	07-Dec-11	\$60.00	P0187266 was issued. No authorization of rush processing on application
Yi Tang Wu	\$50.00	494008	12-Apr-11	12-April-2011 BPIS	\$100.00	P0163540 was issued. No permission given to expedite. He previously had a stolen Passport 0290831 issued 8-Aug-2005

Name of Applicant	Fees Paid as per Application	Receipt Number	Receipt Date	Date Passport Received	GOB should have received	Passport Issued/ Comments
Roberto Wiyi Yang	\$85.00	624813	12-Dec-11	12-Dec-2011 BPIS date & Damaged Spoilt Passport (wet)	\$135.00	P0187489 was issued. Renewed spoilt Passport P0183347. No permission given to expedite
Qing Xiao	\$0.00	No receipt number recorded	False receipt number 867893 was entered in the BPIS by Yolanda Cassanova. Form accepted 28-Mar-2012, but BPIS application date was 23-Mar-2012	None stated on form, but BPIS had 3-Apr-2012	\$100.00	P0196708 was issued. Applicant did not appear to give biometric captured. (see Appendix A)

Recommendations

The Director of Immigration and Nationality should ensure that:

- 1) The Counter supervisor examines the applications before the data entry is done to ensure that the correct fees have been paid to the Cashier
- 2) Applicants pay the required fees associated in getting an expedited Passport

83.2 We also observed several irregularities during the verification of Passport fees as follows:

i) **False receipt numbers were recorded in the BPIS and on two application forms**

Yolanda Cassanova (Data Capture Operator in Orange Walk) entered false receipt numbers on the applications for Hong Qiao and Qing Xiao as shown at **Table ZH** above. Those applications had no cashier's signature, receipt numbers and dates recorded on the form but the false receipt numbers were seen on the BPIS in relation to amounts allegedly paid by the aforementioned individuals. The only processed signature seen on the forms was that of Elick Chan. Both applications did not bear the signatures of applicants and recipients therefore, those Passports should still be at the Passport Office. There was no evidence to substantiate that the applicants had gone into a Passport Office or Mission to capture their biometric data.

83.3 As seen from **Table ZH** above, those Passports including one for Jianmin Xu (seen below at **Table ZI**) were processed in Orange Walk by Yolanda Cassanova, Data Entry Clerk, five days before the applications were received by the Counter Clerk, Elick Chan.

Table ZI

The following is the Passport processed for Jianmin Xu five days before his application was received

Name	Date of Application on form	BPIS Date	Application Report	Passport Number
Jianmin Xu	The application form was accepted 28-March-2012 by Elick Chan	False Date entered in BPIS by Yolanda Cassanova 23-March-2012	The Report showed that Yolanda Cassanova had created the BPIS application from 23-March-2012 and completed it on 28-March-2012	P0196317 issued 29-March-2012

83.4 We could not ascertain how those Passports begun processing before the date on which the applicants should have paid for processing, and in the case of Jianmin Xu, before the date (28th March, 2012) on which the Passport fee was paid and the application was received by the Counter Clerk. We also could not ascertain the date and who received those Passports since no one signed and dated the forms as recipients.

Recommendation

The Director of Immigration and Nationality should investigate Yolanda Cassanova and other Officers' participation in the processing of those applications. The Director should then submit a report to the Police for further investigation.

ii) The former Director of Immigration and Nationality Ruth Meighan waived Passport expedite fees

83.5 We found on several occasions the former Director, Ruth Meighan, waived the expedite fees for Passports. We could not ascertain the section of the Passport Act that allowed her or any Director of Immigration and Nationality to waive Passport expedited fees. Examples are also shown at **Appendix Q**.

83.6 When Miss Meighan was asked in our 8th December 2014 interview, about her authority to waive expedite and damaged fees, Miss Meighan responded that when she went to Immigration Department, she learned that was the case. Miss Meighan further stated that it could be done in cases of urgent medical attention, (She went on to say that it came up in a discussion that they had no authority). As far as Miss Meighan could recall, she only waived fees for medical purposes.

She was shown several applications on which she wrote that the fees were waived. She responded by saying yes her signature was there. We noted that said applications shown to her did not have any letters attached which indicated that the applicants were in need of urgent medical attention.

83.7 The majority of applications for 2011-2012 did not have the date the Passports were received by the applicant or authorized persons. We discovered that this practice was done solely to hide the dates the Passports were actually received, which were often always before the due date. We understood from an interview on the 3rd March, 2014, with Omar Philips, a former employee of the Passport Section that Passports were not printed until a day before the due date or at times when there were not much to print. On certain days some Passports would be printed a day or two earlier than required. Therefore, it would appear that the dates the passports were issued were intentionally not recorded so as to conceal the unauthorized printing and issuing of Passports.. This practice occurring at the Passport Office was confirmed to us by senior Immigration Officer Therese Chavarria on 28th May, 2014.

Some recommenders did not endorse, sign and stamp the forms and photographs

Criteria

Section 7 (1) of the Passport Regulations SI 16 of 2013 stipulates:

" Every application for a Belize passport shall include a recommendation by a Class A recommender and a Class B recommender, who has known the applicant for a prescribed period, in the form set out as Form 3A and Form 3B in Schedule 1."

"The Class A recommender and the Class B recommender shall each endorse, sign and stamp, using the official stamp of his office, one photograph of the applicant or child in respect of whom the application is being made."

84. We found instances where recommenders did not endorse sign and stamp the forms and photographs as required by the above quoted regulation. **Table ZJ** lists such instances.

Table ZJ

The following is the list of recommenders who did not endorse, sign and stamp the recommenders' forms and applicants photographs

Name	Date Application filed	Reference Number	Remarks
Dalia Amanda Brkich	5/Aug/13	12	John Briceno didn't stamp the Recommender B form nor Passport picture
Theresa Louise Waight	14/Aug/13	62	Elvin Penner didn't stamp the Recommender B form nor Passport picture
Joanna Shirk	27/Aug/13	29	Elvin Penner didn't stamp the Recommender B form nor Passport picture
Elias Shirk	27/Aug/13	30	Elvin Penner didn't stamp the Recommender B form nor Passport picture
Ada Martin Shirk	27/Aug/13	40	Elvin Penner didn't stamp the Recommender B form nor Passport picture
Chiu Hsiu Lee	22/Mar/13	20	Endorsement on Passport picture wasn't visible
Ken Mao Lin	22/Mar/13	22	Endorsement on Passport picture wasn't visible
Lisbet Abigail Derey Diaz	5/Aug/13	20	Genaro A Nunez didn't stamp the Passport pictures as Recommender A.

Name	Date Application filed	Referenc e Number	Remarks
Allan Michael Romero	2/Aug/13	26	George Paul Boiton did not stamp the Passport picture as Recommender A. He is a Commissioner of the Supreme Court.
Sonia Elizabeth Rivera	2/Aug/13	2	Norbertha Pulido did not stamp the Passport picture as Recommender A.
Mia Michaela Rivera	2/Aug/13	18	Norbertha Pulido did not stamp the Passport picture as Recommender A. She is a Senior Justice of the peace.
Jarad Dwayne Wade	2/Aug/13	20	Raul Torres did not stamp the Passport picture as Recommender A. He is a Justice of the peace.
Eric Donovan Wade	2/Aug/13	23	Raul Torres did not stamp the Passport picture as Recommender A. He is a Justice of the peace.
Sharreth Ann Peyrefette	1/Aug/13	28	Wilbert Vallejos did not stamp the Passport picture as Recommender B. He is the Commissioner of Lands.

Name	Date Application filed	Reference Number	Remarks
Mya Vivica Gentle	4/Mar/13	29	Edward Hill didn't fill out the entire Recommender A form as the Justice of the Peace.

The majority of recommender forms 3B and photographs signed by teachers in 2013 did not have a rubber stamp with their names and or the names of the school of employment

Criteria

Section 7 subsections 5 of Passport Regulations, 2013 states:

“The Class A and Class B recommender shall each endorse, sign and stamp, using the official stamp of his office, one photograph of the applicant or the child in respect of whom the application for a Belize passport is being made, as follows-

“I certify that this is the true likeness of [insert name of applicant or child]

[Signature]

[Official Stamp]”.

85. We found that the majority of form 3B recommender forms did not have the rubber stamp of teachers' names imprinted thereon or the names of the schools where those teachers were employed.

Several individuals who were not authorized to sign off on form 3B were allowed to recommend applicants

Form 3B in Schedule 1 the Passport Application Form defines recommender B as:

Group B: A Member of the House of Representatives, Chief Executive Officer in any Ministry of the Government of Belize, Head of Department of any Department of the Government of Belize, or a Licensed Teacher. We observed some individuals who were not authorized to sign off on form 3B were allowed to recommend applicants. In one case an individual signed and purported to be a teacher. See **Table ZK** below.

Table ZK

The following is the list of individuals who signed form 3B as recommenders although they were not authorized to do so

Applicant	Date of Application	Name of Recommender	Recommender Category
Sylvia Yvonne Robinson	2 nd September, 2013	Melvin Hulse/ Former Representative	3B
Rodolfo Alejandro Castaneda	10 th May 2013	Melvin Hulse/ Former Representative	3B
Mark Sanchez	4 th September, 2013	Jennifer Idolly Henry, Second Class Clerk/ signed as a teacher and used a teacher's license number	3B
Emelda Habet	19 th September, 2013	Ector Silva/Former Representative	3B
Maria Lourdes Smith	14 th May, 2013	Dickie Bradley/Attorney	3B
William Alden Lindo	30 th May, 2013	Michael Peyrefitte/ Speaker of the House	3B
Chiu Hsiu Lee	22 nd March, 2013	Rick Shi (JP)	Recommended applicant twice. Also on 3A Schedule
Baha Ozgur	29 th May, 2013	Carolyn Charleyshe used the license number 200100066 belonging to Stephen Russel Lambert	3B

85.2 The acceptance of the Director of Immigration and Nationality of application forms with the aforementioned individuals' signatures is a violation of the conditions on Form 3B in Schedule 1 of the Passport Regulations.

Officers at Belize Foreign Missions did not always ensure that persons followed the Passport Application procedures when completing Passport Application Forms

Criteria

Section 7 subsection 3 of the Passport Regulations SI 16 of 2013 requires:

“An applicant who submits an application to a Belize Foreign Mission shall submit a sworn declaration, notarized by a notary public, in the form set out as Form 5 in Schedule 1.”

In addition, Page 2 of the Passport application under the section titled “For Belizean applying abroad at a Belize Foreign Mission” requires:

“In the case of a person applying abroad at a Belizean Embassy, High Commission, Consulate or Consular Agency, authentication must be by a (i) Registered Medical Practitioner, (ii) Registered Legal Practitioner or (iii) Registered Minister of Religion and must be accompanied by a sworn and notarized affidavit signed by the same person certifying the photographs as required per G under Documents to be Produced.”

86. We observed the following:

- i) An application form (number 27) dated 28th May 2013, submitted by Annie Marie Roast who applied for renewal of her Passport through London UK, Belize High Commission and who paid 120 pounds via receipt number 578417 dated 28th May 2013, (a copy of her old P0090119 issued 22.7.2008 expired 22.7.2013 was attached). However, we noted that her two Recommendations were done in Belize although the requirement for overseas application was for only 1 Recommender from where the applicant is residing. Recommenders A and B were Herman Joseph, Justice of the Peace, and Ivan Ramos Area Representative from Dangriga. There was no sworn notarized affidavit by the person who should have also certified the photographs. Furthermore, Melvin Hulse picked up her new Passport number P0241304 on July 10, 2013. There was no evidence in the files that a written authority from Annie Marie Roast was submitted for him to received said Passport.
- ii) Irregularities were observed with the Liu family who submitted their applications on 7th May 2013 to the London UK Mission. The names of the applicants and a description of the irregularities observed are outlined below:

Names of family members:

- 1) Bruce Liu (formerly Ching-Hua Liu)
- 2) I Wei Liu
- 3) I Hsuan Liu

- 4) Shu Jung Chiu Liu
- 5) I Ting Liu

Irregularities that were observed:

- a) Application forms were not properly filled in (Section 4 Declaration for I Wei Liu).
- b) Their address in Belize was “E6, Roaring Creek, Belmopan, Belize”
- c) The pictures of these individuals were not recent photographs as seen from the BPIS.
- d) One picture of the applicants was signed and stamped by an officer (Ms. Burns) at the Belize High Commission in London although she had no authority to do so.
- e) The expedite fee of \$50.00 each (see **Appendix Q**) paid in Belize on May 8, 2013 was for 3-5 days delivery and not for within 24 hours but Passports were printed and issued on the same day the 3-5 days expedite fees were received at the Belmopan Passport Office.
- f) Only a copy of the authority given to Bruce Liu was in the file and it was apparently signed in London and attested before Lou-Anne Burns on 7th May 2013 and stamped by the Belize High Commission in London. Mr. Liu could not have arrived from London and received Passports in 24 hours in Belize on May 8th, 2013.
- g) There was no flight itinerary attached to the application to show that Mr. Bruce Liu was here in Belize to collect the Passport as was observed for many applicants who came from abroad.
- h) There were also questions concerning the forms filled in at the London Mission through interviews with the applicants as they did not “recall” or were “unsure” of who their Agent was since their Nationality was issued through a Program. This information was seen on the consular office Passport application supplementary form attached to the Passport application form.
- i) Bruce Liu’s copy of the original nationality certificate attached to his application form was irregular: it was neither numbered nor dated and did not state where the certificate was issued. It was signed by what seemed to be an irregular signature of the current Prime Minister, Dean Barrow.
- j) His wife Shou Jung Chiu Liu had a replacement nationality certificate number 762/89 supposedly signed by Dean Barrow on 3rd March 1989 and replaced on 24th June 2008 by Director Gareth Murillo.

86.2 We did verification of the nationality certificate numbers and found the names of Bruce Liu and Shou Jung Chiu Liu under the Citizenship Programme section in the Citizen by Registration register. However no file numbers were quoted in the entries made for

them. We requested their files but up to the time of writing this report their files were not presented.

86.3 We did not have a clear understanding of the application requirements for applications coming from foreign embassies and missions. We saw interview questionnaire forms attached to applications from London but not from other Missions. This document was not a requirement in any Passport regulations or on the application form.

86.4 Applications were accepted by the Missions although said applications did not have the required recommenders. We also noted that several applicants were also recommended by Consul General California, Roland Yorke. (See **Table ZL** below and also at **Appendix J**). The post of Consul General was not included on the list of legal recommender.

Table ZL

The following is the list of applications which did not have the required recommenders

Name of Applicant	Date of Application	Application Origin	Recommender
Ahmad Ajlan	13-March-2012	UK	Recommender was Richard Croker/ Student Support Staff at UWE Bristol (not a legal Recommender)
Oliver Delroy Lopez Jr.	13-March-2012	California	Recommender was Roland Yorke, Consul General who did not complete the Recommender information with the applicant's name and the amount of years he had known the applicant
Karem Orema Meggs	Dec-2012	California	Recommender was Roland Yorke, Consul General who did not complete the Recommender information with the amount of years he had known the applicant

Name of Applicant	Date of Application	Application Origin	Recommender
Ashwinkumar Vrajdrakbhai Dhanak	19-June-2012	London, UK	Recommender was Rafau Khan, a Consultant who knew him for 10 years (not a valid Recommender) as under authentication of application it is required: “In the case of a person applying abroad at a Belizean Embassy, High Commission, Consulate or Consular Agency, authentication must be given by a registered Medical or Legal practitioner. Notary Public or Registered Minister of Religion.

Recommendation

The Director of Immigration and Nationality should ensure that:

A comprehensive and clear policy and guideline is created and implemented for all Passport applications and requirements at all Belize Embassies and Missions. This must be done with the input of the personnel from Ministry of Foreign Affairs and Foreign Missions who would be more aware of the challenges they face in the Passport application to issuance process.

Immigration officials did not always sign/initial on the space allocated on page 2 of the Belize Application form labeled ‘FOR OFFICIAL USE ONLY’

Criterion

Section III subsection 1 of the Stages in the Belize Machine Readable Passport Application and Issuance Process (BMRPAIP) states:

“The counter/process supervisor must ensure that each of the previous stages has been complied with thoroughly and that the form (front and back) and photo ID have been scanned and saved electronically.”

Subsection 2 of the (BMRPAIP) states:

“The supervisor must then sign on the assigned space, noting date and forward the file (application with supporting documents) to the Data Capture Operator (DCO) to continue to the next stage in the process.”

Subsection 3 of the (BMRPAIP) states:

“The application must NOT be allowed to proceed if all of the requirements in the previous stages have not been satisfied.”

Section IV subsection 1 of the (BMRPAIP) states:

“The DCO must first verify that the cashier and the counter clerk have both completed their assigned sections on the space marked “FOR OFFICIAL USE ONLY.”

Subsections 2 of the (BMRPAIP) states:

“The Data Capture officer must NOT proceed with the data capture and input if III – 1 above has not been complied with.”

Subsection 4 of the (BMRPAIP) states:

“The DCO must sign on the assigned space and set aside for onward submission to the Officer in charge (O/C) for the next stage in the process”.

Section V subsection 1 of the (BMRPAIP) states:

“The O/C must ascertain that the application has been vetted by the counter/process supervisor by ensuring that the form is duly signed on the space provided.”

Subsection 9 of the (BMRPAIP) states:

“The O/C must sign on the relevant space of page 2 of the application.”

Section VI subsection 1 of the (BMRPAIP) states:

“The Print Officer (PO) must ensure that all previous officers have signed off on the allocated space on page 2 of the application and must NOT proceed with the printing process if this has not been complied with.”

Subsections 5 of the (BMRPAIP) states:

“The PO must sign and keep the passport together with the application form and all other supporting documents for onward processing by the Quality Assurance operator.”

Section VII subsection of the (BMRPAIP) 1 states:

“The Quality Assurance operator (QAO) must ensure that all previous officers have signed off on the allocated space on page 2 of the application and must NOT proceed with the quality assurance process if this has not been satisfied.”

87. Notwithstanding the specific guidelines mentioned in the various sections of the (BMRPAIP), we found that officers did not sign on the allocated spaces on page two of the application forms, yet applications were allowed to continue to the Quality Assurance and Delivery stages in the application and issuance process. Audit views those infractions with grave concern as it shows that there were weak internal control measures during the stages of applying for and the delivery of Passports. Such instances were numerous at all levels of the process and very frequent on irregular applications as can be seen at **Appendix R**.

A number of application forms did not have the new Passport numbers issued to some individuals recorded thereon

Criteria

Section VIII of the Stages in the BMRPAIP Subsection 5 requires:

“The counter clerk delivers the passport to the applicant. They both sign and print their name on the space provided on page 2 of the application form, and record the date and new passport number thereon also.”

88. We found application forms that did not bear the new Passport numbers as required by Section VIII (5) of the Stages in the BMRPAIP. As a result of this neglect, the aforementioned quoted regulation was violated. **Appendix S** lists some examples of said violation.

Several Passport application forms had wrong Passport numbers recorded thereon

89. We found application forms which had wrong Passport numbers inserted thereon.

Examples of these are listed in **Table ZM** shown below:

Table ZM

The following is the list of application forms which had wrong Passport numbers inserted thereon

First Name	Last Name	Ref No	Wrong Passport Number in file	Correct Passport Number
Remberto	Cornejo	31/7/2013 # 52	P0243874	P0243272
Marcey Eleanor	Hyde	22/7/2013 # 41	P0244275	P0243275

Lizett	Tun	19/7/2013 #15	P0244323	P0244223
Rigel Michael Mashimba	Bowen	19/07/2013 # 42	P0243271	P0243270
Kiyana Kennice	Reynolds	09/07/2013	P0243379	P0243381
Gyannah Merie	Vasquez	04/07/2013 #85	P0243731	P0243741
Socorro Hyacinth	Awe	05/08/2013 #13	P0244203	P0244202

Recommendations

The Director of Immigration and Nationality must ensure that:

- (a) Officers sign on the allocated space provided on Schedule 1 page 2
- (b) Officers record new Passport numbers on all application forms
- (c) The recording of wrong Passport numbers is brought to the attention of officers as well as the need for them to take more care when recording Passport numbers on forms

There were many instances where Individuals other than the applicants received Passports without an authorization letter or failed to present identification documents when collecting Passports

Criteria

We expected to see that whenever it was not possible for an individual to personally receive his/her Passport, the individual then puts in writing his/her permission allowing another individual to sign for and receive such Passport on his/her behalf.

90. We examined and noted it was a common practice for individuals other than applicants for Passports to sign for and receive completed Passports without any written authority. This is not a good practice as it can lead to irregularities and fraud. An authority should have been written by individuals who were not able to pick up their Passports. This should have been attached to the application forms along with a copy of the identification card of the person who was authorized to receive the Passport/s.

90.2 Appendix T lists the individuals who received Passports without a written authority from applicants and did not present an identification document. Those individuals included officers from the Immigration Department as well as the former Director, Ruth Meighan.

90.3 In our 8th December, 2014 interview, former Director Miss Ruth Meighan was told that we observed that she had received Passports for a number of applications, but we did not see any written authority from those applicants attached to the Passport applications. She responded as follows

“I probably did. I may have done it for family.”

90.4 We asked her if she did not need a written authority and her response was:

“I was assuming that I was the Director so I could do it.”

90.5 We showed her two applications, one for Yo Lin and Noel Norman Flowers and asked her if they were related to her. She responded:

“Yo Lin lives with my sister in law and that is why I picked up her Passport without a written authority.

90.6 In respect of Noel Norman Flowers, Miss Meighan said:

“I do not know him. I may have picked up for persons I did not know on several occasions. I was asked by someone I knew although I did not know the applicant.”

Recommendations

The Director of Immigration and Nationality should ensure that:

- 1) There is a standard authorization form, utilized by the Department which is signed by the applicant and witnessed by a Justice of the Peace. This form would then be presented at the Department in cases where the applicants are not able to receive their Passports themselves.
- 2) All individuals receiving Passports on behalf of another person/s presents a valid picture identification card which is photocopied and attached to the relevant Passport form/s.

Floyd Emmanuel Neal gave conflicting reports to the Police and Immigration Department when he requested replacement of his lost Passport P180550

91. We found an application form in the name of Floyd Emmanuel Neal, whose date of birth was stated as 21st October, 1991 with his place of birth being Cayo District. According to the application form he submitted said application to renew his lost Passport on the 17th January, 2013 (the original date was written as the 18th January 2013, but was changed to the 17th January 2013).

91.2 We observed that “lost” was written on the top of the form and due date was “17th April 2013 with fee of \$85.00”. Further scrutiny of the application revealed that a copy of his birth certificate, his signed affidavit, along with two reports was attached to said application. One of the reports was from the Belize Police Department while the other was from the Investigation section of the Immigration and Nationality Department. While reviewing the aforesaid reports, we observed that Floyd Emmanuel Neal had made conflicting reports to the Police Department and the Investigation section of the Immigration and Nationality Department.

91.3 His sworn affidavit is shown below



K.50



I, FLOYD NEAL

do solemnly and sincerely declare that I am 22 YEARS
D.O.B 21/10/91 - BZEAN MECHANIC
OF Camalote Village, Cayo Dist.
REPORTED TO THE B.M.P. POLICE
STATION ON THE 22ND JULY 2012
I LEFT FROM Camalote Village
Belopan ENROUTE TO Camalote
Village - I had my BZEAN
PASSPORT IN MY PANTS POCKET.
AND WHEN I ARRIVE HOME
I COULD NOT FIND IT. I am

APPLYING FOR A NEW BZEAN PASSPORT

And I make this solemn declaration, conscientiously believing the same to be true;
and by virtue of the provision of the Oaths Act, Chapter 130 of the Laws of Belize, Revised Edition,
2000-2003.

F. Neal

Declared and subscribed at Belize City

This 17 day of Jan 2013
before me.

Errolly H. H. H.
Justice of the Peace
JUSTICE OF THE PEACE

91.4 The police report he submitted is shown below and states as follows:

BELIZE POLICE DEPARTMENT
POLICE REPORT

Floyd Neal, 22 years DOB: 21.10.91 Belizean Mechanic of Camalote Village, Cayo District visited the Belmopan Police Station and reported that on 22nd July 2012 he left from Belmopan en-route to Camalote Village where he had with him his Belizean Passport, serial number unknown, inside his pants pocket.

Complainant stated that when he arrived home he noticed that his passport was missing He made checks around his house and still could not find it.

He is now requesting a police report in order to re-apply for a new passport.



91.5 The investigation section of Immigration and Nationality Department report dated 18th April 2013 read as follows:

Contact Numbers

Home: _____
Work: 624-0850
Cell: _____



STATEMENT

Name: Floyd Neal Age: _____ D.O.B. 21/10/91 Race: Black
Recorded at (Place): Inv. Belmopan On (date): 18/4/13
Name of Recording Officer: Beverly Tillet

"This statement, consisting of () pages (s), each signed by me, is true to the best of my knowledge and belief, and that I make it knowing that it is tendered in evidence, I shall be liable to prosecution if I have willfully stated in it anything which I knew to be a false or do not believe to be true."

Signed: Floyd Neal

Witness Name: _____

Witness Signature: _____

States: Sometime around April or May 2012 around 8pm Police want to search my residence at Camalote Village. When the search was over the police detained me and took away my Belize passport for identification. When I was released after being charged and convicted for robbery I tried to obtain my passport but it could not be located. I was informed by Cpl Usher who was the officer who detained me along with my documents that my passport could not be located. I was then informed to go make a police report in respect to the lost passport. In order to obtain a new one. To date no one had call me or informed of the finding of my passport or any information reference to my passport.

Signed: X *Flora Neal*

Cont'd: On the 17th April 2013 was when I was informed about the finding of my passport and that it was tampered with.

91.6 We have brought his conflicting reports to light as a result of:

- A. No one from the Passport and Investigation Sections of the Immigration and Nationality Department noticed Floyd Neal's conflicting reports he made to the Police and the Immigration department.
- B. His lost Passport was reported in a news story aired on Channel 5 Belize on the 15th November 2013.

91.7 It was reported that an American fugitive by the name of Daniel Rae Morris had a bogus Belize Passport bearing the serial number P180550 which was issued to Floyd Emmanuel Neal. According to the news, the document itself was genuine in every way, except for the data page. All the information for Morris was accurate but it was in someone else's Passport. The news went on to state that the Immigration Department was on the lookout for said Passport in March 2013 when Morris was intercepted at the northern border with Mexico. It was reported that he was attempting to re-enter Belize from Mexico. According to the news, the investigation was ongoing. In addition, other lost or stolen Passport reports could have been similar to Neal's case and also went undetected by the Investigation section.

Appendix U shows Passport P180550 with the photograph of Daniel Rae Morris.

91.8 We could not ascertain the following:

- 1. How the Passport section failed to detect the two conflicting reports made by Floyd Emmanuel Neal
- 2. Why Mr. Neal's lost Passport was the only one investigated by the Investigation section of the Nationality and Immigration Department and attached to his application form when there were other instances of lost and stolen Passports (**Appendix U.1**)
- 3. Whether police reports were submitted to the investigation section for their information and necessary action and if so, how the conflicting reports made by Mr. Neal was not noticed by the investigation section
- 4. Whether there was an investigation and the status of such investigation concerning Floyd Emmanuel Neal's lost Passport

91.9 The BPIS application steps showed that the Data Entry was done by Romi Rosas on 17th January 2013, Sharon Flowers approved without biometric check on 18th April, 2013, Dornecia Robateau printed and Erwin Robinson QA override on the same date. We noted that Floyd Emmanuel Neal was issued a new Passport P0234834 on the 18th April 2013.

Daniel Rae Morris was found with Belizean Passport bearing the serial number P180550 which was issued to Floyd Emmanuel Neal

91.10 We noted that Daniel Rae Morris, American native of Connecticut USA applied for Belizean Nationality on 14th September 2012 and had BNA (Belize Nationality Act) file 29596/12. Further investigation revealed that Daniel Rae Morris had Belizean Permanent Residence Permit 34109/07 which was issued to him on 5th May 2007 by former Director, Gareth Murillo. His (PR) file was noted to be 21937/07. The documents in his file suggested that he first arrived in Belize on 28th November 2005 through the Philip SW Goldson International Airport. Prior to his PR status he had two previous work permits which stated that he was employed as a Supervisor but no work place was recorded on the permits.

91.11 An electronic spreadsheet labeled “Minister List 2013”, which was submitted to us by Mr. Gordon Wade, OIC Nationality Section showed Daniel Rae Morris’ address as 19 Riverside Street, Orange Walk. The list also showed the status of his BNA file indicating that he had applied for Belizean Nationality under Section 10 but his application was pending as he needed to submit the results of his Tuberculosis Test and undergo an interview.

91.12 Our perusal of his BNA File 29596/12 showed that he had already submitted a Police record and a medical record among other required documents. His affidavits from two born Belizean referees were not signed. We were unaware of any attempts made by the Commissioner of Police to arrest and prosecute Daniel Rae Morris. Daniel Rae Morris had in his possession a Belizean Passport to which he was not entitled and which had the number issued to Floyd Emmanuel Neal a Belizean by birth. However, we are aware of the requirements of the Belize Nationality Act Chapter 161 Subsection (2) which states:

“The Minister may refuse to register as a citizen of Belize any person referred to in that subsection if he is satisfied that the applicant-

(a) is not of good character; or

(c) has engaged in activities, whether within or outside of Belize, which, in the opinion of the Minister, are prejudicial to the safety of Belize or to the maintenance of law and public order in Belize...”

Numerous applicants did not present police reports or declaration form as required for Passports that were lost, stolen or destroyed

Criteria

The Passport Regulations 2009 and 2013, Page 2 Schedule 1 (Passport Application) states under

Documents to be Produced- If the applicant is:

a) “A Belizean by birth (born in Belize)

-birth certificate from the Registrar General’s Office (for... those replacing lost, destroyed, or stolen Passports)....

b) A Belizean by descent (born outside to Belizean parents but naturalized)

- (i) birth certificate from native country and

(ii) his/her naturalization by descent document or other evidence of Belizean nationality,

c) A Belizean by Registration (born outside Belize but has become a citizen through naturalization) – (i) native birth certificate or Passport and (ii) his/her Belize nationality certificate.

For the applicant whose previous Passport is now unavailable for submission (be it destroyed, lost, or stolen) a statutory declaration attesting to its’ particulars and the reason for its unavailability along with a report from the Police indicating that the incident leading to the unavailability has been recorded are to be submitted.....”

In addition, Section 7 subsection 4 of Passports Regulations SI 16 of 2013 requires

“ An applicant who has been previously issued a Belize Passport and that Passport has been lost, stolen or mutilated shall submit a sworn declaration in the form set out as Form 6 in Schedule 1:

The statutory declaration, only, is also a requirement for those whose Passport is available for presentation but has become damaged.”

92. We found that not all applicants presented a police report or declaration form as required for Passports that were lost, stolen or destroyed as **Table ZN** below shows. **Appendix U.1** lists other applicants who reported their Passports lost, stolen or destroyed by fire.

Table ZN

The following is the list of applicants who did not present a police report or declaration form as required for lost, stolen or destroyed Passports

Name of Applicant	Application Date	Reason for Renewal	New Passport Number	Issue Date
Ulmar Gregory Luigi Palacio	14-Feb-12	Lost Passport	P0193885	5-March-2012
Mariano Roger Dominguez	13-Jun-11	Lost Passport	P0176414	16-Aug-2011
Ana Lilia McNabb	28-Mar-12	Lost Passport	P0200683	02-Jul-12
Elia Renee Quiroz	01-Mar-12	None stated but lost/ damaged fee was paid applicant was given the 3 month due date but Police report was not presented	P0203364	01-Jun-12
Noel Norman Flowers	12-May-11	Lost Passport	P0193800	02-Mar-12
Juana Isabel Garcia-Saqui	14-Dec-11	Lost Passport	P0188360	19-Dec-11

Passports were issued before Nationality by Descent was approved

Criteria

The Passport Regulations 2009 and 2013, Page 2 Schedule 1 (Passport Application) states under

Documents to be Produced- If the applicant is:

- b)” A Belizean by descent (born outside to Belizean parents but naturalized)*
- *(i) birth certificate from native country and*
- (ii) his/her naturalization by descent document or other evidence of Belizean nationality”*

93. We noted a few instances where Passports were issued to individuals before their nationality certificates were signed and issued. We found on some Passport applications

for renewal of Passports the issue dates of the Passports were before the dates written on the nationality certificates. Those are listed below at **Table ZO shown below.**

Table ZO

The following is the list of individuals who's Passports were issued before their nationality certificates were signed and issued

Name	Date of Application of Nationality Certificate	Old Passport Number	Issue Date of Old Passport	Nationality Certificate number
Andrea Claire Taegar	Sept-25-2013	272307 & 113572	15-July-2003 and 28-June-1993	(change around September 25, 2013 (1684/1/13 Vol. X (35)
Dahlia Isabella Castillo	May-02-2013	P0081355	4-Apr-08	May-28-2008 (1684/1/08 Vol.III (38)
Vernon Charles Leslie	May-14-2013	P0099745	15-Dec-08	May-16-2013 (1684/1/13 Vol.V (7)
Norma Veronica Sutherland	May-23-2013	0268242	23-May-2003	April-9-2013 (1684/1/13 Vol IV (8)
Lorenzo Antonio Manzanero	May-29-2013	138220	5-Mar-95	Dec-16-2009 (1684/2/09 Vol. V (2)
Julio Cesar Carranza	6-Dec-2011	0223368. It was not attached to the application form. (Possibly fraudulent Passport)	23-April-00 (If Passport was issued he could not have received Passport before Nationality)	12211/00 dated 10-Oct-2000 (Name and date in Register is for Julian Carranza who had no children listed)
Josette JabbourAfif	9-March-2012	0260567	29-Aug-2002	1684/1/12 Vol II (56) dated 9-March-2012

Name	Date of Application of Nationality Certificate	Old Passport Number	Issue Date of Old Passport	Nationality Certificate number
Fayemarie Anderson Carter	5-March-2012	0120930	12-May-1995	1684/1/12 Vol II (53) dated 5-March-2012
Jose Javier Espejo	22-March-2102	0248948	12-March-2002	1684/1/12 Vol. III (25) issued 23-March-2012
Kathryn Ann Faria	20-March-2012	P0054451	9-March-2007	1684/1/12 Vol. III (12) issued 20-March-2012
Ana Francisca Ayala	5-May-2011	Manual number 0172803 on application/ number 0172883 written by Counter Clerk	10-Aug-1992 on application/ 19-Aug-1992 written by Counter Clerk	3334/92 dated 21-Dec-1992 on application/ 3334/92 dated 29-Dec-1992 in Register issued to Ana Ayala Gabriel
Tafaree Rhojan Sanchez Jairo Reyes	22-March-2012	Manual 0289908	7-Dec-2004	1684/1/12 Vol 3 (27) dated 23-March-2012
	23-Dec-2011	Manual 0193196	31-March-1999	1684/1/94 (408) dated 22-Nov-2010

Several Passports on the BPIS that were presented for renewal were spoilt instead of revoked by the OIC

94. We saw several Passports presented for renewal that were not valid on the BPIS. We examined the feature “Stock Item Report” of the BPIS and found that the Passports were spoilt instead of revoked by the OIC Passport section.

We also observed that the date that said Passports were spoilt was on or near the date the applicants had brought those Passports for renewal. We did not see those Passports recorded as spoilt among the cancelled Passports. Said Passports with the exception of one, P0182790, (one of the two that was in the name of Roberto Wiyi Yang) were

presented for renewal and the individuals were issued new Passports. Such instances are described below at **Table ZP**:

Table ZP

The following is the list of individuals whose previous Passports were recorded as spoilt in the BPIS yet those Passports were the ones that they presented for renewal.

Name and date of Application	Spoilt Passport Number	Issue and Expiration Date	Stock Item Report	BPIS Date Spoilt	New Passport Number and Issue Date
Zaida Melany Hernandez (6-March-2012)	P0127074	9-March-2010 to 8-March-2020	Spoiled	27-March-2012	P0196414 dated 30-March-2012
Yanori Castillo (16-March-2012)	P0052137	3-Feb-2007 to 2-March-2012	Spoiled	3-April-2012	P0197529 dated 12-April-2012
Jose De La Cruz Jiron (23-Sept-2013)	P0146382	4-October-2010 to 4-October 2020	Spoiled	23-Sept-2013	P0247613 dated 23-Sept-2013
Roberto Wiyi Yang (12-Dec-2011) Nationality Certificate information not seen in the Register	P0183347 (picture of a picture) and P0182790 (picture of a picture received by Audit as cancelled) Applicant appeared not to have gone into the Passport office	31-Oct-2011 to 30-Oct-2021	Both Spoiled	P0182790 and P0183347 were spoiled on 12-Dec-2011	P0188025 dated 12-Dec-2011 (Applicant appeared to have gone into the Passport office)

94.2 We noted as was reported earlier at paragraph 45, in most cases all those Passports were renewed before the expiration dates. We could not ascertain why the above listed Passports were recorded as “spoiled” while their previous applications in the BPIS were recorded as “Denied”.

94.3 We also could not ascertain why those “spoiled” Passports were not retained by the Passport Office.

The relevant records reflected that the two Passports issued to Roberto Wiyi Yang were recorded as spoilt on the same day

95. The application for Roberto Wiyi Yang who appeared not (as seen on the BPIS) to have presented himself to a Passport Office or foreign mission was received by the Passport Office on 18th October, 2011. Passport number P0183347 was received on 21st October, 2011 by an unknown person. We found on the Passport issued list, that P0183347 was printed on the 31st October, 2011 (some ten days after it was picked up). Passport number P0182790 was also listed on the application form and both Passports were spoiled on 12th December, 2011. The applicant apparently did not present himself to the Passport Office until his next application on 12th December, 2011, when he claimed that his Passport P0183347 “got wet”.

95.2 We could not ascertain why the two Passports printed in the name Roberto Wiyi Yang on two different days were both spoiled on the same day 12th December, 2011.

128 Passports recorded as canceled and spoilt were not presented to Audit

96. In his aforementioned memo GEN/13/01/08 (45) dated 15th September 2008 (paragraph 24), the then Director Gareth Murillo mentioned that Passports described in the BPIS as “spoilt” could have been issued to individuals for fraudulent purposes. This was confirmed and seen by Audit as was the case of David So, Passport P0063539 spoilt 12th July, 2007. This finding on David So was reported earlier in this Report.

96.2 After a comparison exercise by Audit using the Passports presented as cancelled, spoilt and misprinted with the electronic list of Passport numbers generated from the BPIS (by Mr. Gonzalez from CITO), we found that 128 Passports were missing as outlined at **Table ZQ**.

Table ZQ

The following is list of cancelled, spoilt and misprinted Passports that were not presented for verification

Number of Passports not Presented to Audit	Description of Passports in Electronic Lists
5	Cancelled Passports
123	Spoiled Passports
128	TOTAL

96.3 A complete list of those Passports can be seen at **Appendix V**.

Misprinted Passports Irregularities

97. We noted several irregularities on the record of Misprinted Passports during the period investigated. In the majority of those instances of misprinted Passports the corresponding Passport applications were not presented to Audit as can be seen at **Appendix V.1**. There were also several persons who were issued more than one Passport on the same day in their name. We did not find those Passports among the cancelled batch presented to Audit.

Passports P0168264 and P0168265 in the name of Orlando Enrique Trejo

98. We saw two Passports P0168264 and P0168265 which were misprinted on 9th June 2011 in the name of Orlando Enrique Trejo. No application form was presented to us for this individual. We saw those two Passports on the issue list as having been issued to Orlando Enrique Trapp. If there was a misprinted error, at least one of those Passports should have been among the cancelled Passports. This however was not the case as none of said Passports were found among the cancelled Passports.

Passports P0226389 and P0226390 in the name of Graceila del Rosario Buenfil

99. No Passport application was presented to Audit for Graceila del Rosario Buenfil, yet we saw that two Passports P0226389 (misprinted) and P0226390 (issued) were printed in her name on the 14th January, 2013. As with Orlando Enrique Trejo, we did not see any of those Passports among the cancelled batch. As was with the case of Orlando Enrique Trejo, none of those two Passports were found on hand as should be the case when a misprinted error occurs and since the record did not show that said Passports were issued to anyone else.

Passports P0247752 and P0247753 in the name of Rosa Berta Pelayo

100. A Passport application form was received for Rosa Berta Pelayo in respect of P0247752 which was seen as misprinted on 26th September 2013. The Passport issue list also showed that Rosa Berta Pelayo was issued P0247753 on said 26th September 2013.

This list also recorded that P0247752 was issued to Irma Elizabeth Galdamez on said date who was also issued P0247751. We received her application.

100.2 None of those Passports issued to Rosa Berta Pelayo (P0247752 and P0247753) were found among the cancelled Passports presented to Audit. P0247753 appeared to be the missing Passport since the Issue List did not record that it was issued to anyone else.

Other Irregularities observed with names and Passport numbers on the Misprinted list

Passports P0189310, P0191709 and P0206238 in the name of Edmond Lloyd Panton

101. Passport P0189310 with issue date 10th January 2012 was seen on the Misprinted list as issued to Gelder Estuardo Gamboa. The Passport Issue List also recorded P0189310 as having been issued to Edmond Lloyd Panton (3 spaces between first names) on 25th January 2012 and to Monica Christie Prevett (3 spaces between first names) on 8th February 2012. No other Passport was seen as issued to Monica Christie Prevett .

101.2 No Passport application was presented to Audit for those three aforementioned persons, to ascertain which one was issued P0189310. Further searches on the Passport Issue List revealed that Edmond Lloyd Panton (3 spaces between first names) was also issued P0191709 on 7th February 2012 and P0206238 (2 spaces between first names) on 29th June 2012. No Passport application was seen by Audit for any of the three Passport numbers recorded as issued to Edmond Lloyd Panton and none of these Passports were found among the cancelled batch of Passport presented to Audit.

101.3 Gelder Estuardo Gamboa who was also recorded as issued P0189310 with issue date 10th January 2012 (2 spaces between first names), was also recorded as issued P0190204 on 20th January 2012. No Passport application was seen for those two Passports and said Passports were not found among the cancelled batch of Passport presented to Audit.

101.4 Since Misprinted Passports could have been re-issued to the same person or to another as we were told by former OIC Passport Section, Ms. Therese Chavarria, time was limited for us to ascertain the number of misprinted Passports that were not re-issued and that should have been among the cancelled batch of Passport presented to Audit.

101.5 The Director of Immigration and Nationality should be aware that such Passports may have found its way into the wrong hands for fraudulent purposes.

Recommendations

The Director of Immigration and Nationality should ensure that:

- i. The whereabouts of those missing Passports are investigated by the Commissioner of Police and the Auditor General is made aware of the findings.
- ii. The system of handling cancelled, misprinted and spoiled Passports should be revised so that the conditions of those Passports are unusable and worthy of destruction in order that they are not pilfered and rerouted for fraudulent use.
- iii. Someone other than the officers of the Passport section regularly examines the physical condition of those Passports and certifies in writing that said Passports were unusable.
- iv. Frequent checks and reconciliations are carried out to verify that what is genuinely cancelled, misprinted and spoiled is received by the Finance Officer for final destruction.
- v. Officers are more vigilant in the processing and printing of Passports to minimize errors and wastage of the Department's resources.

Some applicants were allowed to have recommenders from other Districts while the same privilege was not afforded to others

102. We observed that applicants Kiana Allay McDougall and Franklin Armstrong McDougal Jr, residents of Sittee River had their applications endorsed by JP Martin Cal. However, Martin Cal wrote on the form that the applicants needed to get a recommender from Stann Creek or Sittee River. The Counter Clerk, Theresita Cooch, complied with the JP's note and the applicants had to return to Sittee River for a JP from their village. **Table ZR** below lists a few examples of applicants who were allowed to have recommenders from other areas of Belize where they did not live.

Table ZR

The following is the list of applicants who were allowed to have recommenders from other areas other than where they live

Name of Applicant	Applicant's Address	Recommender's Name	Recommender's Address
Saad Mohamad Harmouch	Pescador Drive, San Pedro	Florentino Biaza JP	Cotton Tree

Leomar Eugene Leslie	Pt. Placencia	Emory Young JP	Belmopan
Jun Zhang	16-6 th Street North, Corozal Town	E. Samuel Cocom JP	Succotz Village
Francis Edward Wagner	Independence Village	Florentino Baiza JP	Cotton Tree Village
Khubchand Vanjani	6 th Street South, Corozal	Ruth Meighan, Director Immigration and Nationality	Belmopan

Ruth Meighan former Director of Immigration and Nationality recommended several Passport applicants in her capacity as Director

103. We also observed that former Director of Immigration and Nationality, Ruth Meighan recommended several Passport applicants in her capacity as Director, which is an unethical practice since she was the Head of Department Immigration and Nationality who is authorized to examine applications and issue Passports. That situation could have created a conflict of interest as in several cases observed, she also received the Passports without any authority to do so and the applicants did not pay the required expedite fee. An example is shown at **Table ZS** below while other examples can be seen at **Appendix Q**.

Table ZS

The following is the example of where former Director Ruth Meighan recommended, waived expedite fee and picked up an individual's Passport

Name of individual	Application form date	Recommender	Comments on application form	Recipient signature	Passport number issued
Yo Lin	5-12-2011. Sections 3 and 4 of her application was not filled in.	Ruth Meighan (name of applicant and the number of years known were not	Ms. Neal Pl process for Friday 9-12-11. Her initial R Meighan	R Meighan	P0187417 issued 9.12.2011.

		stated)	dated 5-12-11 emergency fee waived		
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103.2 In our 8th December 2014 interview, Miss Meighan was asked if she saw it as ethical to recommend applicants for Passports as the Director to which she responded that she did not process the applications and her recommendations depended on whether or not she knew the person. She did not see anything wrong since she had nothing to do with the processing.

Recommendations:

The Director of Immigration and Nationality should ensure that:

- i. A policy is created, implemented and publicized to address requirement for recommenders for Passport applications. It is inequitable for applicants from one District to be rejected for having recommenders from other Districts when other applicants are allowed to do so.
This policy would address the geographical limitations of Recommenders in order that applicants who come from other areas of the country are not denied and have to undergo unnecessary travel expenses and delays.
- ii. In the future Directors of Immigration and Nationality excuse themselves from signing as recommenders for Passport applicants.

Some applicants frequently renewed Passports before the six months expiration period Passport

Criteria

Section 9(2) of the Passport Regulations SI 16 2013 states:

"A person who intends to travel within the six months prior to the date on which his Belize Passport expires may submit his Passport for cancellation and apply for a new Passport within that period."

104. We found many instances where applicants applied for and were issued Passports even though their previous Passports had more than the six months before the expiry date that would have qualified them to submit said Passports for cancellation and apply for a new one. The issuance of those Passports before the stipulated time frame is contrary to the Passport Regulations. See **Appendix W for examples.**

Some applicants frequently renewed Passports before the allowed time without providing any reason(s)

105. An examination of the BPIS revealed that many applicants renewed Passports consecutively before the time required by the regulations. We found three irregular cases where applicants consistently renewed their Passports before the expiry date and had more than one Passport at “Delivered” or “QA” status. Those occurrences, of which there could be many more, were troubling as we were aware that Passports issued can be fraudulently re-printed with another person’s biographic information, as in the case of Floyd Emmanuel Neal (reported at paragraph 91.7 above) or can be issued blank while the BPIS record is misrepresented to show someone’s biographic information. There was no indication on any of the Passport applications that any investigation was done into those frequent early renewals of Passports.

Jian Xing Chen renewed his Passports before the expiry dates

105.2 Jian Xing Chen’s address was stated as 1453 George Price Drive, Dangriga. He had a very unusual history on the BPIS of renewing four Passports before the normal time of which two were still at delivered status in the BPIS. He was first issued machine readable Passport P000444 on 27th April, 2005 which expired 26th April, 2010 and had the irregular processing of the former Officer in Charge of Passport Section, Ady Pacheco, who approved, printed and did the Quality Assurance on the Passport in addition to three misprinted errors. He presented Passport P000444 for renewal on 31st August, 2006 and was issued Passport P0042404 on said date with expiry date of 30th August, 2011 which we saw at revoked status.

105.3 In 2007 the applicant renewed Passport P0042404 and received Passport P0069165 with the issue date being 2nd October, 2007, expiry date 1st October, 2012. Said Passport P0069165 was renewed in 2010 and he was issued Passport P0135524; issue date 7th June, 2010; expiry date 6th June, 2020 (Revoked status).

105.4 He returned in 2012 to renew Passport P0135524 and was issued Passport P0191308, which was at “delivered” status, issued date 2nd February, 2012; expiry date 1st February, 2022. All Passports were received on the same day of application.

His nationality certificate number 8652/98 dated 7th July, 1998 (seen in the Register), indicates that he was still 17 years when he apparently got a certificate in his own name. His file was requested 19th June 2014; however up to the time of writing this report it was not presented.

Carlos Arnaldo Segura renewed his Passports before the expiry dates

105.5 Carlos Arnaldo Segura had his address as number 31 Church Street, San Ignacio with his application made on 9th March, 2012 for renewal of Passport P0121349 which was issued on 15th December, 2009 with expiry date 14th December, 2019. He was issued Passport P0196479 on 3rd April, 2012 with expiry date of 2nd April 2022. A search of the BPIS showed that several Passports were renewed before time and were at “Delivered” and “QA” status.

105.6 The first Passport on the BPIS, P0041719 was at “Delivered” status and was issued in 2006 which should have expired in 2011. However it was renewed before time with Passport P0121349 in 2009. Said Passport P0121349 should have expired in Dec 2019, but it was renewed in April 2012 with Passport P0196479. In 2013, he renewed Passport P0196479 (which was seen at revoked status) and received Passport P0240914 issued 5th July, 2013, which was at QA passed. He is a born Belizean.

Wen –Shin Chou renewed her Passports before the expiry dates

105.7 Wen –Shin Chou presented an application on 9-May-2013 for her renewal of Passport P0124933 issued 10th February, 2010 with expiry date of 9th February, 2020. A search of the BPIS showed that she had renewed two Passports before time claiming that they were lost. She was previously issued Passport P0066630 with issue date 8th August, 2007 and expiry date of 7th August, 2012. Passport P0124933 was listed as issued 10th February, 2010 with expiry date of 9th February, 2020, which she claimed was lost and she was issued Passport P0239705 with issue date 21st June, 2013 and expiry date of 20th June, 2013.

105.8 All three Passports were at “Delivered’ Status in the BPIS when the first two should have been revoked. There was no indication that this applicant’s history of renewing Passports before the expiration time for whatever reason was reviewed by the Investigation Unit. We also noted that she had an irregular nationality certificate which will be reported in the Nationality report.

105.9 In our interview with former OIC Passport Office Sharon Neal Flowers on 25th June, 2014, she said that some people were frequent travelers and the Passport pages may not have been enough. Moreover, some borders points use huge stamps and the pages may have been exhausted very quickly. We informed her that on many occasions, there was no indication that the applicant’s Passport was exhausted.

Recommendation:

The Director of Immigration and Nationality must ensure that further investigation is carried out to determine whether those individuals renewed their Passports genuinely.

Several Chinese nationals who got Citizenship renewed their Passports in one year or after a couple months, claiming that the Passports were lost, stolen or got wet

106. This occurrence was so frequent that it appeared as a ploy to receive more than one Passport or several blank Passports, as we discovered was possible. Although the BPIS stated that the previous “lost” or “wet” Passport had been “revoked”, that status could have been misleading as Audit also found that the “QA” passed status did not mean that the Passport was still with the Passport Office. Those examples are also seen below at **Table ZT** below. **Table ZT**

The following is the list of individuals who renewed their Passports before the allowed time for various reasons

Name of Applicant	Date of Application	Previous Passport Number	Issue Date and Expiry Date	New Passport Number and Issue Date	Reason for Passport Renewal Before six months and Observations
Yongge Dai	28-Mar-12	A007587	13-July-2004-14-July-2014	P0196321 issued 29-March-2012	Stolen Passport/ Applicant did not appear in person
Xiaobo Ji	05-Nov-12	A007572	7-July-2004-7-July-2014	P0221686 issued 5-Nov-2012	Stolen Passport/ Applicant did not appear in person
Roberto Wiyi Yang	12-Dec-11	P0183347 (applicant did not appear in person)	31-Oct-2011 -30-Oct-2021	P0188025 issued 12-Dec-2011	Applicant declared that the Passport got wet; applicant appeared to have come in

Name of Applicant	Date of Application	Previous Passport Number	Issue Date and Expiry Date	New Passport Number and Issue Date	Reason for Passport Renewal Before six months and Observations
					person for P0188025
Chuang Sheng Rong	27-Nov-12	Applicant claimed Passport was stolen when vehicle was burglarized P0188469	20-Dec-2011 – 19-Dec-2021	P0224351 issued 12-Dec-2012	Applicant was named Chuangsheng Rong on P0188469 issued 20-Dec-2011 and on P0053030 issued 21-Feb-2007. The Passport Office facilitated applicant with a change of name to Chuang Sheng Rong on new Passport. No deed poll was seen.
Freakin Yang (Passport lost was in the name Tianzhi Wang)	25-May-2012	Applicant claimed Passport was lost P0185786	25-Nov-2011 to 26-Nov-2021	P0202374 issued 25-May-2012	Applicant applied for another Passport in the name Freakin Yang without

Name of Applicant	Date of Application	Previous Passport Number	Issue Date and Expiry Date	New Passport Number and Issue Date	Reason for Passport Renewal Before six months and Observations
					presenting a deed poll (he had a police report of lost Passport and a declaration form
Weiquin Zhu	23-Oct-2012	P0172624	14-July-2011 to 13-July-2021	P0220971 issued 23-Oct-2012	Applicant submitted Police Report and Declaration form for lost Passport
Liang Zhang	8-March-2012	P0188884 (applicant appeared not to have appeared in person) picture appeared as a picture of picture on the BPIS	4-Jan-2012 to 3-Jan-2021	P0193935 issued 9-March-2012 (applicant appeared to have come in person)	Applicant submitted a declaration form stating Passport got wet/ fraudulent Nationality certificate number 17450/03 dated 8 th February 2003. No entry in the Register of Citizens by

Name of Applicant	Date of Application	Previous Passport Number	Issue Date and Expiry Date	New Passport Number and Issue Date	Reason for Passport Renewal Before six months and Observations
					Registration.
Yong Guang Lin	19-Dec-2011	P0180348 (applicant appeared not to have appeared in person) picture appeared to be a picture of picture on the BPIS	20-Sept-2011 to 19-Sept-2021	P0188427 issued 20-Dec-2011	Applicant claimed that his Passport got wet/ Nationality Certificate number 15656.2/02 was irregular and fraudulent. This certificate is for Jing Yun Lin with no children listed. He also renewed irregular Passport A004435 issued 8 th February 2002 on 14-Sept-2011 (Appendix D.4). His biographic page was not stamped by the Belmopan office and did not state the

Name of Applicant	Date of Application	Previous Passport Number	Issue Date and Expiry Date	New Passport Number and Issue Date	Reason for Passport Renewal Before six months and Observations
					place of issue.
Jing Zhang Huang	7-Feb-2011	P0150413 (applicant did not appear in person) picture appeared to be a picture of picture on the BPIS	26-Nov-2010 to 25-Nov-2020	P0157102 issued Feb 2011	Applicant claimed that her Passport was lost with an Asian document number 0001987. It was labeled "Confirmation of reporting the loss of Passport. It had imprinted on it a wet rubber stamp with Asian writing and dated 2011.02.05 thereon.
Fong Que Yang	3-April-2012	P0184477(ap applicant did not appear in person. Picture appeared to be a picture of picture on the BPIS	14-Nov-2011 to 13-Nov-2021	P0196724 issued 3-April-2012 (applicant appeared to have come in person)	Applicant paid \$135.00 as seen on BPIS; applicant claimed Passport was either lost or damaged.

Name of Applicant	Date of Application	Previous Passport Number	Issue Date and Expiry Date	New Passport Number and Issue Date	Reason for Passport Renewal Before six months and Observations
Zizhen Niu	13-June-2011	P0166565 Applicant did not appear in person. Picture appeared to be a picture of picture on the BPIS	20-May-2011 to 19-May-2021	P0168978 issued 13-June-2011 (applicant appeared to have come in)	Application form for P0168978 was not presented but seen on BPIS.

106.2 Appendix W is the lengthier list of Passports that were renewed before time for various reasons during the period investigated. **Appendix W** does not include all Passports that applicants stated got wet or were lost, stolen or damaged which may have also been renewed before their expiry date. There seemed to be a common trend for several Asian natives to declare that their Passports got wet or were stolen even though it appeared that they had not appeared at a Passport Office for the previous Passports that were reported wet or stolen, but appeared in person for the replacement Passports.

106.3 It is to be noted that the issuance of those Passports before the stipulated time frame is contrary to section 9 (2) of the Belize Passport Regulations 2013.

Recommendations

The Director of Immigration and Nationality should ensure that:

- (a) Passports are not renewed which have more than six months before the expiry date as stipulated in section 9 (2) of the Passport Regulations 2013
- (b) If it is necessary for a Passport to be renewed before the six months period the reason/s be stated and attached to the relevant application forms
- (c) Said Passports are cancelled and revoked in the Machine Readable Passport System
- (d) Spoilt, misprinted and cancelled Passports are physically cancelled so that they are unable to be re-used in order that said Passports do not fall into the wrong hands and be presented at the Passport Office to be renewed in exchange for legitimate Passports.

- (e) More supervision over the “spoilt”, “misprint” and “cancel” mechanism for Passports in order to ensure that those Passports are not re-issued fraudulently and then brought back in order to be renewed or for other purposes.
- (f) The Finance Officer is more vigilant in ensuring that all Passports that have been misprinted, cancelled or spoilt are actually received and are kept in a safe location until they have been verified by Audit for destruction.
- (g) The Commissioner of Police investigates all cases of lost, stolen and destroyed Passports. We were aware that they prepared police reports but based on the conflicting statements, in some cases, in these reports and the declaration statements made by applicants, we were not satisfied that any investigation was done to determine whether the applicants had genuinely lost their Passports by way of theft, fire or other means.
- (h) All cases of lost and stolen Passports are reported to Interpol through the Commissioner of Police in order for them to enter in the International Database for the vetting of travelers’ records at airports from around the world.
- (i) The Investigation Unit is tasked to assist in determining the genuineness of those reports stated above.

There were applicants who renewed lost, stolen, destroyed Passports and received new Passports before the required time

107. The internal unwritten policy for lost, stolen, destroyed Passports to be replaced was after 3 months in 2011 and 2012 as we saw on application forms and was confirmed to us by former OIC/Passport Section, Sharon Neal Flowers in an interview on 11th June, 2014. There should have been no expedited Passports to replace lost, stolen or destroyed Passports. The applicants who received a renewal of stolen, lost and destroyed Passports before the required 3 months are listed at **Table ZU** below.

Table ZU

The following is the list of applicants who received new Passports before the required three months as required by Immigration and Nationality

Name of Applicant	Application Date	Approval to Expedite	Due Date Recorded on Application	New Passport Number	Issue Date
Amaris Enid Contreras	29-Dec-11	Former Director, Ruth Meighan	29-Dec-11	P0188713	29-Dec-11

Name of Applicant	Application Date	Approval to Expedite	Due Date Recorded on Application	New Passport Number	Issue Date
Noel Norman Flowers	14-Dec-11	None	None	P0193800	former Director Ruth Meighan received on 02-March-2012
Juana Isabel Garcia-Saqui	14-Dec-11	None	None	P0188360	19-Dec-11
Darielli Simira Groutsche	07-Dec-11	None	None	P0188472	21-Dec-11
Reina Isabel Hernandez	07-Dec-11	None	None	P0193797	02-Mar-12
Juliancito Crucito Hernandez	09-Dec-11	None	08-Mar-12	P0193843	02-Mar-12
Keith James Saqui-Garcia	14-Dec-11	None	None	P0188377	19-Dec-11
Steven Edward Wade	12-Dec-11	None	12-Mar-12	P0188694	29-Dec-11
Ian Ruben Requena	28-Mar-12	None	None	P0075045	28-Mar-12
Yolanda Emilia Torres	19-Mar-12	None	15-March-2012 erroneous date	P0196339	29-Mar-12
Francis Edward Wagner	22-Mar-12	None	18-Jun-12	P0197904	19-Apr-12

107.2 We noted that for 2013 a written policy existed under section 9 (3) of the Passport Regulation SI 16 of 2013, which stipulated the time frame of six weeks in respect of the replacement of Passports reported lost, stolen or destroyed.

No prescribed fees were set for Passports applied for at the Belizean Embassies abroad

108. We saw that there was no prescribed fee set for Passports at the Belizean Embassies abroad as reported below:

A) Republic of China, Taiwan

108.2 On the Passport application form for Caylah Yang dated 17th May, 2012 we saw attached an Embassy of Belize in the Republic of China receipt 007065 dated 17th May, 2012 in the name Caylah Yang for a total of (New Taiwan Dollar) NT\$7,800.00 as follows: Passport Renewal NT\$5,000.00 and Administrative fee NT\$2,800.00 being payment for Passport renewal and signed by the Cashier (unknown signature).

108.3 When converted to Belize Dollars NT\$5,000.00 is Belize \$325.00 for a Passport “renewal” application and NT\$2,800.00 is Belize \$182.00 for administrative fee in Taiwan.

108.4 We were unable to obtain a list of Passport fees for the various Belize Embassies abroad. We could not ascertain the reason for the difference in fee charged to renew a Passport in Taiwan.

B) Belize High Commission, London UK

108.5 We observed several Passport application forwarded by the Belize High Commission in London for which Passport renewal fee was British £110.00, for example: application for Bruce Liu dated 7th May, 2013 through London for which British £110.00 was paid via revenue collectors receipt 487993 dated 7th May, 2013 and signed by the Cashier, Belize High Commission in London. The conversion of British £110.00 to Belize Dollars is \$354.84 for the renewal of a Passport in London.

C) Los Angeles, California

108.6 We also saw on an application submitted from Los Angeles, California for Lee Anthony Whittieker dated 16th September, 2013 for the renewal of Passport number 02388050 issued 10th July, 2001, the copy of revenue collectors receipt 985050 dated 16th September, 2013 for \$100.00 attached to the application. There was no indication as to whether the fee was United States or Belize Dollars.

108.7 Another application submitted from Los Angeles, California was for Noel Norman Flowers dated 14th December, 2011 for the renewal of lost Passport (number and issue date unknown). The applicant paid \$150.00 via receipt 422091 dated 14th December, 2011 (no indication if it was United States or Belize Dollars) being “lost Passport application” and signed by the Cashier a “C. McKenzie). Recorded on the application form in the space provided for the amount paid was \$85.00 paid via receipt 422091 dated 14th December, 2011.

Recommendation:

The Director of Immigration and Nationality should ensure that:

A fee structure of Passport fees for the various Embassies abroad is needed as there appeared to be no regulation on Passport fees for the Embassies.

70 Passport application forms were not presented for Passports issued to the Belizeans living in Bolivia

109. There were seventy (70) Passport Application forms which were not presented for verification during the Investigation. **Appendix X** lists the Passport application forms which were not presented for verification during the investigation.

Susana Neufeld was issued Passport A005071 which was from a series which was not accounted for in the Jumbo Issue Register

110. During our investigation, we saw among the cancelled Passports, Passport A005071 with issue date of 31st January 2007 and expiration date of 30th January 2009 in the name of Susana Neufeld. According to the Passport information she was born in Orange Walk Belize on the 14th March, 1976 and resided in Bolivia. The place of issue of her Passport was Belize C.A. with the authority DIN (should be DINS), Immigration and *Nationation* (should be Nationality), BMP. It also had an unclear dark blue stamp as with other fraudulent Passports. Revenue Collector's Receipt number 1080539 dated 25th January, 2007 was also recorded in her Passport. Said Passport was from series A004801 to A005100 which was not accounted for in the Jumbo Issue Register.

Officers were paid stipends for a "Special Exercise" carried out at the Immigration and Nationality Department

111. We observed that six (6) officers were approved and each paid a stipend from the Ministry's Account 35017; 34103;750;131 for "participating over 3 consecutive weekends to develop a reorganized, restructured Immigration Department as a special exercise as per Cabinet's request, approved by the Chief Executive Officer (Ref. GEN/2/01/13 (53) dated 12th December 2013)." The names of these officers and the stipend amounts received can be seen at **Table ZV** below.

Table ZV

The following is the list of officers who received stipends for carrying out the special exercise at the Immigration Department

Name	Amount	Smart Stream Voucher Number	Cheque Number	Invoice Date	Designation
Maria Marin	\$700.00	025965	800090530	12-Dec-2013	Director, Immigration and Nationality Services
Teresita Castellanos	\$700.00	025966	800090530	12-Dec-2013	Finance Officer, Immigration and Nationality Services
Georgia Woodye-Bowen	\$700.00	025967	800090530	12-Dec-2013	Immigration Officer
Rosalie Therese Saldivar	\$1,000.00	025968	10929436	12-Dec-2013	Administrative Officer, Ministry of the Public Service
Jennifer Saldivar	\$1,000.00	025969	800090532	12-Dec-2013	Administrative Officer, Ministry of National Security
Manuel Lopez	\$1,000.00	025970	800090531	12-Dec-2013	Finance Officer, National Emergency Management Organization
Regina Makin	\$500.00	025971	300059610	12-Dec-2013	Minister of RDEVC (Rural Development and Culture) Secretary
Louise Gillett	\$500.00	025972	800090528	12-Dec-2013	Secretary, Director Immigration and Nationality Services
Noreen Fairweather	\$300.00	025973	1029437	12-Dec-2013	National Emergency Coordinator, NEMO

111.2 We requested a copy of the team's report however, none was forthcoming.

Internal Control system was very weak and in most instances was lacking at the Immigration and Nationality Department

Criteria

Financial Orders (FO) Number 553 stipulates:

“By internal check is meant a system of organization and division of tasks designed with a view to preventing fraud and errors, or of ensuring their prompt detection and minimizing their effect. The essential features of a system of internal check are that too much responsibility shall not be reposed in any one officer and that no person shall be allowed completely to carry through and record any transaction without the intervention of another person.....”

In addition FO Number 558 stipulates:

“Even where a good system of internal control has been devised, it is essential that the Accounting Officer or Finance Officer shall regularly have it under review to ensure its continued efficiency. He shall need to exercise continuous vigilance in this regard.

It is no good e.g. for an Accounting Officer to turn around and say “I told so and so to do it and he did not do it, what do you expect me to do?” for it is up to the Accounting Officer to make sure that his instructions are carried out and to make such arrangements for review as will satisfy him that his instruction for internal check and internal control are being carried out.....”

112. The internal control system at the Immigration and Nationality Department is very weak and in most instances was lacking. Officers were allowed to have multiple processing roles on the BPIS. Incomplete Passport applications were accepted and processed, Passport application forms were not signed by officers who had done the different tasks. Passports which were already received by applicants, the BPIS reflected that they were still at “quality assurance passed”. In addition, individuals other than applicants received Passports without authorization. This is substantiated by our findings already reported in this report.

Recommendations:

The Director of Immigration and Nationality should ensure that:

- i. The Department lobbies for an internal auditor who will scrutinize the daily transactions done by the Department and who will be able spot and bring to the Director's attention any error/s and or fraud for immediate action.
- ii. Surprise checks are carried out by her to make sure that her instructions for internal check and internal control are carried out.

Other matter

Two Directors created obstacles for the Audit Team during the Audit investigation

113. The audit investigation was hindered by two Directors, the Director of Immigration and Nationality, Ms Maria Marin and Mrs. Michelle Longsworth, Director of Central Information Technology Office.

113.2 The Director of Immigration and Nationality did not cooperate with the Audit officers. At every point in the investigation the officers were obstructed and were not allowed to have forthwith access to records.

These records were requested from the letter of intent of the investigation submitted on 15th October 2013 and as such should have been available upon subsequent verbal request.

The usual response for the request of records was that it was at the Ministry or with the Minister and had to be requested from said Ministry or Minister. The officers were requested to submit through memorandums all records needed.

113.3 In an effort to continue without time being wasted, a memo was submitted to the Ministry by the Auditor General in 2013 referenced GEN/1/01/13 Vol. III (82) dated 19th December 2013, requesting nationality files. The response from the Ministry referenced IMM/GEN/13/01/13 (14) Vol.III dated 31st December 2013 was that all existing nationality files are rightfully held at the Department of Immigration and Nationality.....” In another memo submitted by the Ministry referenced IMM/GEN/13/01/14 Vol.III (19) dated 20th February 2014, the Ministry stated that all cataloguing was done at the Department and the Ministry has since handed back over the keys thus all records are at the department. Those two memorandums are proof that the Director, Ms Maria Marin, deliberately and unlawfully withheld records from the Audit officers and therefore played a major part in the loss of time and in frustrating the investigation.

113.4 Her actions or inactions were considered hostile and uncooperative and as such frustrated the audit team to point where a letter was written to the Minister Godwin Hulse referenced AUD/21/01/14(167) dated March 03, 2013.

113.5 It was apparent that the Director, Ms Marin is not versed with the Finance and Audit (Reform) Act 2005 (FARA) in particular Sections 13 (1) a, b and c, 13 (2) and 13 (3) which states as follows:

13 (1) "In the exercise of his duties under this Act, the Auditor General may-

- (a) call upon any public officer for any explanations and information he may require in order to enable him to discharge his duties;*
- (b) authorize any public officer to conduct on his behalf any inquiry or examination of audit, and such officer shall report thereon to the Auditor General;*
- (c) without payment of any fee, cause a search to be made in and extracts to be taken from any book, document, or record in any public officer"*

Section 13 (2) In the exercise of his duties under this Act, the Auditor General or any person duly authorized thereto by him shall have access to all books, vouchers, documents, cash, stamps, securities, stores or other Government property of any kind whatsoever, including in electronic form, in the possession of any public officer.

Section 13 (3) In the exercise of his duties under this Act, the Auditor General shall not be subject to the direction or control of any other person or authority. "

113.6 Although we were given some records, those that were requested long ago were given to the police to assist them in their investigation. On a visit to the Police Department on April 5, 2014 and through memo referenced AUD/21/01/14 (175) dated April 22, 2014 we were able to secure photocopies of those records (the police did not have all we needed) which enabled us to continue with our investigation.

113.7 In respect of the Director of Central Information Technology Office, she willfully did not allow Audit to continue to have use of the services of her officer, Mr. Francisco Gonzalez; Database Technician. She refused to release the officer even though she was aware that he had signed a term of reference dated 28-November 2013 with the Auditor General to carry out tasks for and with the Audit team conducting the investigation at the Immigration and Nationality Department.

113.8 When it was noted that Mr. Gonzalez was not showing up to perform the tasks that were required of him, and he was contacted, his response was that he could not come over, Mrs. Longworth needed him in the office or in order for him to go over to Immigration and Nationality Department, we would have to call or email her (Mrs. Longworth). Since we were at a critical stage of the investigation that required his expertise her refusal to release him aided in handicapping the investigation. Vital information which was needed for the investigation was not accessible and therefore could not be reported on. As stated before in this report, Mr. Gonzalez was sent to Taiwan on a course and the

Auditor General and the Audit team was not formerly informed up to the time of writing this report.

113.9 On the 26th September, 2014 a request was made for Mr. Gonzalez to verify information on behalf of the Office of the Auditor General on the BPIS at Immigration reference GEN/02/01/14 (48) and the Director, CITO in her response via CITO/GEN/8/02/14 (55) indicated that the officer was currently working on two (2) ongoing projects and due to staff constraints she was unable to send him out.

113.10 The above quoted sections of the FARA are also relevant in respect of Mrs. Longsworth.

113.11 At the time of the exit interview held with Ms. Maria Marin we reviewed the obstacles that she created. She informed us that in no way did she cause any obstacles for us. She told us that whenever the OIC Nationality section, Mr. Gordon Wade informed her that we needed records, she would hand over those records to him to submit to us. We told her that we did not get all those records and what Mr. Wade had told us. It must be stated that Ms. Marin had told us when we started the investigation that we would get all the records we needed from her OICs at Passport, Nationality and Visa sections and also the Finance Officer.

Appendices

PASSPORT

Section III

Appendix A

The following is the list of applicants who appeared not to have presented themselves to a Passport Office or Foreign Mission for a “live” photograph and Fingerprint but photographs of applicants were instead inserted onto the BPIS applications

Name of Applicant	Passport Application Date	Passport Number Issued	Description of BPIS Photograph of Applicant	Officers who Processed Application	Fingerprint on the BPIS
Wonhong Kim (Kin on application)	Sept-9-2013	P0246777	BPIS photo of applicant appeared as a picture of a picture	Counter Clerk & Counter Supervisor: Omar Philips, Data Entry & QA: Erwin Robinson, Approved: Sharon Flowers, Print & Delivered Passport to recipient: Mark Tench	Unable to fingerprint
Yakup Sut	23-Aug-2013	P0245775	BPIS photo of applicant appeared as a picture of a picture	Counter Clerk, Data Entry & QA Pass: Erwin Robinson, Approved: Sharon Flowers, Print: Mark Tench. No one signed as Counter Supervisor. Person who delivered passport to recipient and recipient is the same person (name unknown)	Unable to fingerprint
Demi Ho	21-Aug-2013	P0245366	BPIS photo of applicant appeared as a picture of a picture	Counter Clerk, Data Entry & QA Pass: Erwin Robinson, Approved without biometric check: Sharon Flowers, Print & Delivered passport to recipient: Omar Philips. No one signed as Counter Supervisor	Unable to fingerprint

Appendix A.1

The following list is an example of passports holders whose names had additional spaces between the First and the Middle Names on the BPIS

Passport Number	First Name	Last Name	Doc Status	Issue Date	# of Spaces
P0100600	JOY ELIZABETH	GODFREY	Delivered	25/03/2009	2 spaces
P0045349	JOY ELIZABETH	GODFREY	Misprinted	24/10/2006	2 spaces
P0045353	JOY ELIZABETH	GODFREY	Misprinted	24/10/2006	2 spaces
P0045359	JOY ELIZABETH	GODFREY	Misprinted	24/10/2006	2 spaces
P0045379	JOY ELIZABETH	GODFREY	Delivered	25/10/2006	2 spaces
A008703	JOY ELIZABETH	GODFREY	Delivered	14/05/2011	1 space
P0241880	JOY ELIZABETH	GODFREY	QA passed	16/07/2013	2 spaces
P0233404	ISABEL GUADALUPE	POTT	Delivered	04/04/2013	1 space
P0014553	ISABEL GUDALUPE	POTT	Spoiled	27/07/2005	2 spaces
P0124199	ISABEL GUDALUPE	POTT	Delivered	03/02/2010	1 space
P0180550	FLOYD EMMANUEL	NEAL	Delivered	27/09/2011	1 space
P0016427	FLOYD EMMANUEL	NEAL	Delivered	22/08/2005	1space
P0234834	FLOYD EMMANUEL	NEAL	QA passed	18/04/2013	2 spaces

Appendix B

The following is the list of active and inactive User Roles that was presented to Audit by Information Technology Manager Rodolfo Bol

User First Name	User Last Name	User Role
Andrea	Jones	Data Entry
Antonio	Gutierrez	Data Entry
Aruna	Ranatunga	Data Entry
Bathiya	Dharmadasa	Data Entry
Carlos	Amaya	No Roles Selected
Carolyn	Gillet	Data Entry
Carolyn	Mckenzie	Data Entry
Clarette	Tathum	Data Entry
Debbie	Schell	Data Entry
Diane	Spain	Data Entry
Eleud	Romero	Data Entry
Erwin	Robinson	No Roles Selected
Georgia	BOWEN	Supervisor/QA/Production Optor
Ivy	Lu	Data Entry
Kenmore	Hyde	Data Entry
Lloyd	Garbutt	Data Entry
Maria	Puga	Data Entry
Mark	Tench	QA Operator
Michael	Burgess	Data Entry
Omar	Phillips	No Roles Selected
Petula	Peyrefitte	Data Entry/Print/QA
Philip	Haylock	Data Entry
Ramon	Reyes	Data Entry
Rodolfo	Bol Jr.	System Administrator
Sharleen	Henderson	Data Entry
Sharon	Flowers	No Roles Selected
Therese	Chavarria	Supervisor/QA/Production Optor
Theresita	Kotch	Data Entry
Tiffany	Taylor	No Roles Selected
Tracy	Gomez	Data Entry
Tricia	Logan	Data Entry
Winston	Arzu	Data Entry
Yolanda	Cassanova	Data Entry
Yvonne Marie	Neal	Data Entry

Appendix B.1

The following is the list of officers whose User Roles were deleted by Information Technology Manager, Mr. Rodolfo Bol

First Name	Last Name	Role Name	Role Description	Last Updated
Ady	Pacheco	No Role	No Roles Selected	2013-11-22 14:27:54.663
Alexton	Godoy	No Role	No Roles Selected	2013-11-22 14:28:57.320
Alfonso Carlos	Valdez Jr.	No Role	No Roles Selected	2013-11-22 14:29:16.463
Angelica	Chavez	No Role	No Roles Selected	2013-11-22 14:30:00.963
Ann	Smith	No Role	No Roles Selected	2013-11-22 14:30:32.557
Beverly	Tillett	No Role	No Roles Selected	2013-11-22 14:31:59.573
Brenda	Longsworth Reneau	No Role	No Roles Selected	2013-11-22 14:33:02.053
Carlos	Amaya	No Role	No Roles Selected	2013-11-22 14:33:33.757
Dalia	Mai	No Role	No Roles Selected	2013-11-22 14:35:40.753
Daniel	Tseng	No Role	No Roles Selected	2013-11-22 14:36:05.550
Dean	Young	No Role	No Roles Selected	2013-11-22 14:37:26.220
Debra	Baptist	No Role	No Roles Selected	2013-11-22 14:37:49.830
Dina	Shoman	No Role	No Roles Selected	2013-11-22 14:38:18.657
Dionae	Jones	No Role	No Roles Selected	2013-11-22 14:38:42.860
Dornecia	Robateau	No Role	No Roles Selected	2013-11-22 14:39:04.920
Edgar	Cano	No Role	No Roles Selected	2013-11-22 14:39:20.890
Efrain	Novelo	No Role	No Roles Selected	2013-11-22 14:39:39.107

3

OBSERVATIONS



Blani Saurabh

Weight/Estature
FT 06 IN

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P0222253<3BLZ7619055M2212295<<<<<<<<<<<<05

I certify that I have compared this copy with the original and that it is a true and complete copy.

Signed: [Signature] Date: 21-6-
 Print Name: SHAR VARDH



CARIBBEAN COMMUNITY

BELIZE



PASSPORT



BELIZE



The Governor-General of Belize requests
and requires all those to whom it may
concern to allow the bearer to pass freely
without let or hindrance, and to afford
the bearer such assistance and protection
as may be necessary

1

This passport contains 48 pages Este pasaporte contiene 48 páginas

PASSPORT
BELIZE

No. of passport
No. de pasaporte

P0222253

Attention is drawn to the notes on page 48 of this passport

[illegible]



Appendix D

The following is the list of what appeared as stolen, fraudulently issued and irregular passports that were seen among the Cancelled Passport presented to Audit

Bulk Issuance Details from Register	Name	Passport Number	Issue Date	Expiration Date	Place Issued (Passport/ Application)	Date cancelled	Place of Birth	Nationality & Observations
Unaccounted for in Jumbo Issue Register A003501-A004000	Ping An Li	A003513	04-Jan-02	03-Jan-12	Belize City/ Unknown signature of OIC	Cancelled by Audit		Nationality Certificate #7489/01 dated 28-Dec-2001 seen recorded in passport which in the Register of Citizens by Registration was for Isidra Lopez issued 13-March-1998; may have been renewed
Unaccounted for in Jumbo Issue Register A003501-A004000	No Biographic Info	A003516	none		was seen among cancelled		China	No other information seen

Appendix D.1

The following is the list of what appeared as stolen, unaccounted for and fraudulently issued passports that were recovered by Police or confiscated from passport holders along with passports that were issued for training/testing that were not seen among cancelled passports

Name	Passport #	Stolen Passport Activity	Observations
Huimin Jang	A003501	Sent from Panama International Airport to Belize and arrested by Belize Police 14-Oct-2006. Name was seen in Confidential Report Gen 13/01/06 (19) dated 10-Nov-2006	Gareth Murillo had received series for Bze PP Office on RFIN but issuance was marked out of the Register (see Appendix S)
Jinchao Wu	A003519	INS was consulted by International Tourist Service Ltd. 15-Nov-2006 and it was confirmed as fraud by Zetina after letter from International Tourist Center, Hong Kong to Director, INS dated 15-Nov-2006. Was it requested for return to Belize	Gareth Murillo had received series for Bze PP Office on RFIN but issuance was marked out of the Register (see Report)
Dong Fang Quyang	A003550	Sent from Panama International Airport to Belize and arrested by Belize Police 14-Oct-2006 Name was seen in Confidential Report Gen 13/01/06 (19) dated 10-Nov-2006	Series unaccounted for in the Jumbo Register
Wu Pan	A003565	Sent from Panama International Airport to Belize and arrested by Belize Police 14-Oct-2006. Name was seen in Confidential Report Gen 13/01/06 (19) dated 10-Nov-2006	Series unaccounted for in the Jumbo Register

Appendix D.2

The following is the list of what appeared as stolen, unaccounted for and fraudulently issued passports that were renewed before the required time without evidence that these passports were exhausted

Name of Applicant	Date of Application	Old Passport Number	Date Of Issue	Expiration Date	New Passport #	Date New Passport Issued as on application	Observations by Audit	Reason for Early Renewal
David Lee ;George Li ; Song Xiao Li	25-Mar-13	A005235	10-May-07	09-May-17	P0232592	25-Mar-13	Series A005201-A005300 was unaccounted for in Jumbo Issue Register	none stated
Nader Ibrahim Mohamed Hassan Awwad	5-Dec-2012	P0156077	27-Jan-2011	27-Jan-2021	P0223758	5-Dec-2012	Nationality certificate number was 2485/92 dated 22 nd October, 1992 belonged to Julio Cesar Martinez under Section 10; no entry in the Register Section 11A. Date of birth was stated as 13 th January, 1977 on his passport application	None stated

							form, which would mean that he was 15 years old had he gotten Nationality under Section 11A. (See Passport Report)	
Anwar Rabie	12-Oct-11	A009477	23-Jan-03	22-Jan-13	P0181771	12-Oct-11	Nationality Cert # is 78/01/01 dated 6-Sept-2001/series not entered in Register (see Passport Report) Series A009401-A009500 issued to Belmopan on 1-Oct-2002; passport apparently issued in Belize City 23-Jan-03 ("pending status")	none stated

Appendix D.3

The following is the list of accounted for passports that were stolen, those that were recovered by the Police as seen from Reports and those that were unaccounted for in the Jumbo Passport Issue Register

Bulk Series	Passport Type	Issue Date in Issue Register	Intended Destination	Quantity	Issuing Officer in Register	Receiving Officer in Register	RFIN recorded or actual RFIN	Comments/Observations
02906 01- 02911 00	Ordinary	30-Sep-04	Ministry of Foreign Affairs (London, Hong Kong, Mexico, New York, Washington @100 each)	500	Jem Pascascio	"Hyde"	RFIN 89204	2 were found in a box at INS by Police on 19-Sept-2005 (0291013 & 0291014). 0291013 appeared as though it was being prepared for issue fraudulently. Others from series were renewed and others were seen among cancelled passports. See Appendix D & D.3
02028 51- 02029 00	Ordinary	25-Oct-99	Ministry of Foreign Affairs Trade Office Hong Kong	50	Sylvia Usher	Diana Price Murillo	RFIN 28213	Register was not available for inspection. 50 were found in a box at INS on 19-Sept-2005 but 17 were not handed over by Police or seen among cancelled

Appendix D.4

The following is the list of what appeared as stolen, unaccounted for, fraudulent and irregular passports that were renewed by the Passport Section during the period April 2011-September 2013

Bulk Issuance Details from Register	Name	Passport Number	Issue Date (as on Passport or Application)	Expiration Date (Approx)	Place Issued (Passport/ Application)	New Passport #	Date of Issue	Place of Birth	Nationality &/or Observations/Findings
A002501-A002525 issued to MFA for Hon Consul Bze Hong Kong on 6-May-1997	Li Li Zhang Wang	A002502	13-May-97	12-May-07	Hong Kong	P0194820	15-Mar-12	Fujian Province China	Name seen in Register 2640/93 issued 5-Feb-1993 but no file # recorded/no file presented. Passport extended to 4-Feb-2012 by Telly T Chi from Trade Office Hong Kong who also extended an irregular passport A006750 (Applicant did not come in for biometrics)

Appendix E

The following is the list of applicants who changed their names other than by marriage as well as the result of the verification done at the Vital Statistics Unit

Name of Applicant	Application Date	Deed Poll number and the results of the verification
Sam Kam Iun Lo previously Yuan Lo	16-Sep-13	Deed Poll #435/13 dated 27-Aug-2013 seen in Register at Vital Statistics (name later changed again to Sam Yuan Lo in February 2014 by 73/2014)
Rina Chiu Hsiang Lo previously Chiu Hsiang Lo	16-Sep-13	Deed Poll #434/13 dated 27-Aug-2013 seen in Register but not in binder
Bruce Liu previously Ching-Hua Liu	07-May-13	Deed poll #178/2005 dated 1-Aug-2005 recorded on application but not seen in Register at Vital Statistics
Henko Ong formerly Tyns-Ruenn Su	07-May-13	Deed poll 242/2009 dd 31.7.2009 not seen in Register at Vital Statistics
Zhou Sileung Sun previously Sun Xiling aka Davis X Sun	23-May-13	Deed Poll (#209/13) dated 21-May-2013 seen in Register at Vital Statistics
Marc Chin previously Jack Jie Qin	06-Aug-13	Deed Poll #382/13 dated 5th August 2013 seen in Register at Vital Statistics
Peter Pang formerly Yiu Pang Chen	07-Aug-13	Deed Poll #383/13 dated 5th Aug 2013 seen in Register at Vital Statistics
Simon Truong previously Quoc Vinh Truong	06-Aug-13	Deed Poll #384/13 dated 5th August 2013 seen in Register at Vital Statistics
James Louis Wang previously Xi Wang	11-Jun-13	Deed Poll 246/2013 seen in the Register at Vital Statistics
Hoa Miao Li previously Jian Wang	05-Jun-13	Not seen in the Register at Vital Statistic Office

Appendix F

The following is the list of applicants who were approved change of names in their passports and who did not provide the legal documents for such change

Name on Application & New Passport	Date of Application	Previous Name in Old Passport or other Identification	Comments
Yanira Valle	03-May-13	Yanira Quintanilla Flores (Social Security)	Marriage or Divorce was not registered at Vital Statistics Unit
Veronica Lladel Lopez	08-May-13	Veronica Lladel Rivera (Previous Passport P0064257 14-July-2007)	Marriage or Divorce was not registered at Vital Statistics Unit
Janette Lainez	09-May-13	Janette Diaz (Social Security & Recommenders)	Marriage or Divorce was not registered at Vital Statistics Unit
Carol Amanda Henry	10-May-13	Carol Amanda Moses	Marriage or Divorce was not registered at Vital Statistics Unit
Pamela Louise Watson	14-May-13	Pamela Louis McClean	Marriage or Divorce was not registered at Vital Statistics Unit
Fayemarie Anderson Carter	05-Mar-12	Fayemarie Anderson (married 17-Sept-1999)	Marriage or Divorce was not registered at Vital Statistics Unit
Marta Eulalia Espinoza	23-Mar-12	Marta Eulalia Escobar	Marriage or Divorce was not registered at Vital Statistics Unit
Derene Marion Humphreys	09-Mar-12	Derene Marion Montejo	Marriage or Divorce was not registered at Vital Statistics Unit
Alma Estela Lopez/ Original Name Alma Estela Huezo Cordoza	13-Mar-12	Alma Huezo Cardoza (Manual Passport)	Marriage or Divorce was not registered at Vital Statistics Unit
Guizhen Chen	07-Oct-11	Guizhen Gan (Register & Chinese Passport)	Marriage or Divorce was not registered at Vital Statistics Unit

Nan Cheng	11-Oct-11	Nan Liu (Register & Belize Social Security)	Marriage or Divorce was not registered at Vital Statistics Unit
Marvella Adelita Yama	11 & 20-April-2012	Marvella Adelita Garcia	Marriage or Divorce was not registered at Vital Statistics Unit
Chia-Chi Chiang	06-Dec-11	Chinese Passport #215872626 issued 30-Nov-2007; expires 30-Nov-2017 is in the name Chia-Chi Chao	Marriage or Divorce was not registered at Vital Statistics Unit
Anna Marie Camacho-Foote	19-Dec-11	Anna Marie Young	Marriage or Divorce was not registered at Vital Statistics Unit
Ying Liu	11-Oct-11	Ying Ji (Nationality & Social Security)	Marriage or Divorce was not registered at Vital Statistics Unit
Yu Chan Lei	08-Feb-12	Yu Chan Zhao	Marriage or Divorce was not registered at Vital Statistics Unit
Gelyn Karina Ramirez	01-Feb-12	Gelyn Moncada	Marriage or Divorce was not registered at Vital Statistics Unit
Myrla Yasmin Alpuche	28-Feb-2012	Myrla Yasmin Garcia	Marriage or Divorce was not registered at Vital Statistics Unit
Li Hua Wang Liu	14-Dec-11	Li Hua Liu	Marriage or Divorce was not registered at Vital Statistics Unit

Appendix G

The following is the list of applicants whose names and nationality certificate numbers were not seen in the Register of Citizens by Registration under Section 10 and Section 11A)

Name	Application Date	Recent Passport Number	Date of Issue	Certificate Number	Date of Certificate	Description of Register Entry
Bingquan Huang	14-Nov-12	P0220245	16-Nov-12	21/02/2000	22-June-2000 (Replaced 25-Jan-2010)	No name and other information seen in the Register for certificate number
Jose Leon Lopez	02-Mar-12	P0196189	28-Mar-12	26742/12	17-Jan-12	No name and other information seen in the Register for certificate number
Nader Ibrahim Mohamed Hassan Awwad	05-Dec-12	P0223758	05-Dec-12	2485/92	22-Oct-92	No name and other information seen in the Register for certificate number
Monish-Suresh Mirchandani	22-Mar-11	P0195283	11-Mar-12	27334/12	02-Feb-12	No name and other information seen in the Register for certificate number

Appendix G.1

The following is a list of passport applicants who shared the same nationality certificate numbers with others as seen in the Register of Citizens by Registration and on the BPIS.

Nationality Certificate Number	Date of Certificate as seen in Register	Name of Applicant/ Name on Nationality Certificate/ Name in Register of Citizens by Registration	Last Issued Passport Number	Issue Date	File Information
21638/07	13-Nov-2007	Baha Ozgur	P0237926 (previously issued P0078165 31-Jan-2008)	30-May-2013	File 31699
21638/07	White -out	White-out used under name written (Baha Ozgur)	unknown	unknown	White-out
21231/07	27-Aug-2007	Yan Ling Mai	P0165378	6-May-2011	Certificate date showed it was issued first
21231/07	2-Oct-2007	Ali Harmouch	-	-	File 22730
5595/95	7-Aug-1995	Lai Kai Wen	P0225450	28-Dec-2012	
5595/95	27-Sept-1996	Fan Yu Cheng			Both entries shown in Register as below/ plus 1 child

Appendix G.2

The following is the list of applicants who presented what appeared as copies of irregular and fraudulent original and replacement nationality certificates

Name	Certificate #	Date of Certificate	Comments	Register & Other Observations
Wen-Shin Chou	20958/07	2-June-2007	Name on certificate was Ralph Fonseca but replaced by what appeared as the signature of Director, Maria Marin on 8-May-2013	Section 10 Register had the name Ching-Mei Chun at first with no children added then white-out used to cover hyphen and 1 child added on the right; Replacement Certificate had the name Ching-Mei Chou-Chung. File #20879 requested on 19-June-2014 but was not presented
Hong Su (Application)/ Su Hong (Nationality Certificate)	2203/92	13-Apr-92	Name on certificate was Said Musa but replaced by what appeared to be the signature of Director, Maria Marin on 22-April-2013	Su Hong was listed in the Register for under Section 11A but no file # was recorded in the Register. Nationality Certificate was amended to Diana Su Sun as per deed poll dated 29-March-2006 by Director, Maria Marin on 22-April-2013 but no deed poll was attached or found at the Registry (Neither was a deed poll seen in Davis X Sun file (husband), which contained her Nationality Certificate)

Appendix H

The following is the list of passport applications with copies of irregular foreign passports attached thereon

Name	Application Date (Passport)	Native Country	Passport Number	Issue Date	Expiration Date	Observations
Wonhong Kim	09-Sep-13	Republic of Korea	M38368121	23-Dec-08	23-Dec-18	All Passport information was not legible and picture was irregular and taken at a slant. It appeared as the same BPIS picture of a picture of Wonhong Kim/ No signature of holder was seen; BPIS photo appeared as applicant did not come in to Passport Office

Yakup Sut	23-Aug-13	Republic of Turkey	U00572356	unknown	unknown	Most of the Passport information was not legible and passport picture appeared as the same BPIS picture of a picture and those attached to passport application; no signature. BPIS photo appeared as applicant did not come in person to passport office
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Appendix I

The following is the list of passport application forms that were not presented to Audit for the period April 2011 to September 2013

PASSPORT NUMBER	FIRST_NAME	LAST_NAME	Date of Issue
B0001068	ROSA MARIA	LAWRENCE	12/Apr/11
B0001069	HENRY LLEWELLYN	LAWRENCE	12/Apr/11
B0001070	ARDELLE LISETTE	SABIDO	14/Apr/11
B0001071	RONDINE CHARMANE	TWIST	1/Jun/11
B0001072	EMIL JOSEPH	WRIGHT	30/Jun/11
B0001073	OLIVER DARIEN	DEL CID	10/Aug/11
B0001074	ELENA	BAEZA - DEL CID	10/Aug/11
B0001075	NATHANIEL DARIEN	DEL CID	10/Aug/11
B0001076	CHRISTOPHER OLIVER	DEL CID	10/Aug/11
B0001077	GABRIEL ALBERTO	MARTINEZ	13/Sep/11
B0001078	DIANE CARLA	HAYLOCK	23/Sep/11
B0001079	DAVID ANTHONY	LEACOCK	3/Nov/11
B0001080	EMIL JOSEPH	WRIGHT	11/Nov/11
B0001081	AUDREY ANN JOY	GRANT	18/Nov/11
B0001082	LISA MARIE	SHOMAN	23/Nov/11
B0001083	NORMA ELEANOR	YOUNG	19/Dec/11
B0001084	GEEV RAHMAN- MAHDI	ABADI	17/Jan/12
B0001085	CARLA NATALIE	BARNETT	8/Feb/12
B0001086	ANWAR	RABIE	10/Feb/12
B0001087	NUNZIO	D' ANGERI	14/Feb/12
B0001088	KENRICK ROFORD	LESLIE	16/Mar/12
B0001089	HERMAN RODWELL	LONGSWORTH	21/Mar/12
B0001090	WILFRED PETER	ELRINGTON	21/Mar/12
B0001091	HUGO AMILCAR	PATT	21/Mar/12
B0001092	MICHAEL GEORGE	PEYREFITTE	26/Mar/12
B0001093	DANILO ORLANDO	CHUC	29/Mar/12
B0001094	CHARLES ANTHONY	GIBSON	2/Apr/12
B0001095	COLLET EMMANUEL	MONTEJO	2/Apr/12
B0001096	MARKHELM JUDE	LIZARRAGA	2/Apr/12

Appendix J

The following is the list of recommender issues and violations for 2011 – 2013

FULL NAME OF APPLICANT	APPLICATION DATE FILED	RECOMMENDER NAME & COMMENTS
Wonhong Kim	Sept-9-2013	Recommender A was Alfonso Cruz Jr (JP) & Recommender B was Minister Elvin Penner. Applicant did not come have in to a Passport Office or Foreign Mission for Biometrics
Yakup Sut	23-Aug-13	Recommender A was Martin Cal JP & Recommender B was Minister Elvin Penner. Applicant may not have come in to a Passport Office or Foreign Mission for Biometrics
Maxim Poon Wong	25-June-2013	Recommender was Gertrude Armstrong who worked at INS and signed as JP. Applicant did not come in to a Passport Office or Foreign Mission (Application was missing)
Demi Ho	21/Aug/13	Recommender A was Emory Young JP & Recommender B was Enrique Valdez (Teacher BCS) Applicant may not come in to a Passport Office or Foreign Mission for Biometrics
Changle Hou	22/Aug/13	Recommender A was Ailing Li JP & Recommender B was Maggie Ruiz (Teacher) Applicant may not come in to a Passport Office or Foreign Mission for Biometrics

Appendix K

The following is the list of Temporary passports that were seen issued and returned/cancelled to the Immigration Department Belmopan during the period April 2011-September 2013

Name	Application Date/ Passport Issue Date	Temporary Passport number	Comments
unknown	unknown	T0000002	Seen among cancelled passports
unknown	unknown	T0000003	Seen among cancelled passports
unknown	unknown	T0000005	Seen among cancelled passports
unknown	unknown	T0000006	Seen among cancelled passports
unknown	unknown	T0000007	Seen among cancelled passports

Appendix L

The following is the list of passport applications which were filled out in temporary ink

Name	Application Date	Comments
Hong Qiao	28-Mar-12	Passport application & Section for Officers who Processed application was incomplete. Handwriting on form appeared similar to that of JP's Ailing Li. Passport # also appeared to be written in temporary ink
Yongge Dai	28-Mar-12	Passport application & Section for Officers who Processed application was incomplete. Handwriting on form appeared similar to that of JP's Ailing Li.
Demi Ho	28-Mar-12	Passport application & Section for Officers who Processed application was incomplete. It appeared that Omar Philips, Emory Young and Teacher Enrique Valdez also used temporary ink

Appendix L.1

The following is the list of passport applications with inconsistencies of signatures on passport applications and other documents presented and with recipients of passports

Name of Applicant	Date	Applicant's Signature	Passport Received By	Passport Received By Applicant or with Authorization	Picture ID of Authorized person Presented
Wonhong Kim (Kin on application)	9-Sept-2013	signatures seen on application, authority for pick-up and BPIS signature in new passport do not match	Jose Cante	No/with authority authorizing Jose Cante	Yes/Passport #P0108300 expires 15-Jun-2019
Sam Kam lun Lo	16-Sept-2013	Previously Yuan Lo but signatures on application, deed poll, as recipient and on old passports do not match	Yuan Lo (old name)	Applicant signed as Yuan Lo/previous name	Yes
Rina Chiu Hsiang Lo	16-Sept-2013	Previously Chiu Hsiang Lo but signatures as Rina Chiu Hsiang Lo on application, as recipient and on deed poll do not match	Rina Chiu Hsiang Lo	By Applicant	Yes
Meng Tang Li	24-Sept-2013	Signatures on application and as recipient do not match	Meng Tang Li	By Applicant	Yes

Appendix M

The following is the list of incomplete passport applications that were processed by the Passport Office

Name of Applicant	Date Application Accepted by Passport Office	Missing information on Application
Shannon Melonie Davis	2-Sep-13	No date on application
Stacy Samantha Smith	2-Sep-13	Section 4 Declaration incomplete
Mark Phillip Myvett	2-Sep-13	No date on application
Wei Pan	2-Sep-13	Section 4 Declaration
Esperanza Figueroa	3-Sep-13	No date on application
Amina Dricela Zul	3-Sep-13	No date on application
Brenda Christina Rodriguez	3-Sep-13	No date on application
Deandre Dwayne Gamboa	3-Sep-13	No date on application
Xenia Estrella Cruz	3-Sep-13	No date on application
Ahmad Abdelrattman Harmouch	3-Sep-13	Previous passport being renewed information
Gyan Aaron Vanjani	3-Sep-13	No date on application

Appendix N

The following is the list of passport applications that did not have the required native birth certificates or native passports attached (March 2013- September 2013) or submitted to the Counter Clerk in April 2011- February 2013

Name of Applicant	Date of Application/Reference	Observations
Caylah Yang	17-May-2012	Born in Phuket, Thailand and submitted application for Nationality by Descent. No native identification was submitted. Passport was picked up without written authority by Eric Chang.
Nadia Mirelie Sutherland	Undated (3-Sept-2012 applicant received passport)	Born in Orange Walk Town as application stated but no birth certificate or previous passport was presented
Amir Bedran	4-Sept-2012	Nationality by Registration 4(3) dated 21-Sept-1981 as recorded on form (Applicant was recommended by Director Ruth Meighan who also picked up passport without authority. Application was not accepted by Counter Clerk or processed by all Officers
Melissa Monique Danielle Arthurs	4-Sept-2013	Nationality by Descent

Appendix N.1

The following is the list of applicants who did not present their nationality certificates

Name of Applicant	Date Application Accepted by Passport office / Reference	Passport Number Issued
Elena Mae Pacyna Picart	25-Feb-2013	P0231653 (1 st issue for Nationality by Descent)
Modesto Duenas	25-Feb-2013	P0231498
Luz de Maria Duenas	23-Feb-2013	P0231497
Cristina Cruz	10-Jun-11	P0170915
Graciela Magdalena Coleman Paz	30-Jun-11	P0170763
Amado Ofertin Diaz	20-Jun-11	P0171943
Maria Magdalena Mendez	09-Jun-11	P0170817
Elias Orellana Bonilla	20-Jun-11	P0171935
Juan Pineda	27-Jun-11	P0172890
Melanie Rose Mary Quigley	14-Jun-11	P0169064
Tulio Cesena	19-Mar-12	P0197582
Maria Gil Leiva	08-Mar-12	P0196451
Jinchao Wu	16-Dec-11	P0188334
Concepcion Vidal	15-Dec-2011	P0188312
Amir Bedran	4-Sept-2012	P0216646
Conrado Perez (Octavia Perez)	19-Sept-2012	P0218275 (Certificate copy was incomplete)

Appendix N.2

The following is the list of applications for renewal of passports that did not have the copies of the previous passport attached or the previous passport was not presented to the Passport Office

Name of Applicant	Date of Application/Reference	Applicants Previous Passport
Arnaldo Oswaldo Cartagena	6-Sept-2013	Copy not attached to application
Maureen Michelle Davis	16-Sept-2013	Copy not attached to application
Lee Anthony Whittieker	16-Sept-2013	Copy not attached to application; Counter Clerk wrote that applicant was issued passport # 02388050 on 10-July-2001 (RCR recorded previous passport #0238050)
Sonia Ramesh Jagwani	17-Sep-13	Copy not attached although old form said "Adult renewal"/ on form Section 4 (d) stated Old PP#0267180 issued in Belize on 12/2/2003
Lyndon Dean Bardalez	17-May-2013	No copy seen attached to application
Abraham Harder	4-Feb-2013	No copy attached/ previous passport on system as listed on form verified
Yakini Uruwei Arzu	6-Feb-2013	No/was not attached but P0099623 issued 11/12/1998 was written on form/ BPIS showed that the passport was issued 11.12.2008 so it would have expired 11.12. 2013 since he was a minor when he got it

Appendix N.3

The following is a list of applicants who did not present their required Belize photo identification such as social security card or voter's registration card

NAMES	DATE OF APPLICATION	PASSPORT NUMBER ISSUED
CORONADO PEREZ	19.09.12	P0218275
AMIR BEDRAN	4.09.12	P0216646
GELSEY LEILANI CODD	17.08.12	P0216114
BARRY ENFIELD CASTILLO	31.08.12	P0216489
JOSE ARMANDO BETANCOURT	27.08.12	P0222730
OSMAN DAVID ORDONEZ	21.08.12	P0217528
JEFTE ABIVAPAR JAIR OCHAETA	15.08.12	P0220767
CARLITOS DAVID SOSA	31.08.12	P0217712
ROY JOSEPH ALVIN THOMPSON	14.08.12	P0216819

Appendix N.4

The following is the list of applications that were processed without the most recent photographs of the individuals

Name of Applicant	Date Of Application	Issues with Photographs
Wonhong Kim	Sept-9-2013	No Pictures attached
Seane Brendan Ian Pernillo	Sept-20-2013	Do not resemble those in BPIS/ if so not recent photographs
Chih-Yun Wang	May-6-2013	Do not resemble those in BPIS/ if so not recent photographs
Hsin-Chi Wang	May-6-2013	Do not resemble those in BPIS/ if so not recent photographs
Li-Yun Wang	May-6-2013	Do not resemble pictures in BPIS if so not recent photographs
I Wei Liu	May-7-2013	Not Recent Photographs
I Hsuan Liu	May-7-2013	Not Recent Photographs
Shu Jung Chiu Liu	May-7-2013	Not Recent Photographs
I-Ting Liu	May-7-2013	Not Recent Photographs
Bruce Liu	May-7-2013	Not Recent Photographs
Henko Ong (formerly Tyns-Ruenn Su)	May-7-2013	Not recent pictures/new passport picture seems different
Yifan Chen	May-8-2013	not recent photographs as compared with BPIS
Vishal Ishwar Bijani	May-9-2013	not recent photographs as compared with BPIS
Timothy Deyo Jeffers	May-9-2013	not recent photographs when compared to photograph in system
Anne Devora Martinez	May-10-2013	None was in the file
Shiou Ling Jan (Shirley Jan)	May-13-2013	Pictures do not match the one in the system used for new passport
Dongrui Wu	May-22-2013	No pictures attached to the application
Shuyuan Liang	May-31-2013	Not recent photographs if they are for applicant

Appendix O

The following is the list of applications on which the passport section officers performed more than one BPIS User Role

Name of Applicant	Date of Application & Reference	New Passport Number	Roles of Officer Assumed
Wonhong Kim	9-Sep-2013	P0246777	Omar Philips signed as Counter Clerk (his duty was Data Entry), Carlos Amaya signed as Counter Supervisor & Erwin Robinson signed as Data Entry Operator & Quality Assurance Officer (which was not his role on 9-Sept-2013) & Mark Tench signed as Printing Operator and person who delivered the passport to authorized receiver
Asil Hishan Hizazi	9-Sept-2013	P0246968	Erwin Robinson was Data Entry Clerk and Quality Assurance Clerk, which was not his role on 9-Sept-11 (see Immig Internal report)
Wei Pan	2-Sept-2013	P0246316	Carlos Amaya was Counter Clerk and Counter Supervisor/ Nationality Certificate Number was fraudulent
Steven Cheng	21-Aug-2013	P0245450	Erwin Robinson was Counter Clerk who accepted the form, He did the Data Entry and also the Quality Assurance/ Applicant did not come in/ Signature of applicant was forged and as recipient/ Omar Philips printed and issued passport to recipient (did not come in person)
Jing Ping Wang	14-Aug-2013	P0245273	Erwin Robinson was Counter Clerk who accepted the form, He did the Data Entry and also the Quality Assurance/ Applicant did not come in

Appendix O.1

The following is the list passports that were approved by Data Entry Clerk Tiffany Taylor

NAME OF APPLICANT	APPLICATION DATE	PASSPORT NUMBER	COMMENTS
Opeyemi Ebenezer Adeyemi	28-Jun-11	P0172963	Tiffany Taylor signed as the supervisor
Susana Banman	27-Jun-11	P0172855	Tiffany Taylor signed as the supervisor
Lyell Kenneth Banman	27-Jun-11	P0172763	Tiffany Taylor signed as the supervisor
Joseph Ray Beiler	7-Jun-11	P0174836	Tiffany Taylor signed as the supervisor
Michael Hugh Donald Bethran	28-Jun-11	P0173569	Tiffany Taylor signed as the supervisor
Darren Julian Brown	29-Jun-11	P0173644	Tiffany Taylor signed as the supervisor
Katharina Blatz	27-Jun-11	P0172757	Tiffany Taylor signed as the supervisor
Cornelus Blatz	27-Jun-11	P0172751	Tiffany Taylor signed as the supervisor
Georgina Casanova	29-Jun-11	P0173528	Tiffany Taylor signed as the supervisor
Karisha Shakeel Casimiro	24-Jun-11	P0172780	Tiffany Taylor signed as the supervisor
Pastora Castillo	27-Jun-11	P0172848	Tiffany Taylor signed as the supervisor
Marlon Raphael Cal	30-Jun-11	P0173702	Tiffany Taylor signed as the supervisor
Diandra Roxannie Caliz	30-Jun-11	P0173733	Tiffany Taylor signed as the supervisor
Florena Cilbia Chun	24-Jun-11	P0172771	Tiffany Taylor signed as the supervisor
Jasmine Danely Cruz	30-Jun-11	P0173754	Tiffany Taylor signed as the supervisor
Justina Dyck	28-Jun-11	P0173531	Tiffany Taylor signed as the supervisor
Justin Gian De La Fuente	27-Jun-11	P0172885	Tiffany Taylor signed as the supervisor
Jason Joel De La Fuente	27-Jun-11	P0172883	Tiffany Taylor signed as the supervisor

Appendix P

The following is the Passport Issue List which showed persons as having been issued more than one passport

PASSPORT NUMBER	NAMES	SURNAMES	DATE ISSUED
P0243160	AARON ELIUD	SIMPSON	29/07/2013
P0243162	AARON ELIUD	SIMPSON	29/07/2013
P0230762	AARON BRUCE	PERERA JR.	06/03/2013
P0231614	AARON BRUCE	PERERA JR.	15/03/2013
P0238251	AARON	MA	04/06/2013
P0240384	AARON	MA	01/07/2013
P0165959	ABEL ABRAHAM	SIMPSON	19/05/2011
P0207460	ABEL ABRAHAM	SIMPSON	06/07/2012
P0177221	ABELARDO ROMELIO	URBINA	19/08/2011
P0190708	ABELARDO ROMELIO	URBINA	19/01/2012
P0219147	ABELINA	PEREZ	26/09/2012
P0219148	ABELINA	PEREZ	26/09/2012
P0211537	ABIGAIL EMMANI IDA	MYLES	31/07/2012
P0211541	ABIGAIL EMMANI IDA	MYLES	31/07/2012
P0170253	ABIGAIL JOANNA	WADE	23/06/2011
P0170254	ABIGAIL JOANNA	WADE	23/06/2011
P0194018	ABNER JONATHAN	HERNANDEZ	15/03/2012
P0224240	ABNER JONATHAN	HERNANDEZ	12/12/2012
P0241919	ABNER NAYIB	MAYEN	16/07/2013
P0241920	ABNER NAYIB	MAYEN	16/07/2013
P0239827	ABNER HABIMAEI	MENDEZ	24/06/2013
P0240335	ABNER HABIMAEI	MENDEZ	28/06/2013
P0208618	ABRAHAM	BANMAN	11/07/2012
P0227729	ABRAHAM	BANMAN	31/01/2013
P0227916	ABRAHAM	HARDER	04/02/2013
P0241319	ABRAHAM	HARDER	10/07/2013
P0163115	ABRAHAM	MARTENS	06/04/2011
P0204226	ABRAHAM	MARTENS	07/06/2012
P0209859	ABRAHAM	SCHMITT	19/07/2012
P0235401	ABRAHAM	SCHMITT	25/04/2013
P0176128	ABRAM	BANMAN	11/08/2011
P0190355	ABRAM	BANMAN	26/01/2012
P0202381	ABRAM	BANMAN	28/05/2012

Appendix P.1

The following is the Passport Issue List which showed the same passport numbers issued to different persons

PASSPORT NUMBER	FIRST NAME	LAST NAME	DATE OF ISSUE
B0001331	JANINE ELIZABETH	FELSON	09/10/2012
B0001331	DORETH	TILLETT	09/10/2012
P0162623	REYNALDO	MAGAÑA	05/04/2011
P0162623	GIOVANI ENRIQUE	GALDAMEZ	06/04/2011
P0162960	VIVIANA TERESITA	CAMPOS	11/04/2011
P0162960	LETICIA MAGAÑA	MAGAÑA	08/04/2011
P0162964	ZERIK BLAYNE	CABRAL	08/04/2011
P0162964	HSUEH LAN	CHIOU	11/04/2011
P0163129	KERWIN DAVID ARAMIS	RIVERO	07/04/2011
P0163129	KERWIN DAVID ARAMIS	RIVERO	06/04/2011
P0163728	HUYUAN	DAI	27/04/2011
P0163728	HUYUAN	DAI	20/04/2011
P0163729	JIANKE	TAN	27/04/2011
P0163729	JIANKE	TAN	20/04/2011
P0163816	IAN LIONEL	FORD	13/04/2011
P0163816	IAN LIONEL	FORD	14/04/2011
P0164728	WALTER LEOPOLD	CLARKE	05/05/2011
P0164728	ADEN ANTHONY	YOUNG	05/05/2011
P0165065	GREGORY KENT	PATNETT	29/04/2011
P0165065	SHAMINIE FELIANNE	GONZALEZ	29/04/2011
P0165466	VALAN VILTON	NELSON	10/05/2011
P0165466	YANIQUE MARKELL	NUNEZ	10/05/2011
P0165617	JAVIER	MUÑOZ	12/05/2011
P0165617	LORETTA CLOTILDA	JEFFORDS	10/05/2011
P0166965	MOLLY ANN	PAGETT	30/05/2011
P0166965	JOSSELY LILLIAN	AWE	27/05/2011
P0167769	YUANZHENG	ZHEN	01/06/2011
P0167769	LEOCARDIA	TZIB	01/06/2011
P0169240	TERESA	TZALAM	16/06/2011

Appendix Q

The following is the list of applicants who did not pay the required passport fees for regular processed and expedited passports

Name of Applicant	Fees Paid as per Application	Receipt Number	Receipt Date	Date Passport Received	GOB should have received	Loss to GOB	Passport Issued/ Comments
Nadia Mirelie Sutherland	nil	None listed	None listed	3-Sept-2012	\$100.00	\$100.00	P0216513/ Several processing irregularities and lack of supporting documentation
Conrado Perez	\$50.00	780808	19-Sept-2012	19-Sept-2012	\$100.00	\$50.00	P0218275/ Applicant was the brother-in law of Minister John Saldivar (as stated on post-it note) and provided no required identification or previous passport to Counter Clerk
Yongge Dai	\$50.00	686140	28-March-2012	No date on application/ 29-March-2012 BPIS date	\$100.00	\$50.00	P0196321
Octabia Beatris Esquivel	\$30.00	None listed	None listed	20-March-2012	\$30.00	\$30.00	P0196394
John Xu	\$50.00	811313	21-Nov-2012	22-March-2012	\$100.00	\$50.00	P0220246 Fraudulent Certificate 18840/05 dated 27-June-2005

Appendix R

The following is the list of passport applications processed and delivered without the required Immigration Department's Officers Signatures

Name of Applicant	Application Date	Cashier's Signature	Counter Clerk/Form Accepted By	Counter Supervisor	Data Capture Operator	Supervisor	Print Operator	Quality Assurance	Passport Delivered By
Jennifer Lee Ann Kong	23-Nov-2012	No		No	No	No	No	No	No
Nadia Mirelie Sutherland	3-Sept-2012	No	No	No	No	No		No	
Amir Bedran	4-Sept-2012		No	No	No	No		No	
John Friesen	14-Mar-12			N/A (Applied only from March 2013 onward)				No	
Arelli Azriel Cayetano	21-Mar-12			N/A (Applied only from March 2013 onward)	No			No	No
Ever Francisco Herrera	01-Mar-12			N/A (Applied only from March 2013 onward)	No				No
Ana Lilia McNabb	28-Mar-12			N/A (Applied only from March 2013 onward)				No	No

Appendix S

The following is the list of applications that did not have the passport numbers recorded thereon

First Name	Last Name	Ref No.	Passport Number
Cornelius	Schmitt	26/07/2013 # 16	P0243004
Amparo Aurora	Duff	26/07/2013 # 50	P0243226
Seren	Cruz	25/07/2013 # 5	P0243197
Peter	Friesen	25/07/2013 #17	P0247146
Lisa Marie	Chavarria	25/07/2013 #27	P0243156
Olga Esmeraldina	Mejia	24/07/2013 #45	P0244202
Said Isani	Lopez	23/07/2013 #25	P0243001
Mia Madisson	Perdomo	17/07/2013 # 60	P0243186
Darrel	Williams	16/07/2013 #8	P0241999
Eloysa Maria	Herrera	11/07/2013 #24	P0243230
Bernadette	Tzual	09/07/2013 # 28	P0243185
Judy Antoinette	Sebastian	09/07/2013 # 31	P0243191
Maya	Hussein	09/0/2013 #41	P0243377
Hussein Mohamad	Hussein	09/07/2013 #42	P0243188
HortenciaCarmencita	Garcia	09/07/2013 # 45	P0243159
Louise Barbara	Diego	09/07/2013 #49	P0243161
Melodie Michelle	August	09/07/2013 # 53	P0243187
AcserJasmany	Melgar	09/07/2013 #54	P0243284
Sonia Maribel	Sibrian	09/07/2013 #55	P0243190
Amilcar Alonso	Velasquez	09/07/2013 #59	P0243158

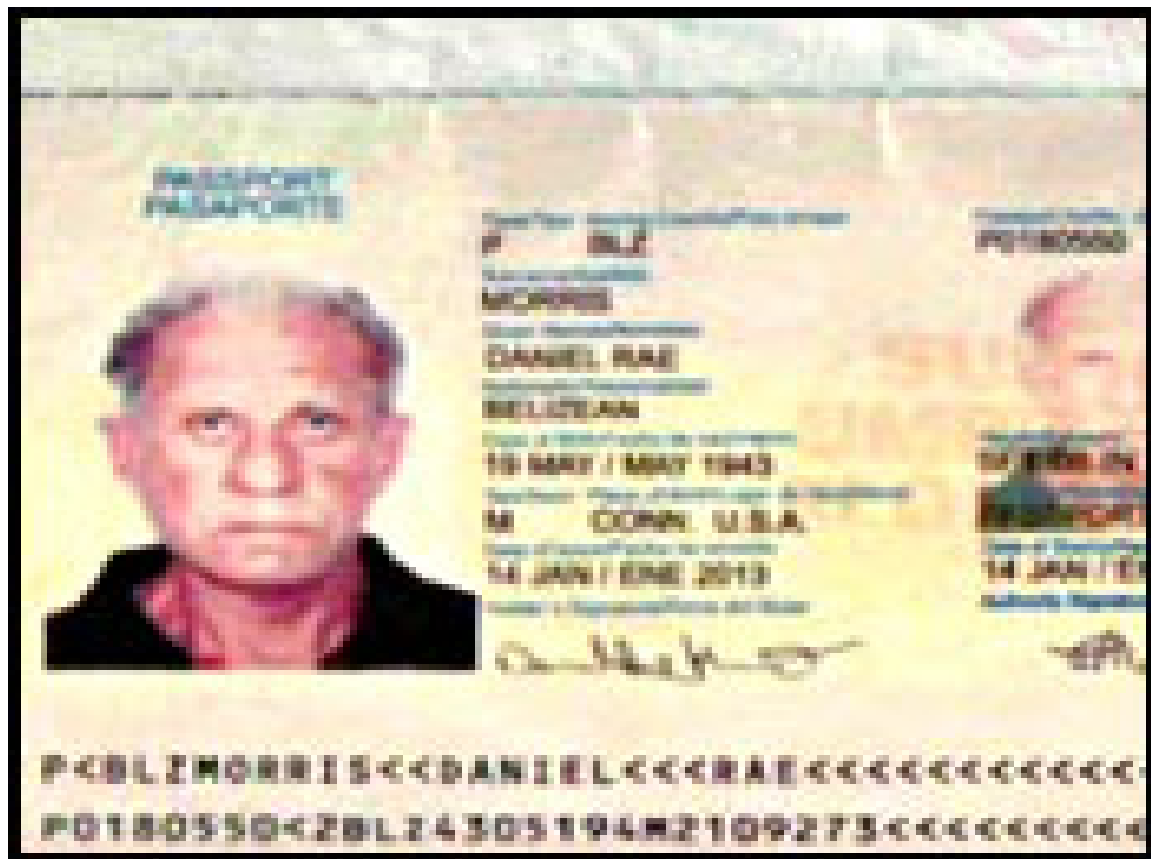
Appendix T

The following is the list of individuals who picked up Passports without proper authorization and also their photo identification

Name of Applicant	Application Date	Passport Delivered By	Passport Received By	Date Passport Received By	Passport Received By Applicant or with Authorization	Picture ID of Authorized person Presented
Caylah Yang	17-May-12	Omar Philips	Eric Chang	07-Jun-12	No	Yes
Jia-Jing Lai	19-Aug-2013	Carlos Amaya	Eric Chang	19-Aug-2013	No	No
Amir Bedran	4-Sept-2012	Unknown signature	Ruth Meighan	4-Sept-2012	No	No
Ana Lilia McNabb	28-Mar-12	No	other person/name unknown	No	No	No
Mirta Adela Cancinos	13-Mar-12	Omar Philips	Orlando Galdamez	No	No	No
Elisa Marivel Castellanos	19-Mar-12	Omar Philips	Teresita Castellanos		No	No

Appendix U

The following is the copy of passport P0180550 which was issued to Floyd Emmanuel Neal that was found in the possession of Daniel Rae Morris with his name and other particulars imprinted therein



Appendix U.1

The following is the list of applicants whose passports were reported as lost, stolen or destroyed in a Fire

Name	Date of application	New Passport #	Issue date	Police Report Reference and Date	Lost passport #	Issue Date	Expiration Date	Observations
Chuang Sheng Rong	27-Nov-12	P0224351	12-Dec-12	Bmp 01/03/2012 (07) Vol.5 dated 27-Nov-2012	P0188469	20-Dec-11	19-Dec-2021	Vehicle burglarized in Belize City
John Friesen	14-Mar-12	P0204553	07-Jun-13	Bmp 01/03/2012 (92) dated March-13-2012	unknown	unknown	unknown	Stolen in Belmopan on March-7-2012
Arelli Azriel Cayetano	21-Mar-12	P0204838	Not stated	Gen 01/20/11 (49) dated December 14-2011	unknown	1997		Lost in Punta Gorda
Silvia Jasmine Naj	22-Mar-12	P0204906	Not stated	BVO/D/3/12 (08) dated March-14-2012	unknown	unknown	unknown	Lost in cayo
Ana Lilia McNabb	28-Mar-12	P0206883	Not stated	None presented	unknown	unknown	unknown	Stolen In Guatemala
Ralph Vidhani Guerra	20-Mar-12	P0208208	Not stated	Bmp 01/03/2012 (33) Vol I dated March-30-2012	P0089144	09-Jul-08	09-Jul-13	Lost

Appendix V

The following is the list of passports recorded as cancelled and spoilt that were not presented to Audit

i) Passports recorded as Cancelled by the Passport Office that were not presented to Audit

Passport No	First Name	Last Name	Date	Observations/Passport Application
P0170464	EVADNEY	CHUN	2011-06-28 00:00:00.000	No Passport Application was presented to Audit
P0186717	RIGER GIAN	CORREA	2011-11-30 00:00:00.000	No Passport Application was presented to Audit
P0191638	LILLIANA MARGARITA	MARIN	2012-02-03 00:00:00.000	No Passport Application was presented to Audit
P0223148	HUGHDELLE SHAVAGHN	YSAGUIRRE	2012-11-28 00:00:00.000	No Passport Application was presented to Audit
P0239590	JULISA DALILA	ROMERO	2013-06-20 00:00:00.000	Passport application was presented

***A Total of 5 cancelled passports were not presented to Audit**

ii) Passports recorded as Spoilt by the Passport Office that were not presented to Audit

Passport No	First Name	Last Name	Issue Date	Observation
B0001083	NORMA ELEANOR	YOUNG	2011-12-19 00:00:00.000	Passport Application was not presented to Audit
B0001132	PAUL ASHTON	MILLER	2011-09-16 00:00:00.000	Training or Specimen
B0001145	TIFFANY MARIE	TAYLOR	2011-09-19 00:00:00.000	Training or Specimen

Appendix V.1

The following is the list of passports recorded as misprinted by the Passport Office which were not seen among the cancelled passports by Audit and irregularities observed

Passport No.	First Name	Last Name	Issue Date	Observations/ Passport Application
P0161862	ELVIS DEAN	RAMPASSARD	2011-04-01 00:00:00.000	No Passport application was presented to Audit
P0161870	MARIO MARLON	MEDINA	2011-04-01 00:00:00.000	No Passport application was presented to Audit
P0161880	MARCO TULIO	NUÑEZ	2011-04-01 00:00:00.000	No Passport application was presented to Audit
P0161881	LEO JERMAN	CUELLAR	2011-04-01 00:00:00.000	No Passport application was presented to Audit
P0147158	CARLA MARIE	THOMPSON	2011-04-04 00:00:00.000	No Passport application was presented to Audit
P0161913	CHRISTINE LYDIA	DAWSON	2011-04-05 00:00:00.000	No Passport application was presented to Audit
P0161920	SHERICE ASHLEY	FLOWERS	2011-04-05 00:00:00.000	No Passport application was presented to Audit
P0161996	KAYA AKEELAH	MIRANDA	2011-04-05 00:00:00.000	No Passport application was presented to Audit
P0162623	REYNALDO	MAGAÑA	2011-04-05 00:00:00.000	No Passport application was presented to Audit. Also recorded as issued to Giovanni Enrique Galdamez on 2011-04-06
P0163035	JAZUA KYLAN	MELENDEZ	2011-04-06 00:00:00.000	No Passport application was presented to Audit
P0163044	SHARON ELIZABETH	JONES	2011-04-06 00:00:00.000	No Passport application was presented to Audit
P0163048	CAROLYN CLEMENCIA	BRANNON	2011-04-06 00:00:00.000	No Passport application was presented to Audit
P0163049	GLENICE DOREEN	ORTIZ	2011-04-06 00:00:00.000	No Passport application was presented to Audit
P0163063	DIANE JULISA	MANGAR	2011-04-06 00:00:00.000	No Passport application was presented to Audit
P0163071	SHERLETTE BERNADETTE	COLEMAN	2011-04-06 00:00:00.000	No Passport application was presented to Audit

Appendix W

The following is the list of Asian applicants who renewed their passports before the allowed time claiming they got wet or were stolen

Name of Applicant	Date of Application	Previous Passport #	Issue Date & Expiry Date	New Passport Number & Issue Date	Various Reasons for Passports Renewed Before 6 months & Observations
Roberto Wiyi Yang	12-Dec-11	P0183347 (applicant did not appear in person)	31-Oct-2011 -30-Oct-2021	P0188025 issued 12-Dec-2011	Applicant declared that the passport got wet; applicant appeared to have come in person for P0188025
Chuang Sheng Rong	27-Nov-12	Applicant claimed Passport was stolen when vehicle was burglarized P0188469	20-Dec-2011 – 19-Dec-2021	P0224351 issued 12-Dec-2012	Applicant claimed Passport was stolen when vehicle was burglarized Applicant was named Chuangsheng Rong on P0188469 issued 20-Dec-2011 and on P0053030 issued 21-Feb-2007. The Passport Office facilitated applicant with a change of name to Chuang Sheng Rong on new passport

Appendix X

The following is the list of Bolivian passport applications that were not presented to Audit for verification

Number	Date	Name	Receipt Number	Purpose	Amount
1	9/Feb/12	Heinrich Martens	560203	Renewal	\$50.00
2	11/Feb/12	Jacob Krahn	560250	first Issue	\$50.00
3	13/Feb/12	David Wiebe	560270	first Issue	\$50.00
4	13/Feb/12	Anna Enns	560291	First Issue	\$50.00
5	13/Feb/12	Johan Enns	560295	First Issue	\$50.00
6	13/Feb/12	Katharina Wieler	560308	First Issue	\$50.00
7	13/Feb/12	Margaretha Friesen	560312	First Issue	\$50.00
8	14/Feb/12	Elisabeth Friesen	560324	Renewal	\$50.00
9	14/Feb/12	Jacob Olfert	560325	First Issue	\$50.00
10	14/Feb/12	Katharina Martens	560326	First Issue	\$50.00
11	14/Feb/12	Aganetha Olfert	560361	Renewal	\$50.00
12	14/Feb/12	Johan Enns	560371	First Issue	\$50.00
13	15/Feb/12	Agatha Friesen	560415	First Issue	\$50.00
14	15/Feb/12	Cornelius Wieler	560430	First Issue	\$50.00
15	15/Feb/12	Anna Friesen	560437	First Issue	\$50.00
16	15/Feb/12	Gerhard Rempel	560449	Renewal	\$50.00
17	15/Feb/12	Helena Teichroeb	560454	First Issue	\$50.00
18	15/Feb/12	Anna Friesen	560459	First Issue	\$50.00
19	15/Feb/12	Sara Peters	560461	First Issue	\$55.00
20	15/Feb/12	Anna Martens	560476	First Issue	\$50.00
21	15/Feb/12	Gertrude Knelsen	560491	First Issue	\$50.00
22	15/Feb/12	Edith Dyck	560508	First Issue	\$50.00
23	15/Feb/12	Maria Wiebe	560515	First Issue	\$50.00
24	16/Feb/12	Helena Bergen	560520	Renewal	\$50.00
25	16/Feb/12	Jacob Bergen	560521	Renewal	\$50.00
26	16/Feb/12	Bernhard Penner	560536	Renewal	\$50.00
27	16/Feb/12	Anna Bergen	560548	Renewal	\$50.00
28	16/Feb/12	Johan Friesen	560564	Renewal	\$50.00
29	16/Feb/12	Jacob friesen	560572	First Issue	\$50.00
30	16/Feb/12	Isaak Martens	560581	First Issue	\$50.00
31	16/Feb/12	Cornelius Enns	560590	First Issue	\$50.00
32	14/Feb/12	Elizabeth Knelsen	560608	First Issue	\$50.00

